4A. Attachments Screen For All Application Questions

HUD publishes resources on the HUD.gov website at CoC Program Competition to assist you in completing the CoC Application. Resources include:

- Notice of Funding Opportunity (NOFO) Continuum of Care Competition and Noncompetitive Award of Youth Homeless Demonstration Program Renewal and Replacement Grants; and
- Frequently Asked Questions

We have provided the following guidance to help you successfully upload attachments and get maximum points:

1.	You must include a Document Description for each attachment you upload; if you do not, the Submission Summary screen will display a red X indicating the submission is incomplete.
2.	You must upload an attachment for each document listed where 'Required?' is 'Yes'.
3.	We prefer that you use PDF files, though other file types are supported–please only use zip files if necessary. Converting electronic files to PDF, rather than printing documents and scanning them, often produces higher quality images. Many systems allow you to create PDF files as a Print option. If you are unfamiliar with this process, you should consult your IT Support or search for information on Google or YouTube.
4.	Attachments must match the questions they are associated with.
5.	Only upload documents responsive to the questions posed–including other material slows down the review process, which ultimately slows down the funding process.
6.	If you cannot read the attachment, it is likely we cannot read it either.
	. We must be able to read the date and time on attachments requiring system-generated dates and times, (e.g., a screenshot displaying the time and date of the public posting using your desktop calendar; screenshot of a webpage that indicates date and time).
	. We must be able to read everything you want us to consider in any attachment.
7.	After you upload each attachment, use the Download feature to access and check the attachment to ensure it matches the required Document Type and to ensure it contains all pages you intend to include.
8.	Only use the 'Other' attachment option to meet an attachment requirement that is not otherwise listed in these detailed instructions.

Document Type	Required?	Document Description	Date Attached
01. 1C-1. List of TH/RRH/PSH Projects and Treatment Providers with On-Site Substance Use Treatment	Yes	List of TH/RRH/PS...	09/29/2026
02. 1C-1f. List of CoC Program Projects and Number of Units that require Substance Abuse Treatment	Yes	List of CoC Progr...	09/29/2026
03. 1C-3. Language from Project Supportive Service Agreements	Yes	Language from Pro...	09/29/2026
04. 1C-10. Evidence of Coordination and Non-Interference with Local Efforts to Advance Public Safety	Yes	Evidence of Coord...	09/29/2026
05. 1D-1. Local Competition Scoring Tool	Yes	Local Competition...	09/29/2026

06. 1D-2c. Local Competition Selection Results	Yes	Local Competition...	09/29/2026
07. 2A-8b. FY 2026 HDX Competition Report	Yes	FY 2026 HDX Compe...	09/29/2026
08. 3A-1. HUD-2996 Certification for Opportunity Zone Preference Points	No	HUD-2996 Certific...	09/30/2026
09. 3A-2a. Project List for Other Federal Statutes	No		
10. 3A-DP. Your CoC's Policy or Statement to Advance Recovery	No		
11. Other	No		

Attachment Details

Document Description: List of TH/RRH/PSH Projects and Treatment Providers with On-Site Substance Use Treatment

Attachment Details

Document Description: List of CoC Program Projects and Number of Units that require Substance Abuse Treatment

Attachment Details

Document Description: Language from Project Supportive Service Agreements

Attachment Details

Document Description: Evidence of Coordination and Non-Interference with Local Efforts to Advance Public Safety

Attachment Details

Document Description: Local Competition Scoring Tool

Attachment Details

Document Description: Local Competition Selection Results

Attachment Details

Document Description: FY 2026 HDX Competition Report

Attachment Details

Document Description: HUD-2996 Certification for Opportunity Zone Preference Points

Attachment Details

Document Description:

Attachment Details

Document Description:

Attachment Details

Document Description:

1C-1. List of TH/RRH/PSH Projects and Treatment Providers with On-Site Substance Use Treatment.

This attachment provides charts of On-site Substance Use Treatment Availability for TH, RRH, & PSH Projects in NY-523, including the Project Name and Treatment Providers

(a) Total percentage of NY-523 Projects with On-site Substance Use Treatment Availability

Number of all TH, RRH & PSH Projects	Number of projects that Provide On-site SUD services	Percentage
17	6	35%
Agency	**Project**	**Treatment Provider**
Warren Washington Association for Mental Health	ASCEND Permanent Supportive Housing	Warren Washington Association for Mental Health
Open Door Mission	The Journey Home	Open Door Mission
Domestic Violence and Rape Crisis Services of Saratoga County (dba Wellspring)	NewView Permanent Supportive	Healing Springs (a recovery and support community)
Adirondack Vets House, Inc	Adirondack Vets House TH 2026	Adirondack Vets House, Inc
Mechanicville Area Community Center	DVAP Transitional Housing	Healing Springs (a recovery and support community)
RISE Housing and Support Services	Rise Transitional Housing	RISE's OASAS-certified substance use treatment program

1C-1f. List of CoC Program Projects and the Number of Units that require Substance Abuse Treatment

This attachment provides charts of CoC Program Projects and the Number of Units that require program participation in substance abuse treatment within NY-523, including the number of persons reporting chronic substance use in the 2026 PIT count.

a) Chart of existing or proposed CoC Projects and the units with a Substance Treatment Requirement

b) HDX 2026 PIT Count Report: Number of persons reporting chronic substance use

1C-1f. List of CoC Program Projects and the Number of Units that require Substance Abuse Treatment.

a) Chart of existing or proposed CoC Projects and the units with Substance Treatment Requirement

Agency	Project	Number of Units
RISE Housing and Support Services	RISE Transitional Housing	11
Veterans & Community Housing Coalition	Saratoga Veterans Apartment Program Transitional	12
Wellspring	Newview TH 1	3
Wellspring	Newview TH 2	17
	Total number of Units	43
	Number of Chronic SUD on 2026 PIT Count	49

1C-1f. List of CoC Program Projects and the Number of Units that require Substance Abuse Treatment

b) HDX 2026 PIT Count Report: Number of persons reporting chronic substance use

PIT Summary Report

NY-523: Glens Falls, Saratoga Springs/Saratoga, Washington, Warren, Hamilton Counties CoC
Date of PIT Count: 1/22/26
PIT Count Type: Sheltered and Unsheltered Count

Additional Homeless Populations

	Sheltered			Unsheltered	Total
Persons (Adults Only)	Emergency	Transitional	Safe Haven		
Adults with a Serious Mental Illness	88	5	0	0	93
Adults with a Substance Use Disorder	49	0	0	0	49
Adults with HIV/AIDS	2	0	0	0	2
Adult Survivors of Domestic Violence (optional)	18	0	0	0	18

1C-3. Participation Requirements for Supportive Services.

This attachment includes documentation for NY-523 CoC Projects with supportive service agreements that demonstrate the requirement of program participants to take part in support services. 94.12% of NY-523's housing projects require program participants to take part in supportive services (e.g. case management, employment training, substance use disorder treatment) in line with 24 CFR 578.75(h).

The attachment contains the following:

1. Warren Washington County Homeless Youth Coalition's (WAIT House) Support Service Agreement for:
   - **TLP-RRH Renewal Project**
   - **TLP Renewal Project**

2. Warren Washington Association for Mental Health**: ASCEND Permanent Supportive Housing Project** Support Service Agreement

3. RISE's Support Service Agreement for:
   - **RISE Permanent Housing Project**
   - **Rise Transitional Housing Project**

4. Veterans & Community Housing Coalition's Support Service Agreement for:
   - **SVAP Project**
   - **SVAP Transitional Project**

5. Catholic Charities of Saratoga, Warren and Washington Counties: **DVPWW Housing Project** Support Service Agreement

6. Mechanicville Area Community Center: **DVAP TH 2 Bonus Project** Support Service Agreement

7. Wellspring's Support Service Agreement for:
   - **DV Workforce Development Project**
   - **NewView Transitional 2 Project**
   - **NewView Transitional 1 Project**
   - **DV Workforce Bonus Project**
   - **NewView Permanent Supportive Project**

1C-3. Participation Requirements for Supportive Services.

8. Adirondack Vets House: **Adirondack Vets House TH 2026 Project** Support Service Agreement

1C-3. Participation Requirements for Supportive Services.

1. Warren Washington County Homeless Youth Coalition's (WAIT House) Support Service Agreement for:
   - **TLP-RRH Renewal Project**
   - **TLP Renewal Project**

SUPPORT SERVICES AGREEMENT
TRANSITIONAL LIVING PROGRAM

I agree to accept the following services from the agencies listed below for at least 40 hours per week for not longer than 24 months:

1. _____
_____

2. _____
_____

3. _____
_____

I understand that the WAIT House has agreed to provide me with housing assistance, and I agree to participate in the above noted individualized supportive service plan as a condition of my receipt of housing assistance. I further understand that the purpose of this program is to link housing assistance to supportive services for homeless persons and their families to achieve long-term housing stability. In recognizing the importance of receiving supportive services to achieve housing stability, I certify that the above individualized services plan was developed in a coordinated effort between myself and the WAIT House. I understand that this agreement will be reviewed, and if necessary, revised no later than six months from the date it is signed, or sooner if there are serious changes in my health or support services needs.

In consideration of the housing assistance and other services I will be receiving from the WAIT House and other service providers, I agree to cooperate with such services providers and follow their recommendations. This includes receiving supportive services deemed appropriate for me by such services during the duration of my housing assistance. I understand that in the event I do not comply with the agreed upon service plan, my participation in the Community Transitional Living Program and continued housing assistance may be terminated.

_____ _____
Client Signature Date

Example Services:
Substance Abuse Treatment once per week for 45 minutes
Mental health treatment for 1 hour per week.
Job Searching daily for 4 hours.
Skill building education with the career center 3 times per week for 2 hours
Work at your place of employment for 20 hours per week
Exercise 5 days per week for 1 hour
Meditate 6 days per week for 1 hour
Phone a family member or friend 3 days per week for 30 minutes
Attend health or human services appointments 2 times per week for 1 hour
Complete assigned tasks from educators, health or human service providers daily for 2 hours
Make nutritious meals once per day for 1 hour per day.

WAIT HOUSE
BUILDING INDEPENDENCE
TRANSFORMING LIVES

Transitional Living Program

- Your stay will be reviewed on a 6-month basis. Case Managers, Shelter Manager and Program Director will review if you are participating/benefiting from being in the program. This entails working on goals set, cleaning up after yourselves and following the ways of being.
- If you are not working, you will be required to be out of the house job searching from 1pm-4pm, Monday-Friday.
- Cleanliness room and bathroom checks will be conducted every night at 8pm.
- Overnights will only be approved if you are working, passing room & bathroom inspections and completing chores. Unapproved overnights could be grounds for discharge.

As a reminder, TLP is an independent living program. During your stay in this program, you are expected to focus on yourself and your goals set with your Case Manager.

_____ _____

Resident Signature Date

_____ _____

Case Manager Signature Date

I understand that part of the Transitional Living Program is participating in the following:

- Weekly meetings with my Case Manager
- Weekly meetings with my Dependent Support Specialist
- Weekly parenting skills groups

_____ _____

Resident Signature Date

_____ _____

Case Manager Signature Date

1C-3. Participation Requirements for Supportive Services.

2. Warren Washington Association for Mental Health**: ASCEND Permanent Supportive Housing Project** Support Service Agreement

ASCEND
MENTAL WELLNESS

SUPPORT SERVICES AGREEMENT

I agree to accept support services from the Ascend Mental Wellness Housing First Program.

I understand that Housing First has agreed to provide me with housing assistance, and I agree to participate in support services, including individualized planning that includes service planning which consist of goals and progress made toward those goals as a condition of my receipt of housing assistance. I further understand that the purpose of this program is to link housing assistance to supportive services for homeless persons to achieve long-term housing stability. In recognizing the importance of receiving supportive services to achieve housing stability.

In consideration of the housing assistance and other services I will be receiving from Ascend Mental Wellness and other service providers, I agree to cooperate with such services providers and follow their recommendations. This includes receiving supportive services deemed appropriate for me by such services during the duration of my housing assistance. I understand that in the event I do not comply with the agreed upon service plan, my participation in the Housing First program and continued housing assistance may be terminated.

_____
Tenant Name

_____ _____
Tenant Signature Date

_____ _____
Witness Date

1C-3. Participation Requirements for Supportive Services.

3. RISE's Support Service Agreement for:
   - **RISE Permanent Housing Project**
   - **RISE Transitional Housing Project**

SUPPORT SERVICES AGREEMENT

I agree to accept the following services from the agencies listed below at least as often and for as long as is stated:

1. _____
_____

2. _____
_____

3. _____
_____

I understand that the RISE Housing and Support Services (RISE) has agreed to provide me with housing assistance, and I agree to participate in the above noted individualized planning that includes a necessary supportive service plan and/or employment as needed as a condition of my receipt of housing assistance. I further understand that the purpose of this program is to link housing assistance to supportive services for homeless persons and their families to achieve long-term housing stability. In recognizing the importance of receiving supportive services to achieve housing stability, I certify that the above individualized services plan was developed in a coordinated effort between myself and RISE. I understand that this agreement will be reviewed, and if necessary, revised no later than six months from the date it is signed, or sooner if there are serious changes in my health or support services needs.

In consideration of the housing assistance and other services I will be receiving from RISE and other service providers, I agree to cooperate with such services providers and follow their recommendations. This includes receiving supportive services deemed appropriate for me by such services during the duration of my housing assistance. I understand that in the event I do not comply with the agreed upon service plan, my participation in RISE and continued housing assistance may be terminated.

Participant Signature: _____
Participant Name (Print): _____
Date: _____

RISE Housing and Support Services Representative Signature:
_____
Representative Name & Title (Print): _____
Date: _____

1C-3. Participation Requirements for Supportive Services.

4. Veterans & Community Housing Coalition's Support Service Agreement for:
   - **SVAP Project**
   - **SVAP Transitional Project**





Support Services Agreement
Saratoga Veterans Apartment Program

I agree to accept the following services from the agencies listed below at least as often and for as long as is stated:

1. _____
_____

2._____
_____

3. _____
_____

I understand that Veterans & Community Housing Coalition has agreed to provide me with housing assistance, and I agree to participate in the above noted individualized planning that includes a necessary supportive service plan and/or employment as needed as a condition of my receipt of housing assistance. I further understand that the purpose of this program is to link housing assistance to supportive services for homeless persons and their families to achieve long-term housing stability. In recognizing the importance of receiving supportive services to achieve housing stability, I certify that the above individualized services plan was developed in a coordinated effort between myself and Veterans & Community Housing Coalition. I understand that this agreement will be reviewed, and if necessary, revised no later than six months from the date it is signed, or sooner if there are serious changes in my health or support service needs.

In consideration of the housing assistance and other services I will be receiving from Veterans & Community Housing Coalition and other service providers, I agree to cooperate with such services providers and follow their recommendations. This includes receiving supportive services deemed appropriate for me by such services during the duration of my housing assistance. I understand that in the event I do not comply with the agreed upon service plan, my participation with Veterans & Community Housing Coalition and continued housing assistance may be terminated.

Participant Signature: _____ **Date:** _____
Case Manager Signature: _____ **Date:** _____

1C-3. Participation Requirements for Supportive Services.

5. Catholic Charities of Saratoga, Warren and Washington Counties: **DVPWW Housing Project** Support Service Agreement

CATHOLIC CHARITIES
SARATOGA WARREN WASHINGTON

SISTER BETSY VAN DEUSEN, CSJ
CHIEF EXECUTIVE OFFICER

JOLENE MUNGER, MPH, MA
EXECUTIVE DIRECTOR

MENTAL HEALTH COUNSELING SERVICES AGREEMENT

I agree to participate in the following mental health counseling and supportive services provided by **Catholic Charities of Saratoga, Warren & Washington Counties** and its Licensed Clinical Social Workers (LCSWs). Services may include, but are not limited to:

1. _____

_____

1. _____

_____

1. _____

_____

I understand that **Catholic Charities of Saratoga, Warren & Washington Counties** has agreed to provide mental health counseling and supportive behavioral health services through qualified Licensed Clinical Social Workers (LCSWs). I agree to actively participate in services as recommended in my individualized treatment plan, including attending scheduled appointments and collaborating with my counselor to address identified goals.

I understand that my treatment plan will be developed collaboratively between myself and my counselor and may include goals related to mental health, emotional wellness, coping skills, crisis management, family relationships, community functioning, and overall well-being.

I understand that regular participation in counseling services is an important component of successful treatment. If I am unable to attend a scheduled appointment, I agree to notify my counselor or the agency as soon as possible.

If concerns arise regarding attendance, participation, or engagement in services, agency staff will make reasonable efforts to address barriers and support reengagement. Such efforts may include:

- Outreach by phone, mail, or other approved communication methods
- Discussion of barriers to participation

- Review and modification of treatment goals as appropriate
- Referral to additional community resources when needed

I understand that continued failure to engage in services may result in:

- **First corrective action step:** _____
- **Second corrective action step:** _____
- **Final corrective action step:** _____

I further understand that the purpose of counseling services is to support my mental health, strengthen coping skills, improve functioning, and enhance my overall quality of life. In recognizing the importance of active participation in treatment, I certify that the above service plan was developed in coordination between myself and **Catholic Charities of Saratoga, Warren & Washington Counties Domestic Violence Program**.

I understand that this agreement will be reviewed periodically and may be revised as needed based on changes in my treatment needs, goals, or circumstances.

In consideration of the counseling and supportive services I will be receiving from **Catholic Charities of Saratoga, Warren & Washington Counties**, I agree to cooperate with my counselor and participate in services as outlined in my treatment plan. I understand that I have the right to ask questions, participate in treatment planning, and receive services in a respectful and professional manner.

I acknowledge that counseling services are voluntary and that I may discontinue services at any time unless otherwise required by law, court order, or another governing authority. I understand that my counselor may recommend referrals to other providers or higher levels of care if my needs exceed the services available through **Catholic Charities of Saratoga, Warren & Washington Counties**.

PARTICIPANT ACKNOWLEDGMENT

Participant Signature: _____

Participant Name (Print): _____

Date: _____

CATHOLIC CHARITIES
SARATOGA WARREN WASHINGTON

SISTER BETSY VAN DEUSEN, CSJ
CHIEF EXECUTIVE OFFICER

JOLENE MUNGER, MPH, MA
EXECUTIVE DIRECTOR

AGENCY REPRESENTATIVE ACKNOWLEDGMENT

Catholic Charities of Saratoga, Warren & Washington Counties Representative Signature: _____

Representative Name & Title (Print): _____

Date: _____

SARATOGA OFFICE: 142 REGENT ST., SARATOGA SPRINGS, NY 12866 | PHONE: (518) 587-5000 | FAX: (518) 587-3127
WARREN & WASHINGTON OFFICE: 35 BROAD ST., GLENS FALLS, NY 12801 | PHONE: (518) 793-6212 | FAX: (518) 793-9499
WEB: WWW.CCRCDA.ORG

1C-3. Participation Requirements for Supportive Services.

6. Mechanicville Area Community Center: **DVAP TH 2 Bonus Project** Support Service Agreement

This agreement outlines the mutual commitments between the Mechanicville Area Community Services Center (MACSC) and the resident survivor(s) participating in the MACSC Transitional Housing Program (the "Program").

This is a partnership agreement, not a standard lease or employment contract. It is designed to foster safety, stability, and self-sufficiency, with the service requirement structured as a critical component of the transitional process toward long-term independence.

I. Parties and Property

Field Detail

Landlord (Lessor): Mechanicville Area Community Services Center (MACSC)

Resident(s) (Sublessee): [Full Legal Name(s) of Adult Resident(s)]

Children Residing: [Names and Ages of Minor Children]

Property Address: [Apartment Building Name/Address]

Effective Date: [Date]

Initial Term: [e.g., Six (6) Months], renewable based on progress and compliance.

Maximum Term: [e.g., Twenty-Four (24) Months]

II. MACSC Commitments (The Program Support)

MACSC commits to providing the following during the term of this agreement:

1. Housing: Maintaining the Master Lease for the property and subletting the unit to the Resident(s) for the duration of the agreed-upon term, contingent upon program compliance.

2. Safety & Security: Providing immediate assistance with safety planning, coordination with local law enforcement, and addressing immediate unit security concerns (e.g., lock changes).

3. Dedicated Advocacy: Assigning a dedicated Domestic Violence Advocate to the Resident(s) for enhanced, trauma-informed case management and support.

4. Resource Navigation: Assisting the Resident(s) in obtaining and maintaining mainstream benefits (SNAP, Medicaid, SSI/SSDI) and connecting them to employment, education, and childcare resources.

5. Rental Assistance: Covering the majority of the monthly rent and utilities, with the Resident(s) contributing an affordable portion (see Section III).

III. Resident Commitments (The Partnership Requirement)

The Resident(s) commit to the following obligations necessary for program participation and housing stability:

A. 20-Hour Weekly Service Requirement (The Path to Self-Sufficiency)

The Resident(s) acknowledge and agree that a required component of this Transitional Housing Program is dedicating approximately 20 hours per week toward activities that directly lead to their economic independence and housing stability. This is an objective of the program and is measured by active engagement, not strictly hourly clock-in.

The 20 hours per week can be fulfilled through a combination of the following activities, as outlined in the Resident's Individualized Housing Stability Plan (IHSP):

Category Eligible Activities (Must be documented weekly)

1. Employment/Income Job searching, attending interviews, working in paid employment, vocational training, or MACSC's Workforce Development Program.

2. Education/Training Attending GED classes, college courses, specialized skills training, parenting groups or financial literacy workshops.

3. Housing Stability Required weekly meetings with the MACSC Advocate, active apartment searching for permanent housing, budgeting/financial planning.

4. Wellness/Therapy Attending counseling, mental health therapy, substance abuse treatment, or medical appointments essential for stability.

5. Community Service (If applicable and approved by Advocate) Volunteer work that builds employable skills and community connection.

Documentation: The Resident(s) must document the activities fulfilling the 20-hour requirement weekly, submitting documentation to their Advocate for review and discussion.

B. Community and Lease Compliance

1. Adherence to Lease: Resident(s) will abide by all rules of the Master Lease and all rules set forth by the apartment complex (e.g., noise restrictions, pet policies, maintenance of the unit).

2. Safety Protocol: Resident(s) must immediately report all threats, safety concerns, or violations of court orders (e.g., Orders of Protection) to the MACSC Advocate.

3. Illegal Activities: No illegal activities, including the use, manufacturing, or distribution of controlled substances, are permitted on the property.

_____

IV. Termination and Grievance

• Non-Compliance: MACSC reserves the right to terminate this agreement if the Resident(s) fail to comply with the material terms outlined herein (e.g., failure to pay program fees, significant lease violations, or a consistent, non-excused failure to actively engage in the 20-hour service requirement).

• Grievance Process: The Resident(s) have the right to appeal any decision related to program termination through MACSC's established, written Grievance Procedure.

_____

V. Signatures

We, the undersigned, acknowledge that we have read, understood, and agree to the terms of this Transitional Housing Partnership Agreement.

MACSC (Lessor) Resident (Sublessee)

Signature: _____ Signature: _____

Printed Name/Title: _____ Printed Name: _____

Date: _____ Date: _____

Signature: _____

Printed Name: _____

Date: _____

1C-3. Participation Requirements for Supportive Services.

7. Wellspring's Support Service Agreement for:
   - DV Workforce Development Project
   - NewView Transitional 2 Project
   - NewView Transitional 1 Project
   - DV Workforce Bonus Project
   - NewView Permanent Supportive Project

SUPPORT SERVICES AGREEMENT

I agree to accept the following services from the agencies listed below at least as often and for as long as is stated:

1._Survivor support services, including but not limited to: safety planning, counseling, case management, legal advocacy, career development, trauma recovery groups, and referral to needed support services not offered by Wellspring (e.g., clinical, medical, or recovery services,

2. Participation in needed recovery, clinical or medical services from local providers, including but not limited to: RISE, St Peter's Addiction and Recovery, Healing Springs, Saratoga County Mental Health and Substance Abuse Services, Four Winds, etc

3. Career assistance program such as Wellspring's Career program, Saratoga County One Stop etc. and/or education to gain job skills.

I understand that Wellspring has agreed to provide me with housing assistance, and I agree to participate in the above noted individualized planning that includes a necessary 20 hour per week supportive service plan and/or employment as needed as a condition of my receipt of housing assistance. Program participants are required to engage in up to 20 hours per week of individualized services based on their service plan. Participation is a core component of the program model and is necessary to support housing stability and self-sufficiency outcomes. If a participant is not engaging in services, staff will implement progressive engagement strategies, including outreach, re-engagement planning, and barrier reduction. Continued lack of engagement may result in reassessment of program fit and transition planning to more appropriate housing or service settings. I understand that continued noncompliance can result with corrective action steps.

- The First corrective actions steps would be verbal discussion of noncompliance and attempt to rectify the obstacles to compliance.
- The Second corrective actions steps would be developing an improvement plan with specified steps and timeframes for corrective actions.
- The Final corrective actions steps would be loss of housing subsidy.

I further understand that the purpose of this program is to link housing assistance to supportive services for homeless persons and their families to achieve long-term housing stability. In recognizing the importance of receiving supportive services to achieve housing stability, I certify that the above individualized services plan was developed in a coordinated effort between myself and Wellspring I understand that this agreement will be reviewed, and if necessary, revised no later than six months from the date it is signed, or sooner if there are serious changes in my health or support services needs.

In consideration of the housing assistance and other services I will be receiving from Wellspring and other service providers, I agree to cooperate with such services providers and follow their recommendations. This includes receiving supportive services deemed appropriate for me by such services during the duration of my housing assistance. I understand that in the event I do not comply with the agreed upon service plan and corrective action steps, my participation in Wellspring and continued housing assistance will be terminated.

Participant Signature: _____
Participant Name (Print): _____
Date: _____

Wellspring Signature: _____
Representative Name & Title (Print): _____
Date: _____

1C-3. Participation Requirements for Supportive Services.

8. Adirondack Vets House: **Adirondack Vets House TH 2026 Project** Support Service Agreement

SUPPORT SERVICES AGREEMENT

I agree to accept the following services from the agencies listed below at least as often and for as long as is stated:

1. ____The VA will provide the Mental Health to all our Veterans in our program
_____

2.___The VA will provide all medical to our Veterans
_____
_____

3. _____The VA will provide all our dental needs for our Veterans and all support services
_____
_____

I understand that Adirondack Vets House has agreed to provide me with housing assistance, and I agree to participate in the above noted individualized planning that includes a necessary supportive service plan and/or employment as needed as a condition of my receipt of housing assistance. I further understand that the purpose of this program is to link housing assistance to supportive services for homeless persons and their families to achieve long-term housing stability. In recognizing the importance of receiving supportive services to achieve housing stability, I certify that the above individualized services plan was developed in a coordinated effort between myself and VA Stranton. I understand that this agreement will be reviewed, and if necessary, revised no later than six months from the date it is signed, or sooner if there are serious changes in my health or support services needs.

In consideration of the housing assistance and other services I will be receiving from Adirondack Vets House and other service providers, I agree to cooperate with such services providers and follow their recommendations. This includes receiving supportive services deemed appropriate for me by such services during the duration of my housing assistance. I understand that in the event I do not comply with the agreed upon service plan, my participation in Adirondack Vets House and continued housing assistance may be terminated.

Participant Signature: _____VA Straton_____
Participant Name (Print): _____
Date: _____May 1 2026_____

Adirondack Vets HuseSignature: _____Jeff varmette_____
Representative Name & Title (Print): _____Jeff Varmette Executive
Date: _____may 1 2026_____

1C-10. Protecting Public Safety—Cooperation with Law Enforcement.

This attachment includes a letter from local Law Enforcement within NY-523 to prove evidence of coordination and non-interference with local efforts to advance protecting public safety.

Saratoga Springs Police Department

5 Lake Avenue
Saratoga Springs, New York 12866
(518) 584-1800

Serving Since 1887

TYLER L. McINTOSH
Chief of Police

ERIC J. WARFIELD
Assistant Chief of Police
EVAN G. FORT
Assistant Chief of Police

To: Whom It May Concern

Date: Friday, September 25, 2026

Subject: Saratoga/North Country Continuum of Care Cooperation with Law Enforcement

I am writing in support of the Saratoga/North Country Continuum of Care (CoC), NY-523, and to affirm the CoC's ongoing coordination with local government, public safety agencies, first responders, and community partners serving individuals and families experiencing homelessness.

The CoC does not interfere with or impede local efforts to advance public safety or address homelessness in the community. The CoC supports collaborative approaches that help communities respond effectively to unsheltered homelessness, encampments, behavioral health crises, substance use disorders, and other challenges impacting vulnerable individuals and public spaces. The CoC's practices and governance structure promote cooperation among service providers, local governments, public safety agencies, and community organizations to improve outcomes for individuals experiencing homelessness while enhancing community safety and wellbeing.

Based on our experience working with the Saratoga/North Country CoC, we affirm that the CoC is a valued partner in addressing homelessness and advancing public safety objectives through collaboration, communication, and coordinated service delivery.

Respectfully,

Tyler McIntosh
Chief of Police

1D-1. Project Review and Ranking Process Your CoC Used in Its Local Competition.

This attachment details the Rank & Review process for NY-523 as noted in their Written Process. Blank tools have been attached for the Renewal & New Applications.

1. **Scoring tool used in NY-523's local competition to score new and renewal applications - for all project application types.**
   (a) NY-523's Rank and Review Written Process
   (b) Blank Renewal Application Tool
   (c) Blank New TH Project Application Tool
   (d) Blank New SSO Project Application Tool
   (e) Blank New PH Project Application Tool
   (f) Objective Criteria & System Performance Criteria Chart
      - This document showcases the maximum points on:
         - **Objective criteria** for the project applications
         - **System performance** criteria for the project applications
         - Returns to homelessness performance, employment income performance, and supportive services participation requirements

   (g) Ranking and Tiering
      - This document showcases the total points available for each project applicant and their score

   (h) System Performance Data Attachment
      - This document is an attachment to the Rank and Review Renewal Application Tool and provides applicants with data to answer the tool's data-based questions.

   (i) Compliance Policy
      - NY-523 implemented the Policy in July 2026
      - The purpose of this policy is to ensure that all recipients of federal funding under the Continuum of Care (CoC) Program comply with the Project Eligibility Threshold Requirements and applicable federal regulations

1D-1: Project Review and Ranking Process Your CoC Used in its Local Competition.

1. Scoring tool used in NY-523 local competition to score new and renewal application and for all project application types.

   (a) **NY-523's Rank and Review Written Process**

SARATOGA NORTH COUNTRY
CONTINUUM OF CARE
2026 RANK AND REVIEW PROCESS

Background

HUD's Continuum of Care (CoC) homeless assistance programs serve as a source of funding for homeless services in the Counties of Saratoga, Warren, Washington and Hamilton (collectively referred to as the Saratoga-North Country Continuum of Care). HUD awards homeless assistance grants through an annual application process known as the CoC Program Competition.

In order for the CoC to gain insight into programs that are best serving the community at the local level, the community has implemented a Rank & Review Process for new and renewal projects. This process will help the CoC gain knowledge of project performance and effectiveness within the full CoC system.
In the Saratoga-North Country CoC, the Data and Goals Committee is charged with overseeing the Rank & Review Process. As described in the SNC CoC Bylaws, the Continuum of Care is responsible to design, operate, and follow a collaborative process for the development of funding applications, including funding priorities and the number and type of applications. Each year the Data and Goals Committee reviews the Rank & Review Process and Application and makes revisions to reflect changing priorities. The Data and Goals Committee is also responsible for establishing a Review Team. The Written Process, Application and the reviewers are submitted annually for approval by the Board. Once Board approved, the Written Process and Application are posted for review and comment by full Membership.

CoC Transparency

The annual Rank & Review process is conducted in a transparent manner to ensure a fair and consistent way to prioritize projects. Each year, feedback regarding the process and tools is solicited. The process is publicly announced by the CoC, distributed in writing to CoC Membership, and posted publicly on the CARES, Inc. website for all community members to review and comment.

FY2026 Rank and Review Application

The SNC CoC emphasizes the importance and impact of using the Rank & Review Application as the primary basis for determining the Project Listing submitted as part of the CoC Consolidated Application. The Rank & Review Application is thoughtfully revised each year to include both HUD and CoC standards, incorporating both national and local priorities, balancing objective performance measures with subjective narrative descriptions of project operations.

Review and Approval of the Rank & Review Renewal Application

After the CoC Consolidated Application is submitted to HUD by the Collaborative Applicant, the Data and Goals Committee reviews that prior year's Rank & Review process, including reviewer feedback and SNC member comments. The Committee considers information gained on behalf of the CoC over the past year and makes revisions to the application. The Committee also considers asking new members to join the Committee and participate on the Review Team.

In phases, the Data & Goals Committee presents the Written Process and Application Tools to the SNC Board and Membership for one-week public comment periods. The Data & Goals Committee considers submitted comments for inclusion. The Data & Goals Committee updates the Board on any edits, incorporates any additional changes from the Board, and secures a vote for approval. Finally, the Written Process and Application Tools are shared with Membership.

Project Participation

Renewal Projects

Each Renewal project completes a Rank & Review Application. The 2026 Rank & Review Renewal Application process will occur in two parts, with the intent to allow agencies adequate time to complete the full Rank & Review Application. *Completed applications (including required attachments) for each CoC project must be submitted to CARES of NY, Inc. by the stated deadline to be considered complete and sent to the Review Team.*

Applications that are submitted after the deadline and/or submitted incomplete will automatically receive a 5-point deduction on their overall score.

* Please Note: Coordinated Entry, Planning, and HMIS projects are not required to fill out a renewal application.

Part 1 focuses on project and system outcomes, using project data entered in HMIS and objective questions to "rate" projects. The HMIS data used in Part 1 is from the previous HUD Fiscal Year (Oct 1 - Sep 30). This HMIS data used in Part 1 has been cleaned and reviewed for data quality errors when submitted to HUD for the Longitudinal Systems Analysis (LSA) report. The tool will indicate where agencies can find data for relevant questions. Agencies will also receive a data attachment to assist in completing their application. Data on this attachment will be based the FY25 LSA submitted in the beginning of the year. If errors are identified on the Data Attachment, the agency must contact CARES by the deadline noted when the data attachment is released. If the request is a calculation error, CARES staff will correct the error and resubmit the Data Attachments for the agency's review. Note that scores for part 1 are done by the agency completing the tool and verified by CARES staff.

Part 2 includes narrative questions to further evaluate the efficacy of each renewal project. Questions are derived from the Data & Goals committee based on HUD priorities. Questions on part 2 are scored by the external review team. Scores will be determined by averaging the scores provided by all of the reviewers.

An optional Part 3 form will be released following the release of the annual NOFO for the CoC to demonstrate the incorporation of HUD priorities into our annual Rank & Review process. The CoC has identified prioritizing projects that support the Elderly and Youth populations in part 3. If there are no significant HUD priorities identified or all major priorities are addressed in Part 1 and Part 2, this form will not be released.

At the end of each part, renewal projects will have an opportunity to request a debriefing of their scores with the Collaborative Applicant.

New/Bonus Projects

New projects are created through bonus funds or reallocated funds. A separate RFP will be completed for new projects. If additional money becomes available through reallocation after the ranking process, the new project RFP will re-open. RFPs submitted during the second application process will automatically be ranked below the round 1 projects at the bottom of tier 2. The review team reviews add scores all New/Bonus project applications submitted. New project applications will be integrated into the ranking prioritizing Youth and Elderly projects then, according to project type, the PH-TH-SSO sequence (described below) starting with renewal projects. The community's goal is to apply for the maximum amount of available funds. The same appeals process that applies to Renewal applications applies to New/Bonus project applications. New applicants (not currently CoC funded) will be invited to a recommended info session to discuss CoC funding requirements and program administration logistics before final scores are tallied.

Bonus Projects

Each year, HUD *may* offer bonus funding and the NOFO outlines how the funds may be spent. Bonus project proposals must fill an unmet need as noted within the CoC's Strategic Plan or locally determined priorities. Bonus applications will be ranked and approved by the Board and presented to Membership. The community's goal is to apply for the maximum amount of available funds. The same appeals process that applies to Renewal applications applies to New/Bonus project applications.

Reallocation

Reallocation is the process by which the CoC shifts funds, in whole or in part, from existing eligible renewal grants to create new projects to fill an unmet need within the community such as balancing the types of projects available throughout the continuum. Reallocating funds is one of the most important tools by which communities can make strategic improvements to their homeless service system.

Projects that can be flagged for reallocation consideration include those which have demonstrated inadequate financial management, a history of expending funds on ineligible activities, a lack of full expenditure of funds, and those which consistently score low on the Rank & Review. Reallocation is recommended for any project *not* participating in Coordinated Entry, HMIS or the annual Point-in-Time. The Board may determine reallocation of a particular project as in the best interest of the CoC and essential to maintaining full funding. Further, agencies may voluntarily choose to reallocate funds from their own projects. New project proposals developed by agencies through reallocation of their own funds will be

prioritized during the ranking process. All other proposed projects using reallocated funds will be ranked according to general ranking procedures.

Project proposals developed with reallocated funds must fill an unmet need and submit a New/Bonus application. Reallocated and Bonus project applications will be evaluated alongside Renewal Projects within a unified competitive ranking process. Each project will be scored using the appropriate tool and placed in the overall PH-TH-SSO rotational sequence starting with renewal applications. . Reallocated projects will receive additional points to support HUD's intent to incentivize reallocation.

Review Team

Reviewers must be individuals from the community who are not CoC-funded or from neighboring communities and knowledgeable about the CoC process, services, and providers. Review Team members are considered by the Data & Goals Committee and invited by the Collaborative Applicant (CARES) to participate. After reviewers agree to participate, they are given project applications and scorecards and instructed to score applications with an objective lens. Three reviewers are recruited each year to score the narrative portions of each application.

Reviewers are provided a copy of each project's full application for review and score forms to complete. Scores for each application are averaged between the 3 reviewer scores to create the final score.

In the event project applications initially receive the same score, it is the responsibility of the Review Team to reconsider scoring to break the tie. The Review Team also considers any submitted appeals (see **Appeals Process** outlined below) and provides any final comments to be shared with agencies. Final scores result in the project ranking.

Threshold Review

In order to ensure CoC projects are high performing, all project applications must also meet a minimum scoring threshold of 50% of total possible application points. The Threshold Review will be conducted by the Review Team after the Rank and Review process is complete and final project scores determined. If the pre-determined threshold is not met, the Review Team may recommend the SNC Board consider the possibility of reallocation or amendments to the project application/s with said agency.

Project Ranking

The NOFO requires that the CoC conduct a transparent and objective process to review and rank all applications for renewal of existing projects and applications for new/bonus projects. Using the CoC approved Rank & Review Tool all projects: Renewal, Reallocated, and Bonus will be scored within a unified competitive ranking process using tools with the same total possible points. After scoring, projects will be grouped and ranked within their project type (PH,TH, SSO- Infrastructure) . . Infrastructure grants – Coordinated Entry, HMIS, and DV Coordinated Entry projects will be placed into the overall ranking (in that order) according to the rotational sequence, following each PH, TH, and SSO grouping starting with renewal projects, prioritizing Youth and Elderly projects. Project ranking is shared with the Data & Goals Committee, then presented to the Board for their consideration to either finalize the ranking or adjust in order to continue meeting current community needs and resources. Afterwards, the ranking is shared with full Membership. At that time, each project receives a copy of their individual scores and is given the opportunity to

meet with the Collaborative Applicant to debrief. If during this debriefing, a mathematical error is found by the project, the error can be corrected, and notice of the correction will be provided to the Data and Goals Committee and the Board. No formal appeal is needed for a mathematical error.

After all debriefings with the Collaborative Applicant are completed and appeals considered by the Review Team, renewal project applicants that fall into Tier 2 will be notified and then the project ranking is presented to the Board for review/approval then shared with Membership. If the board determines that changes to project ranking should be made on behalf of the CoC, the board must make those determinations unanimously and inform all agencies whose ranking may be impacted by the changes.

Project Tiering

HUD requires that the CoC ranks projects into two tiers based on the funding allocation released in the NOFA. Tiering prioritizes projects for funding. Tier 1 is considered "safe" for continued funding, and HUD specifies the percentage of the ARD amount that will make up Tier 1 each year when the NOFO is released. Tier 2 is the percentage of CoC funding that is at risk each year, per HUD's announcement in the NOFO. Being in Tier 2 does not guarantee that funding will be lost, but it does place projects at risk if the CoC is low performing in the national competition.

When the NOFO is released, the priorities and tiering outlined in the application are strategically applied by the CoC to the project ranking. Reallocation, new projects, and other CoC priorities are considered through CoC discussions. The tiering is presented by the Data and Goals Committee to the Board who approves then presented to Membership. Membership votes on the tiering and approves of the Project Listing and the CoC Application.

Appeals Process

1. **Who May Appeal?**
   Agencies may formally appeal a decision concerning a Renewal or New/Bonus project application submitted by that agency. If a project was submitted by a collaboration of agencies, only one joint appeal may be made.

2. **What May Be Appealed?**
   The appeals process applies to project scoring and ranking ***only***. *There is no appeal for project tiering.*
   An appeal may ***not*** be based on the following:
   - Failure to answer any question/s on the application
   - Failure to submit required attachments to the application
   - Failure to submit the application by the required deadline

   Any mathematical errors found by an applicant will be corrected by the Collaborative Applicant.

3. **Timing of an Appeal**

Formal appeals may be submitted by a project within **three (3) business days** of debriefing. Appeals must be submitted in writing to the Collaborative Applicant (sross@caresny.org) who will then forward to the Review Team. The written appeal must consist of a brief statement no longer than one page, and can be in the form of a letter, memo or email.

Appeals Decisions

The Review Team for the Rank & Review process will also serve as the Appeals Review Team. Appeals are decided by majority vote of the Review/Appeals Team, and once decided, are final and may not be overturned by the Data & Goals Committee, Board or Membership.

1D-1: Project Review and Ranking Process Your CoC Used in its Local Competition.

1. Scoring tool used in NY-523 local competition to score new and renewal application and for all project application types.

(b) Blank Renewal Application Tool

FY2026 Rank & Review Renewal Application
Part 1: Data & Objective Measures Section
NY 523

Note: this section of the application is based on Federal Fiscal Year 25 APR reports (10/1/2024-9/30/2025). The data that has been submitted to HUD via Longitudinal Systems Analysis (LSAs) is used to Evaluate Projects*.

**Only persons/household that have an entry (i.e., intake, admission, and move-in date) in HMIS in FFY25 are captured in the data assessed.*

Organization Name:_____

Project Name:_____

Application Contact Person:_____

Project Type:_ ☐PSH ☐RRH ☐TH ☐SSO

What is your HUD PIN for this Grant: _____

Objective Criteria:

This section must be worth 50% of the total points available on this application. In this tool, objective criteria makes up 50% of the application.

1. Utilization Rate: Using the FY25 Project Application and Federal Fiscal Year 2025 (FFY25) APR, complete the chart below to calculate utilization rate (round up to closest whole number).

Projected number served during average PIT (Esnaps Project Application Question 5A)	**Actual number served during PIT** (APR Questions 7b and 8b)		
		Persons	**Households**
Persons: _____ **Households: _____**	**January**		
	April		
	July		
	October		
	Average:		

Persons: Average of Actual _____ / Projected _____ = **Utilization _____%**

Households: Average of Actual _____ / Projected _____ = **Utilization _____%**

What was your project utilization of households *or* persons served during the year? (use the higher of the two percentages)

20pts= 95-100%; 13pts=80-94; 10 pts =60-79%; 7 pts= 40-59%; 5 pts = 0-39%

Points Earned: _____

2. Spending Down Funding **Max 10 Pts**

What *percentage* of funding from your most recently ended contract was unspent and returned to HUD? *To receive full points, please attach a copy of the last drawdown from the most recently ended contract.* This question will be weighted in the final score for the SNOFO project.

%_____

0%= 10 pts; 1%-10%= 8pts; 11%-20%= 5 pts; 21%-40%= 2 pts; 41≤=0 pts

Points Earned: _____

3. Service Agreements and MOUs **Max 30 Pts.**

Does your agency have Service Agreements or MOU's with any of the following service programs? You will receive five points for each selected. *You will need to submit a copy of this agreement with your completed application to receive points.*

- ☐ Healthcare organizations
- ☐ Mental Health Service Providers
- ☐ Substance Use Providers
- ☐ Childcare Organizations (Headstart, Public Pre-K, Federal Home Visiting Programs, etc.)
- ☐ Workforce Development Programs
- ☐ Employers

Points Earned:_____

4. On-Site Services: **Max 15 Pts.**

Does your project provide on-site services for any of the following? You will receive five points for each service checked.

☐Mental Health- 5 pts
☐Substance Abuse - 5 pts
☐Peer Recovery Specialists or Peer Support and Recovery Navigation -5 pts

Points Earned:_____

5. Individual Service Plans: **Max 10 Pts.**

Will your project require an individual service plan and require participation agreements with support services as part of your program? Please include a copy of your participation agreement to receive full points.

☐Yes - 10 pts (service agreement must be attached)
☐No – 0 pts

Points Earned:_____

6. Partnerships for Increased Employment: **Max 10 pts.**

Do you partner with any of the following to help participants increase employment and employment-based income? You will need to attach a copy of the agreement(s) to receive full points. (You can only choose one)

☐Workforce Development
☐Childcare Organizations
☐Local Employers

Points Earned:_____

7. CoC Participation (0-10 pts) **Max 10 Pts**

Does the project or agency staff regularly (at least 50% of meetings) participate in any of the following CoC committees? *Participation will be confirmed by the Collaborative Applicant using Membership and Committee Rosters/Attendance Lists*.

- □ **Yes- Membership Meetings only (5 pts)**
- □ **Yes- Membership Meetings and at least one committee (10 pts)**
- □ **No- (0) pts**

Board	HMIS Advisory	Coordinated Entry
Membership	Strategic Planning	Data and Goals
Outreach	RSAC	CoC Housing Alliance

Points Earned:_____

8. Monitoring *(Responses will be verified by Collaborative Applicant staff, utilizing monitoring reports)* ***(answer only a or b)*** **Max 10 Pts**

a. Did your agency participate in the most recent CoC Program Monitoring and provide all required documentation by the specified due date **with no findings?**

□ **Yes 10 pts** □ **No 0 pts**

OR (answer only a or b)

b. Did your agency participate in the most recent CoC Program Monitoring and provide all required documentation by the specified due date **with any findings addressed by the deadline provided?**

□ **Yes 6 pts** □ **No 0 pts** **Points Earned: _____**

9. Priority Population **Max 10 Pts**

Check the corresponding box if your project is dedicated to serving the following priority populations per section 3B (#2) of your last Esnaps application.

To receive available points, please attach a copy of section 3B from your project's most recent Esnaps application. ***Only Choose One.***

□ **Youth- 10 pts**
□ **Individuals over the age of 55+- 10 pts**
□ **Families - 10pts**
□ **Survivors of Domestic Violence (DV)- 10pts**
□ **No priority population = 0 pts**

Points Earned:_____

SYSTEMS PERFORMANCE DATA:

This section must be worth at minimum 25% of the total points available in this application. In this tool, Systems Performance makes up 25% of the application.

10. Length of Time between Project Start Date and Residential Move-in Date **Max 4 Pts**

This question measures the average time it takes for a client who is entered into your project to move into a permanent housing destination. *This question will be weighted in the final score for site-based projects.**(Attachment 1)***

On FFY25 APR Q22c, refer to the column "Average length of time to housing".

14 days or less= 4pts; Greater than 14 days = 0 pts

Points Earned: _____

11. Positive Outcomes (Attachment 2) **Max 15 Pts**

For PSH, RRH, and TH, programs, an outcome is positive if a client is a stayer or exited to a PH destination that does not have a subsidy attached.. Use **Attachment 2** to see project score.

Scoring ranges created based on a standard deviation.

Points Earned: _____

12. Positive Outcomes (Attachment 3) **Max 5 Pts.**

Has at least 20% of your participants exited to a positive housing destination that does not have a subsidy attached?

☐Yes- 5 pts
☐No- 0 pts

Points Earned: _____

13. Exits to Homelessness (Attachment 4) **Max 10 Pts**

Please use **Attachment 4** showing all project leavers and note the project score based on those who exited to the shelter or the street.

Scoring ranges created based on a standard deviation.

Points Earned: _____

14. Returns to Homelessness (Attachment 5) **Max 5 Pts**

Was your projects returns to homelessness less than 8% after 24 months?

☐Yes – 5 pts
☐No – 0 pts

Points Earned: _____

15. Income Growth (Attachment 6a and 6b) **Max 20 Pts**

Source: FFY25 APR Q19. Cash Income – Changes over Time
Scoring ranges created based on a standard deviation.

15a. What percentage of **clients (leavers)** gained or increased **employment income at program exit?** Use attachment 6a. to see project score.
(10 points)
*****_This question will be weighted in the final score if your project had no leavers in the year analyzed._

Points Earned: _____

15b. What percentage of **clients (stayers)** gained or increased **employment income between start and annual assessment?** Use attachment 6b. to see project score. (10 points)
*_This question will be weighted in the final score if your project had no stayers with annual assessments_.

Points Earned: _____

16. Increase in Employment Income **Max 8 Pts**

Did at least 20% of your program participants show an increase in employment-based income at annual assessment? This will be confirmed with your HMIS administrator

☐Yes – 8 pts
☐No – 0 pts

Points Earned: _____

17. Increase Employment Income Leavers **Max 8 Pts**

Did at least 25% of your program leavers have an increase in employment-based income? - This will be confirmed with your HMIS administrator.

☐Yes – 8 pts
☐No – 0 pts

Points Earned: _____

18. Tracking Participant Outcomes (Attachment 7) **Max 5 Pts**

When tracking participant outcomes in HMIS and Comparable Databases: Is your projects unknown exit destinations in HMIS less than 10%?

☐Yes – 5 pts
☐No – 0 pts

Points Earned: _____

OTHER PART 1 QUESTIONS:

19. Coordinated Entry: **Max 5 Pts**

Responses will be verified with the CE lead.

19a. Does your project comply with HUD requirements and ONLY admit clients who have been referred through the Coordinated Entry (CE) process?

□ **Yes 1.5 pts** □ **No 0 pts**

19b. Has your agency attended at least 75% of the bi-weekly SPOA/CE meetings in the last year?

□ **Yes 1 pts** □ **No 0 pts**

19c. Have all agency staff participating in the Coordinated Entry process received the required CE and HMIS/CE in HMIS training? (For Victim Service Providers, do you have staff trained to participate in the comparable Coordinated Entry system and HMIS-comparable database?)

□ **Yes 1.5 pts** □ **No 0 pts**

19d. Has your agency signed and returned to the CE lead agency the Coordinated Entry MOU?

□ **Yes 1 pts** □ **No 0 pts** **Points Earned_____**

FY2026 Rank & Review Renewal Application
Part II – Project / System Performance Narratives

PROJECT INFORMATION

Organization Name:_____

Project Name:_____

The below information is provided to give reviewers an idea of your project. It is not scored.

FY26 Funding Request: _____

Leasing	$_____
Rental Assistance	$_____
Supportive Services	$_____
Operations	$_____
Admin	$_____

Number of beds as listed in Esnaps: _____

PROJECT DESCRIPTION

Please provide a brief project overview.

Please include:

- The target population/s and number of participants served
- Number of contracted beds/units/vouchers
- Project goals and achievements

Please explain:

- The primary services provided to participants and how this will remove barriers to stable housing and increase participant self sufficiency
- Supplementary services available to participants and how this will remove barriers to stable housing and increase participant self sufficiency

- How you formulate an individualized service plan for each participant and how you effectively communicate the need for participants to engage in supportive services
- How your project coordinates services with other funded and non-funded providers to leverage services (if applicable.).

20. Cooperation with Local Law Enforcement/ First Responders **Max 5 Pts**

How does your agency cooperate with local law enforcement and first responders to help reduce encampments and enhance public safety?

21. System Performance- Housing Stability & Reducing Returns to Homelessness

Max 5 points

What strategies does your program use to ensure clients who exit to a permanent housing destination remain stably housed? (250 words or less)

- Up to 2.5 points awarded if the narrative clearly states the strategy your program uses.
- Up to 2.5 points awarded if the narrative clearly state(s) examples.

22. Connection to Services **Max 5 Pts.**

Describe how your project identifies individuals experiencing serious mental illness and connects them with the services necessary to promote stability.

Partnerships with programs such as Assertive Community Treatment Teams or other models that combine intensive care coordination, assertive outreach and comprehensive treatment are highly encouraged.

23. Substance Abuse Service Connections **Max 5 Pts.**

Does your project currently partner with Drug Court or other specialty courts serving individuals with mental and substance use disorders? Please describe how your project coordinates with these providers to support stability and movement towards independence, including leveraging court orders.

24. Supporting Self-Sufficiency **Max 10 Pts**

One of the goals of CoC-funded programs is to provide services that result in self-sufficiency and independence of participants to the extent possible. Provide specific examples of accomplishments offered through your program that demonstrate participants' ability to live more independently. Examples will vary by program (i.e. housing stability, attaining or sustaining mainstream benefits, connecting participants to health, behavioral health or substance use disorder treatment, etc.).

25. Dedicated Domestic Violence Projects *Only*- Positive Outcomes/Safety **Max 5 Pts**

Outcomes considered positive for DV programs may not be the same as positive outcomes for Permanent Supportive Housing programs. How does your agency contribute to housing stability and ensure safety for survivors of domestic violence across the CoC?

- Up to 2.5 points awarded if the narrative clearly describes positive outcomes through the DV provider lens.
- Up to 2.5 points awarded if the narrative clearly describes how the agency contributed to positive housing stability and ensure safety for survivors of domestic violence across the CoC.

26. Dedicated Youth Projects *Only*- Positive Outcomes **Max 5 Pts**

Outcomes considered positive for youth programs may not be the same as positive outcomes for Permanent Supportive Housing programs. How does your agency contribute to housing stability across the CoC?

- Up to 2.5 points awarded if the narrative clearly describes positive outcomes through the Youth provider lens.
- Up to 2.5 points awarded if the narrative clearly describes how the agency contributed to positive housing stability across the CoC.

Renewal Project RFP 2026

Please provide a 12-month Budget Proposal (required for review):

ACTIVITY	CoC FUNDS REQUESTED	NOTES
A. Leasing	$	
B. Rental Assistance	$	
C. Support Services	$	
1. Salaries	$	
2. Benefits	$	
3. Other	$	
D. VAWA (New eligible activity)	$	
E. Rural Costs (New eligible activity)	$	
F. Operating	$	
G. Admin (up to 10%)	$	
H. Total Project Costs	$	
MATCH	**AMOUNT**	**SOURCE**
I. 25% Match Requirement	$	

FY26 Continuum of Care Competition – Rank & Review Certification
Project Threshold Review

Instructions:

All agencies applying for CoC funding must review and sign off on the below Project Eligibility Threshold, Project Quality Threshold (broken down by New Projects and Renewal Projects), and Post Award Requirements as part of the Rank & Review process. Please return to CARES with your Rank & Review applications.

Project Eligibility Threshold (pg. 59-61 of the FY26 CoC NOFO)

Applicants who fail to meet the following threshold eligibility requirements are ineligible to apply for funds during this competition. Please review the following requirements and sign off at the end of the document to verify your project eligibility.

(1) Project applicants and potential subrecipients must meet the eligibility requirements of the CoC Program as described in the Act and the Rule and provide evidence of eligibility required in the application (e.g., nonprofit documentation).

(2) Project applicants and subrecipients must demonstrate the financial and management capacity and experience to carry out the project as detailed in the project application and the capacity to administer federal funds. Demonstrating capacity may include a description of the applicant and subrecipient experience with similar projects and with successful administration of SHP, S+C, or CoC Program funds or other federal, state, local, or private resources.

(3) Project applicants must submit the required certifications specified in this NOFO.

(4) The population to be served must meet program eligibility requirements as described in the Act, the Rule, and section III.G.10 of this NOFO.

(5) Project applicants, except Collaborative Applicants that only receive awards for CoC Planning costs and, if applicable, UFA Costs, must agree to participate in a local HMIS system. However, in accordance with Section 407 of the Act, any victim service provider that is a recipient or subrecipient must not disclose, for purposes of HMIS, any personally identifying information about any client. Victim service providers must use a comparable database that meets the needs of the local HMIS.

(6) Project applicants must certify affirmatively to the following:

- The project applicant will not engage in racial preferences or other forms of illegal discrimination.
- The project applicant will not operate drug injection sites or "safe consumption sites," knowingly distribute drug paraphernalia on or off of property under their control, permit the use or distribution of illicit drugs on property under their control, or conduct any of these activities under the pretext of "harm reduction."

I Certify that my project is eligible to apply for HUD CoC funding under the above criteria:

Signature of applicant: _____

NEW Projects: Project Quality Threshold (pg. 62 of the NOFO):

Please note: New Project Applications need to meet additional criteria to be eligible. Please see pg. 59-62 of the FY26 CoC NOFO.

HUD will review all new project applications to determine if they meet the following project quality threshold requirements HUD will not award funds to a new project unless the project was created through reallocation, or the CoC has demonstrated to HUD's satisfaction that projects are evaluated and ranked based on the degree to which they improve the CoC's system performance.

(1) HUD will consider any project requesting renewal funding, including renewing YHDP and renewing Special NOFO projects, as having met project quality threshold requirements through its previously approved grant application unless HUD receives information to the contrary or if the renewal project has compliance issues which results in the project not operating in accordance with the Rule.

(2) HUD will consider YHDP Replacement project applications including applications for new YHDP projects created through YHDP reallocation as having met project quality threshold requirements if the project application activities and costs are eligible under this NOFO. If a YHDP Replacement (including YHDP Reallocation) project application is not for activities and costs that are eligible under this NOFO, HUD will not reject the project under this project quality threshold, but HUD will require the project applicant to correct or revise information submitted after the final CoC Program award announcement but before executing the grant agreement.

(3) HUD will review the UFA Costs submitted by the UFA designated Collaborative Applicant to ensure appropriate match and eligibility of costs requested.

(4) HUD will assess all new project applications for the following minimum project eligibility, capacity, timeliness, and performance standards.

> **(a)** project applicants must have satisfactory capacity, drawdowns, and performance for existing grant(s) funded under the CoC Program, as evidenced by timely reimbursement of subrecipients, regular drawdowns, and timely resolution of any monitoring findings; however, this does not apply to project applicants who have never received a CoC Program funded project;
> **(b)** for expansion project applications, project applicants must describe the part of the project that is being expanded and demonstrate the project is not replacing other funding sources; and
> **(c)** project applicants must demonstrate their ability to meet all timeliness standards per 24 CFR 578.85. HUD reserves the right to deny a funding request for a new project, if the request is made by an existing recipient that HUD finds to have significant issues related to capacity, performance, unresolved audit, or monitoring findings related to one or more existing grants; or does not routinely draw down funds from eLOCCS at least once per quarter. HUD also reserves the right to withdraw funds if no APR is submitted on the prior grant.

(5) HUD reserves the right to verify past performance and evaluate the eligibility of a project application submitted during the CoC Program Competition for the following reasons:

> **(a)** evidence that the project has previously or currently conducts activities that subsidize or facilitate racial preferences or other forms of illegal discrimination or conduct activities that rely on or otherwise use a definition of sex other than as binary in humans.
> **(b)** evidence that the project operates drug injection sites or "safe consumption sites," knowingly distributes drug paraphernalia on or off of property under their control, permits the use or

distribution of illicit drugs on property under their control, or conducts any of these activities under the pretext of "harm reduction."

(6) Additionally, for HUD to consider new projects as meeting project quality threshold, each new project must meet the following criteria as applicable. If awarded, a recipient must meet all the criteria listed in the criteria column for its component.

I Certify that my NEW project will pass quality threshold review to apply for HUD CoC funding under the above criteria:

Signature of applicant: _____

FY26 Continuum of Care Competition – Rank & Review Certification
Project Threshold Review

RENEWAL Projects: Project Renewal Threshold (pg. 61-62):

CoCs must consider the need to continue funding for projects expiring in CY 2026 (January 1, 2026 to December 31, 2026) when applying for FY 2026 CoC and YHDP funding. Renewal projects must meet the minimum project eligibility, capacity, timeliness, and performance standards identified in this NOFO or they will be rejected from consideration for funding:

(1) When considering renewal projects for award; HUD will review information in eLOCCS, APRs, and information provided from the local HUD CPD field office; including monitoring reports and audit reports as applicable, and performance standards on prior grants, and will assess projects using the following criteria on a pass/fail basis:

(a) whether the project applicant's performance met the plans and goals established in the initial application, or grant as amended;
(b) whether the project applicant demonstrated all timeliness standards for grants being renewed have been met, including those standards for the expenditure of grant funds;
(c) the project applicant's performance in assisting program participants to achieve and maintain self-sufficiency and independent living and records of success, except dedicated HMIS projects are not required to meet this standard; and
(d) evidence of unwillingness of project applicants to accept technical assistance, a history of inadequate financial accounting practices, indications of project mismanagement, a drastic reduction in the population served, program changes have been made without prior HUD approval, or the loss of project site control.

(2) HUD reserves the right to reduce or reject a project application submitted during the CoC Program Competition for the following reasons:

(a) outstanding obligation to HUD that is in arrears or for which a payment schedule has not been agreed upon;
(b) audit finding(s) for which a response is overdue or unsatisfactory;
(c) history of inadequate financial management accounting practices;
(d) evidence of untimely expenditures on prior award;
(e) history of other major capacity issues that have significantly affected the operation of the project and its performance;
(f) history of not reimbursing subrecipients for eligible costs in a timely manner, or at least quarterly; and
(g) history of serving ineligible program participants, expending funds on ineligible costs, or failing to expend funds within statutorily established timeframes.
(h) evidence that the project has previously or currently conducts activities that subsidize or facilitate racial preferences or other forms of illegal discrimination or conduct activities that rely on or otherwise use a definition of sex other than as binary in humans.
(i) evidence that the project operates drug injection sites or "safe consumption sites," knowingly distributes drug paraphernalia on or off of property under their control, permits the use or distribution of illicit drugs on property under their control, or conducts any of these activities under the pretext of "harm reduction."

I Certify that my RENEWAL project will pass quality threshold review to apply for HUD CoC funding under the above criteria:

Signature of applicant: _____

Post Award Requirements and Administration (pg. 107-109)

If your project is chosen for funding in this round of the competition you must agree to follow the applicable provisions in the Administrative, National & Departmental Policy Requirements and Terms for HUD Financial Assistance-2025. You must comply with these applicable provisions:

1. The Fair Housing Act (42 USC 3601-3619) and Civil Rights laws which encompass the Fair Housing Act and related authorities (24 CFR 5.105(a))
2. Affirmatively Furthering Fair Housing (AFFH) requirements, (42 USC § 3608(e)(5)) and implementing regulations at 24 CFR 5.150 et seq.as amended by 90 FR 11020.
3. Economic Opportunities for Low-and Very Low-income Persons (12 USC 1701u) requirements, including those listed at 24 CFR part 75
4. Compliance with Immigration Requirements (8 U.S.C. 1601-1646; Executive Order 14218)
5. Accessible Technology requirements, (29 USC § 794d, 29 USC 794, 42 USC 12131-12165) and implementing regulations at 36 CFR part 1194 (Section 508 regulations),24 CFR§ 8.6 (Section 504 effective communication regulations), 28 CFR part 35, subpart H (DOJ Web Access Rule), and 28 CFR part 35, subpart E (DOJ's Title II communications regulations)
6. Ensuring, when possible, small businesses, minority businesses, women's business enterprises, veteran-owned businesses, and labor surplus area firms receive consideration consistent with 2 CFR 200.321
7. Equal Participation of Faith-based Organizations in HUD Programs and Activities consistent with 42 U.S.C. 2000bb et seq.; 42 U.S.C. 2000d et seq.; 24 CFR 5.109; and Executive Orders 14202, *Eradicating Anti-Christian Bias* and EO 14205, *Establishment of the White House Faith Office*.
8. Uniform Relocation Assistance and Real Property Acquisition Policies Act (42 USC § 4601 et seq.) (URA) requirements, 49 CFR part 24, and applicable program regulations
9. Participation in HUD-Sponsored Program Evaluation (12 USC 1701z-1; 12 USC 1702z-2; 24 CFR part 60; and FR-6278-N-01)
10. OMB Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 CFR part 200)
11. Drug-Free Workplace requirements (2 CFR part 2429)
12.HUD requirements related to safeguarding resident/client files (e.g., 2 CFR 200.303(e))
13. The Federal Funding Accountability and Transparency Act of 2006 (2 CFR part 170) (FFATA), as amended
15. Accessibility for Persons with Disabilities requirements (29 USC § 794) and implementing regulations at 24 CFR parts 8 and 100; 28 CFR part 35
16. Applicable Violence Against Women Act requirements in the Housing Chapter of VAWA (34 USC § 12491-12496) 24 CFR part 5, subpart L, and program-specific regulations.
17. Conducting Business in Accordance with Ethical Standards/Code of Conduct, including 2 CFR 200.317, 2 CFR 200.318(c) and other applicable conflicts of interest requirements
18. Build America, Buy America (BABA) Act procurement purchase requirements
20. Environmental requirements that apply in accordance with 24 CFR part 50 or part 58
22. Unless prohibited by law and to the extent permitted under the Freedom of Information Act (FOIA), your application and post-award content may be released to the public in response to FOIA requests, except to the extent that certain information may be withheld under a FOIA exemption (5 USC § 552(b); 24 CFR 15.107(b)). HUD may also share your information within HUD or with other Federal agencies if HUD determines that sharing is relevant to the respective program's objectives.
23. Waste, Fraud, Abuse, and Whistleblower Protections. 41 USC § 4712, which includes informing your employees in writing of their rights and remedies, in the predominant native language of the workforce.

Under 41 U.S.C. § 4712, employees of a contractor, subcontractor, grantee, subgrantee, and personal services contractor may not be discharged, demoted, or otherwise discriminated against as a reprisal for disclosing information that the employee reasonably believes is evidence of gross mismanagement of a Federal contract or grant, a gross waste of Federal funds, an abuse of authority relating to a Federal contract or grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a Federal contract (including the competition for or negotiation of a contract) or grant. (See Federal Contractor or Grantee Protections | Office of Inspector General, Department of Housing and Urban Development (hudoig.gov))

24. Implementing Presidential Executive Actions affecting federal financial assistance programs, as advised by the Department, unless otherwise restricted by law: Executive Order (EO) 14219 (Ensuring Lawful Governance and Implementing the President's "Department of Government Efficiency" Deregulatory Initiative); 14218 (Ending Taxpayer Subsidization of Open Borders); guidance resulting from the White House Task Force established by 14202 (Eradicating Anti-Christian Bias) and the Senior Advisor to the White House Faith Office assigned by 14205 (Establishment of the White House Faith Office); 14182 (Enforcing the Hyde Amendment); 14173 (Ending Illegal Discrimination and Restoring Merit-Based Opportunity); 14168 (Defending Women From Gender Ideology Extremism and Restoring Biological Truth to the Federal Government); 14151 (Ending Radical and Wasteful Government DEI Programs and Preferencing); and 14148 (Initial Rescissions of HarmfulExecutive Orders and Actions)

In addition:

1. Awards made under this NOFO will not be used to conduct activities that subsidize or facilitate racial preferences or other forms of illegal discrimination, including activities where race or intentional proxies for race will be used as a selection criterion for employment or program participation; or conduct activities that rely on or otherwise use a definition of sex as other than binary in humans 14332 (Improving Oversight of Federal Grantmaking).
2. Awards made under this NOFO will not be used to fund, promote, encourage, subsidize or facilitate the use of illicit drugs.
3. Awards made under this NOFO will not be used to fund any project, service provider, or organization that operates drug injection sites or "safe consumption sites," knowingly distributes drug paraphernalia on or off of property under their control, permits the use or distribution of illicit drugs on property under their control, or conducts any of these activities under the pretext of "harm reduction." 14321 (Ending Crime and Disorder on America's Streets).
4. All agreements or contracts made with subrecipients under this NOFO must contain the identical terms and conditions as those in the grant agreement issued by HUD.

Any additional or conflicting terms and conditions must be approved by HUD.

I certify that if my project is awarded funding in the FY26 CoC NOFO Competition, I will comply with all of the above per the CoC Application requirements:

Signature of applicant: _____

1D-1: Project Review and Ranking Process Your CoC Used in its Local Competition.

1. Scoring tool used in NY-523 local competition to score new and renewal application and for all project application types.

(c) Blank New Project TH Application Tool

CoC NY-523: Saratoga – North Country Continuum of Care

New Project RFP 2026
(Expansion, Reallocation and/or Bonus Projects)
Transitional Housing Projects

Please note: Being selected through the Rank & Review process does not guarantee funding; rather it allows the project to apply to HUD for CoC funding, which is a nationally competitive process.

Total Points Available: 250

1. Applicant/Agency Name:_____

2. Agency Point of Contact (Name & Email):_____
Note: Please make this unique (i.e. not – Bonus 2025, or TH 2025).

3. Proposed Project Name: _____

4. Total Number of units and beds being applied for (if applicable):_____

5. Total Number of households/clients projects proposes to serve:_____

6. Which of the below eligible project types are you applying for?

☐ DV Transitional Housing ☐ Transitional Housing

Transitional Housing for families **10 pts**

7. **What type of project are you applying for:**
☐New Project
Expansion Project
Reallocation - to meet HUD priorities **25 pts**

Please list the project you will be expanding:_____
For Expansion projects please describe the part of the project that is being expanded and demonstrate the project is not replacing other funding sources.



CoC NY-523: Saratoga – North Country Continuum of Care

New Project RFP 2026

(Expansion, Reallocation and/or Bonus Projects)

Transitional Housing Projects

8. Timeliness of Project Start

Will the project be able to begin within 12 months? □ Yes □ No

***If you select no- your project will not be able to move forward in the application process**

9. Continuum of Care Participation **Max 10 pts**

Is the applicant a current member in good standing of the Continuum of Care (CoC)?

□ Yes – 10 points □ No – 0 points

10. Encouraging New Agencies to Apply **Max 15 pts**

Is the agency applying a current CoC funded grantee?

□ Yes – 0 points □ No – 15 points

10a. If yes, are there any unresolved monitoring or audit findings from HUD or the CoC?

□ Yes – 0 points □ No – 5 points

SARATOGA-NORTH COUNTRY
Continuum of Care

CoC NY-523: Saratoga – North Country Continuum of Care

New Project RFP 2026

(Expansion, Reallocation and/or Bonus Projects)

Transitional Housing Projects

11. Spent Funding **Max 4 pts**

***This question is weighted for those newly applying for CoC funding**

For Agencies Currently CoC Funded: Within the past 2 contract years, what percentage of funds have you returned to HUD for all CoC funded projects. Please attach your last 2-years of eLOCCS closeouts.

**Please note, this question will be weighted for projects that are not currently CoC-funded.*

% of funds returned: _____ Points_____

0% = 4pts; 1-10% = 3pts; >10% = 0pts

12. Coordinated Entry **Max 10 pts**

Responses will be verified with the CE lead

***If you are applying as a newly funded CoC project please skip to 12d**

12a. Has your agency attended at least 75% of the bi-weekly CE meetings in the last year?

□ Yes – 3 pts □ No - 0 pts

12b. Does your project comply with HUD requirements and ONLY admit clients who have been referred through the Coordinated Entry (CE) process?

□ Yes – 4 pts □ No - 0 pts

12c. Have all agency staff participating in the Coordinated Enty process received the required CE and HMIS/CE in HMIS training? (for victim service providers, do you have staff trained to participate in the comparable Coordinated Entry system and HMIS-comparable database?)

□ Yes – 3 pts □ No - 0 pts

***If you are currently funded CoC project do not answer 12d**

12d. If not, how will you implement CE Participation for this project? For more information on the Coordinated Entry requirements please refer to CoC's Written Standards and CE Written Process. (**0- 10 pts if agency details how CE implementation will be accomplished).**

Note: Participation in CE is required for CoC Funded Projects.

SARATOGA-NORTH COUNTRY
Continuum of Care

CoC NY-523: Saratoga – North Country Continuum of Care

New Project RFP 2026

(Expansion, Reallocation and/or Bonus Projects)

Transitional Housing Projects

13. HMIS Participation **Max 10 pts**

Does your agency currently report in the CoC's HMIS system or use a comparable database?

□ Yes – 10 pts □ No - 0 pts

***If you are currently funded CoC project do not answer 13a**

13a. If not, how will you implement HMIS or a comparable database for this project? For more information on the HMIS requirements please refer to the CoC's Written Standards. (**0- 10 pts if agency details how HMIS or a comparable database implementation will be accomplished**).

***Note: Participation in HMIS or a comparable database is required for all CoC funded projects**

SARATOGA-NORTH COUNTRY
Continuum of Care

CoC NY-523: Saratoga – North Country Continuum of Care

New Project RFP 2026

(Expansion, Reallocation and/or Bonus Projects)

Transitional Housing Projects

14. Project Description **Max 25 pts**

Please provide a brief project description that addresses the scope of your project. Please include:

- The target population that will be served and how the project will assess the service needs of program participants
- How the project will provide and/or partner with other organizations to provide support services necessary to assist program participants to obtain and maintain housing in a manner that fits their needs (i.e. transportation, safety planning, enhanced case management)
- How participation in 20 hours of support services will be required.
- Please demonstrate how this project will be supplemented with other resources from public or private sources that may include mainstream health, social and employment programs such as Medicare, Medicaid, SSI and SNAP.

If you are applying to expand a current TH project please describe how expanding support services to participants will include, where appropriate, on-site support services. For DV projects please include how you will implement victim centered practices and a plan to include survivors with lived expertise.



CoC NY-523: Saratoga – North Country Continuum of Care

New Project RFP 2026

(Expansion, Reallocation and/or Bonus Projects)

Transitional Housing Projects

15. Agency Experience **Max 25 pts**

Please provide a detailed description of the agency's experience in administering projects dedicated to serving your target population.

- **5 points** for detailing past experience serving a similar population or a DV population and how you have successfully helped participants exit homelessness within 24-months;
- **5 points** for explaining how your program has or will partnered with other organizations to provide eligible support services that are necessary to obtain and maintain stable housing;
- **5 points** for detailing other funding sources the agency uses/has used in the past to serve HUD-Defined Homeless populations.
- **5 points** for detailing how you have successfully helped homeless individuals and families exit within 24 months or have a plan in place to ensure that homeless individuals and families will exit within 24 months to a positive destination
- **5 points** for describing how your agency will ensure that 50% of participants exit with employment income

SARATOGA-NORTH COUNTRY
Continuum of Care

CoC NY-523: Saratoga – North Country Continuum of Care

New Project RFP 2026

(Expansion, Reallocation and/or Bonus Projects)

Transitional Housing Projects

Ensuring Self Sufficiency to Move On from Subsidized Programing

16. Service Participation **Max 10 pts**

Please demonstrate how your project will create service plans for each program participant that includes what services will be provided, when, how often, and who will be providing the service. Please describe how your service plans will identify participant goals, the strategy for achieving those goals and target dates for achievement. Please address how you will require program participants to take part in support services. **REQUIRED: Please attach your supportive service agreement (contract, occupancy agreement, lease or equivalent).**

17. Customized Support Services **Max 10 pts**

Please demonstrate how your proposed project will provide 20 hours per week of customized services for each participant (e.g. case management, employment training, substance abuse treatment, etc.) **This question is weighted for those developing a TH project that will serve individuals 55+ or with physical and/or developmental disabilities.**

18. Meeting the Needs of Those on the CE Waitlist **Max 16 pts**

The CoC consistently has people with serious mental illness and substance use diagnoses on the Coordinated Entry waitlist for longer periods of time, on average, than other population types. Please check the support services that your program will provide in an effort to increase self-sufficiency and help clients move on to an unsubsidized permanent housing destination. **You will receive 2pts for each box checked.**

☐ Supporting and accompanying clients to apartment searches to attain housing;
☐ Providing dedicated case management that is offered 20 hours per week;
(please note case management participation can be reduced proportionately for those participants who are employed)
☐ Providing a connection to peer support services;
☐ Providing a connection to professional/clinical support services (i.e. 24/7 detox facility, SUD, Mental Health);
☐ Connections to workforce development and employment-based programs
☐ An MOU with a Workforce Development/Employment based agency to assist clients with job readiness and job placement - **Please Attach**
☐ Partner with Childcare providers to assist participants in gaining employment
☐ An MOU with Childcare providers -**Please Attach**

***Please note that in order to receive points for the MOU's you will need to attach them to your application.**

CoC NY-523: Saratoga – North Country Continuum of Care

New Project RFP 2026

(Expansion, Reallocation and/or Bonus Projects)

Transitional Housing Projects

19. Increasing Site-Based Services **Max 10 pts**

Will your project provide on-site substance abuse and/or mental health services to program participants? Please describe.

20. Increasing Earned Income **Max 10 pts**

How will your project support clients in gaining and maintaining employment based income?

CoC NY-523: Saratoga – North Country Continuum of Care

New Project RFP 2026

(Expansion, Reallocation and/or Bonus Projects)

Transitional Housing Projects

21. Supporting Self-Sufficiency **Max 10 pts**

One of the goals of CoC-funded programs is to provide services that result in self-sufficiency and independence of participants to the extent possible. Please provide examples of how your projects will assist participants in moving towards self-sufficiency (i.e. housing stability, attaining or sustaining mainstream benefits, connecting participants to health, behavioral health or substance use disorder treatment, gaining and maintaining employment etc.)

22. **Self-Sufficiency Outcomes** **Max 10 pts**

Please describe how this project will ensure that at least 50% of program participants exit to permanent housing within 24-months and at least 50% of participants exit with employment income.

SARATOGA-NORTH COUNTRY
Continuum of Care

CoC NY-523: Saratoga – North Country Continuum of Care

New Project RFP 2026

(Expansion, Reallocation and/or Bonus Projects)

Transitional Housing Projects

23. Housing & Healthcare Leveraging MOUs **Max 30 pts**

The CoC will receive up to 4 points on the overall application for successfully demonstrating that agencies are leveraging Healthcare and Housing partnerships to bolster CoC programs. Does your agency have relationships/partnerships with any of the below? Check all that apply.

☐Public/Private Healthcare Organizations
☐PHAs, local housing organizations, or other non-CoC/ESG funding streams

23a. If you checked Public/Private Healthcare Organizations, does your agency have a written commitment from a health care organization with the value of the commitment and the date(s) the healthcare resources will be provided that meets the following criteria:

- In the case of a substance abuse partnership- the substance abuse treatment program will provide **access to all participants who qualify for those services**

- In the case of behavioral health, the assistance being provided is equivalent to **25% of the funding being requested by the project.**

□ Yes- 15 points □ No – 0 points

***Please include this documentation and the completed healthcare leveraging form with your application to receive full points.**

23b. If you checked PHAs, local housing organizations, or other non-CoC/ESG funding streams, will your project utilize housing subsidies or subsidized housing units not funded through the CoC or ESG.

- For PSH the leveraged resources provide at least 25% of the **units** included in the project
- For RRH the leveraged resources provide at least 25% of the program **participants** included in the project

SARATOGA-NORTH COUNTRY
Continuum of Care

CoC NY-523: Saratoga – North Country Continuum of Care

New Project RFP 2026

(Expansion, Reallocation and/or Bonus Projects)

Transitional Housing Projects

***Please provide this documentation and completed housing leverage form with your application to receive full points.**

□ Yes- 15 points □ No – 0 points

24. Minimizing Trauma **Max 10 pts**

Please describe how your program works to minimize the impact of trauma associated with homelessness and/or domestic violence, dating violence and/or stalking.

- 5 Pts for describing your trauma informed approach
- 5 Pts for describing how your project ensures community safety

☐ I understand that if my project scores highly enough to advance in this year's application, that **does NOT mean that my project is guaranteed funding.** Projects that advance in the local process will compete for bonus funds from HUD in the national CoC competition and awards will be shared in early 2026.

I certify that our project will not engage in racial discrimination. This is consistent with the requirements of 2 CFR 200.300(a)

I certify that our project will not operate a drug injection site of "safe consumption site" in violation of 21 U.S.C 856(a)(1), knowingly permit the use or distribution of illicit drugs on property under our control in violation of 21 U.S.C. 856(a)(2), or knowingly distribute drug paraphernalia in violation of 21 U.S.C. 863. This is consistent with the requirements of 2 CFR 200.300(a).

CoC NY-523: Saratoga – North Country Continuum of Care

New Project RFP 2026
(Expansion, Reallocation and/or Bonus Projects)
Transitional Housing Projects

Please provide a 12-month Budget Proposal (required for review):

ACTIVITY	CoC FUNDS REQUESTED	NOTES
A. Leasing	$	
B. Rental Assistance *Note: You may only receive rental assistance if you are a Public Housing Authority or another municipal entity*	$	
C. Support Services	$	
1. Salaries	$	
2. Benefits	$	
3. Other	$	
D. VAWA (New eligible activity)	$	
E. Rural Costs (New eligible activity)	$	
F. Operating	$	
G. Admin (up to 10%)	$	
H. Total Project Costs	$	
MATCH	**AMOUNT**	**SOURCE**
I. 25% Match Requirement	$	

Checklist for New CoC Project Applicants that are Leveraging Housing Resources

You have indicated that your proposed project will be able to **leverage housing resources**.

***You must submit to CARES (1) the below checklist and (2) a contract, letter of commitment, or other formal written documents from the funding body that includes:**

Project name should be listed in the commitment documentation

Project name:

Source of commitment should be listed in the commitment documentation (i.e. private organizations, state or local government, PHAs, faith-based organizations, federal programs other than CoC or ESG programs)

Source of Commitment:

Amount of funding committed per the following:

PSH & TH Projects: the number of housing units for a PSH and/or TH projects that are not funded through the CoC or ESG Programs. The number of units must be at least 25% of the total units to be provided by the proposed new CoC project application for full points.

OR

RRH: The number of program participants to be provided RRH that is not funded through the CoC or ESG Programs. The number of program participants to be served must be at least 25% of the total participants to be served in the proposed CoC new project application for full points.

Calculation showing the amount of non-CoC/ESG resources committed in the written commitment, and that this is at least 25% of units (for PSH and/or TH) or participants (for RRH) to be served through the proposed New CoC Project Application:

The date** that the funding (outside of CoC or ESG programs) will be available is on the written commitment (should run concurrently with the new project).
***Must dated between May 1, 2026 and September 30, 2027.*

Dates of housing commitment:

Checklist for New CoC Project Applicants that are Leveraging Healthcare Resources

You have indicated that your proposed project will be able to **leverage healthcare resources**.

*You must submit to CARES (1) the below checklist and (2) a contract, letter of commitment, or other formal commitment that includes the following:

The name of the project

Project name:

Amount of assistance to be provided by the healthcare organization:

☐ The value (dollar amount) of the healthcare commitment being provided (must be at least 25% of the total funding requested)

OR

If the partner agency is a substance abuse and/or recovery program, a guarantee that the services will be provided to all eligible participants of this project. Evaluation cost $200 per person

Calculation showing the amount of healthcare resources committed in the written commitment, and that this is at least 25% of funding requested through the proposed New CoC Project Application, OR confirm the substance abuse and/or recovery program will offer services to all eligible participants.

The date** that the services and/or committed funding will be available is on the written commitment (must run concurrently with the new project)
**Must be dated between May 1,2026 and September 30, 2027.
Date of healthcare commitment:

Affirmation that project eligibility for program participants in the new project will be based on CoC Program fair housing requirements and will not be restricted by the health care service provider.

FY26 Continuum of Care Competition – Rank & Review Certification
Project Threshold Review

Instructions:

All agencies applying for CoC funding must review and sign off on the below Project Eligibility Threshold, Project Quality Threshold (broken down by New Projects and Renewal Projects), and Post Award Requirements as part of the Rank & Review process. Please return to CARES with your Rank & Review applications.

Project Eligibility Threshold (pg. 59 - 61 of the FY26 CoC NOFO)

Applicants who fail to meet the following threshold eligibility requirements are ineligible to apply for funds during this competition. Please review the following requirements and sign off at the end of the document to verify your project eligibility.

(1) Project applicants and potential subrecipients must meet the eligibility requirements of the CoC Program as described in the Act and the Rule and provide evidence of eligibility required in the application (e.g., nonprofit documentation).

(2) Project applicants and subrecipients must demonstrate the financial and management capacity and experience to carry out the project as detailed in the project application and the capacity to administer federal funds. Demonstrating capacity may include a description of the applicant and subrecipient experience with similar projects and with successful administration of SHP, S+C, or CoC Program funds or other federal, state, local, or private resources.

(3) Project applicants must submit the required certifications specified in this NOFO.

(4) The population to be served must meet program eligibility requirements as described in the Act, the Rule, and section III.G.10 of this NOFO.

(5) Project applicants, except Collaborative Applicants that only receive awards for CoC Planning costs and, if applicable, UFA Costs, must agree to participate in a local HMIS system. However, in accordance with Section 407 of the Act, any victim service provider that is a recipient or subrecipient must not disclose, for purposes of HMIS, any personally identifying information about any client. Victim service providers must use a comparable database that meets the needs of the local HMIS.

(6) Project applicants must certify affirmatively to the following:

- The project applicant will not engage in racial preferences or other forms of illegal discrimination.
- The project applicant will not operate drug injection sites or "safe consumption sites," knowingly distribute drug paraphernalia on or off of property under their control, permit the use or distribution of illicit drugs on property under their control, or conduct any of these activities under the pretext of "harm reduction."

I Certify that my project is eligible to apply for HUD CoC funding under the above criteria:

Signature of applicant: _____

NEW Projects: Project Quality Threshold (pg. 62 of the NOFO):

Please note: New Project Applications need to meet additional criteria to be eligible. Please see pg. 59-62 of the FY26 CoC NOFO.

HUD will review all new project applications to determine if they meet the following project quality threshold requirements HUD will not award funds to a new project unless the project was created through reallocation, or the CoC has demonstrated to HUD's satisfaction that projects are evaluated and ranked based on the degree to which they improve the CoC's system performance.

(1) HUD will consider any project requesting renewal funding, including renewing YHDP and renewing Special NOFO projects, as having met project quality threshold requirements through its previously approved grant application unless HUD receives information to the contrary or if the renewal project has compliance issues which results in the project not operating in accordance with the Rule.

(2) HUD will consider YHDP Replacement project applications including applications for new YHDP projects created through YHDP reallocation as having met project quality threshold requirements if the project application activities and costs are eligible under this NOFO. If a YHDP Replacement (including YHDP Reallocation) project application is not for activities and costs that are eligible under this NOFO, HUD will not reject the project under this project quality threshold, but HUD will require the project applicant to correct or revise information submitted after the final CoC Program award announcement but before executing the grant agreement.

(3) HUD will review the UFA Costs submitted by the UFA designated Collaborative Applicant to ensure appropriate match and eligibility of costs requested.

(4) HUD will assess all new project applications for the following minimum project eligibility, capacity, timeliness, and performance standards.

> **(a)** project applicants must have satisfactory capacity, drawdowns, and performance for existing grant(s) funded under the CoC Program, as evidenced by timely reimbursement of subrecipients, regular drawdowns, and timely resolution of any monitoring findings; however, this does not apply to project applicants who have never received a CoC Program funded project;
> **(b)** for expansion project applications, project applicants must describe the part of the project that is being expanded and demonstrate the project is not replacing other funding sources; and
> **(c)** project applicants must demonstrate their ability to meet all timeliness standards per 24 CFR 578.85. HUD reserves the right to deny a funding request for a new project, if the request is made by an existing recipient that HUD finds to have significant issues related to capacity, performance, unresolved audit, or monitoring findings related to one or more existing grants; or does not routinely draw down funds from eLOCCS at least once per quarter. HUD also reserves the right to withdraw funds if no APR is submitted on the prior grant.

(5) HUD reserves the right to verify past performance and evaluate the eligibility of a project application submitted during the CoC Program Competition for the following reasons:

> **(a)** evidence that the project has previously or currently conducts activities that subsidize or facilitate racial preferences or other forms of illegal discrimination or conduct activities that rely on or otherwise use a definition of sex other than as binary in humans.
> **(b)** evidence that the project operates drug injection sites or "safe consumption sites," knowingly distributes drug paraphernalia on or off of property under their control, permits the use or

distribution of illicit drugs on property under their control, or conducts any of these activities under the pretext of "harm reduction."

(6) Additionally, for HUD to consider new projects as meeting project quality threshold, each new project must meet the following criteria as applicable. If awarded, a recipient must meet all the criteria listed in the criteria column for its component.

I Certify that my NEW project will pass quality threshold review to apply for HUD CoC funding under the above criteria:

Signature of applicant: _____

FY26 Continuum of Care Competition – Rank & Review Certification
Project Threshold Review

RENEWAL Projects: Project Renewal Threshold (pg. 61-62):

CoCs must consider the need to continue funding for projects expiring in CY 2026 (January 1, 2026 to December 31, 2026) when applying for FY 2026 CoC and YHDP funding. Renewal projects must meet the minimum project eligibility, capacity, timeliness, and performance standards identified in this NOFO or they will be rejected from consideration for funding:

(1) When considering renewal projects for award; HUD will review information in eLOCCS, APRs, and information provided from the local HUD CPD field office; including monitoring reports and audit reports as applicable, and performance standards on prior grants, and will assess projects using the following criteria on a pass/fail basis:

(a) whether the project applicant's performance met the plans and goals established in the initial application, or grant as amended;
(b) whether the project applicant demonstrated all timeliness standards for grants being renewed have been met, including those standards for the expenditure of grant funds;
(c) the project applicant's performance in assisting program participants to achieve and maintain self-sufficiency and independent living and records of success, except dedicated HMIS projects are not required to meet this standard; and
(d) evidence of unwillingness of project applicants to accept technical assistance, a history of inadequate financial accounting practices, indications of project mismanagement, a drastic reduction in the population served, program changes have been made without prior HUD approval, or the loss of project site control.

(2) HUD reserves the right to reduce or reject a project application submitted during the CoC Program Competition for the following reasons:

(a) outstanding obligation to HUD that is in arrears or for which a payment schedule has not been agreed upon;
(b) audit finding(s) for which a response is overdue or unsatisfactory;
(c) history of inadequate financial management accounting practices;
(d) evidence of untimely expenditures on prior award;
(e) history of other major capacity issues that have significantly affected the operation of the project and its performance;
(f) history of not reimbursing subrecipients for eligible costs in a timely manner, or at least quarterly; and
(g) history of serving ineligible program participants, expending funds on ineligible costs, or failing to expend funds within statutorily established timeframes.
(h) evidence that the project has previously or currently conducts activities that subsidize or facilitate racial preferences or other forms of illegal discrimination or conduct activities that rely on or otherwise use a definition of sex other than as binary in humans.
(i) evidence that the project operates drug injection sites or "safe consumption sites," knowingly distributes drug paraphernalia on or off of property under their control, permits the use or distribution of illicit drugs on property under their control, or conducts any of these activities under the pretext of "harm reduction."

I Certify that my RENEWAL project will pass quality threshold review to apply for HUD CoC funding under the above criteria:

Signature of applicant: _____

Post Award Requirements and Administration (pg. 107-109)

If your project is chosen for funding in this round of the competition you must agree to follow the applicable provisions in the Administrative, National & Departmental Policy Requirements and Terms for HUD Financial Assistance-2025. You must comply with these applicable provisions:

1. The Fair Housing Act (42 USC 3601-3619) and Civil Rights laws which encompass the Fair Housing Act and related authorities (24 CFR 5.105(a))
2. Affirmatively Furthering Fair Housing (AFFH) requirements, (42 USC § 3608(e)(5)) and implementing regulations at 24 CFR 5.150 et seq.as amended by 90 FR 11020.
3. Economic Opportunities for Low-and Very Low-income Persons (12 USC 1701u) requirements, including those listed at 24 CFR part 75
4. Compliance with Immigration Requirements (8 U.S.C. 1601-1646; Executive Order 14218)
5. Accessible Technology requirements, (29 USC § 794d, 29 USC 794, 42 USC 12131-12165) and implementing regulations at 36 CFR part 1194 (Section 508 regulations),24 CFR§ 8.6 (Section 504 effective communication regulations), 28 CFR part 35, subpart H (DOJ Web Access Rule), and 28 CFR part 35, subpart E (DOJ's Title II communications regulations)
6. Ensuring, when possible, small businesses, minority businesses, women's business enterprises, veteran-owned businesses, and labor surplus area firms receive consideration consistent with 2 CFR 200.321
7. Equal Participation of Faith-based Organizations in HUD Programs and Activities consistent with 42 U.S.C. 2000bb et seq.; 42 U.S.C. 2000d et seq.; 24 CFR 5.109; and Executive Orders 14202, *Eradicating Anti-Christian Bias* and EO 14205, *Establishment of the White House Faith Office*.
8. Uniform Relocation Assistance and Real Property Acquisition Policies Act (42 USC § 4601 et seq.) (URA) requirements, 49 CFR part 24, and applicable program regulations
9. Participation in HUD-Sponsored Program Evaluation (12 USC 1701z-1; 12 USC 1702z-2; 24 CFR part 60; and FR-6278-N-01)
10. OMB Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 CFR part 200)
11. Drug-Free Workplace requirements (2 CFR part 2429)
12.HUD requirements related to safeguarding resident/client files (e.g., 2 CFR 200.303(e))
13. The Federal Funding Accountability and Transparency Act of 2006 (2 CFR part 170) (FFATA), as amended
15. Accessibility for Persons with Disabilities requirements (29 USC § 794) and implementing regulations at 24 CFR parts 8 and 100; 28 CFR part 35
16. Applicable Violence Against Women Act requirements in the Housing Chapter of VAWA (34 USC § 12491-12496) 24 CFR part 5, subpart L, and program-specific regulations.
17. Conducting Business in Accordance with Ethical Standards/Code of Conduct, including 2 CFR 200.317, 2 CFR 200.318(c) and other applicable conflicts of interest requirements
18. Build America, Buy America (BABA) Act procurement purchase requirements
20. Environmental requirements that apply in accordance with 24 CFR part 50 or part 58
22. Unless prohibited by law and to the extent permitted under the Freedom of Information Act (FOIA), your application and post-award content may be released to the public in response to FOIA requests, except to the extent that certain information may be withheld under a FOIA exemption (5 USC § 552(b); 24 CFR 15.107(b)). HUD may also share your information within HUD or with other Federal agencies if HUD determines that sharing is relevant to the respective program's objectives.
23. Waste, Fraud, Abuse, and Whistleblower Protections. 41 USC § 4712, which includes informing your employees in writing of their rights and remedies, in the predominant native language of the workforce.

Under 41 U.S.C. § 4712, employees of a contractor, subcontractor, grantee, subgrantee, and personal services contractor may not be discharged, demoted, or otherwise discriminated against as a reprisal for disclosing information that the employee reasonably believes is evidence of gross mismanagement of a Federal contract or grant, a gross waste of Federal funds, an abuse of authority relating to a Federal contract or grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a Federal contract (including the competition for or negotiation of a contract) or grant. (See Federal Contractor or Grantee Protections | Office of Inspector General, Department of Housing and Urban Development (hudoig.gov))

24. Implementing Presidential Executive Actions affecting federal financial assistance programs, as advised by the Department, unless otherwise restricted by law: Executive Order (EO) 14219 (Ensuring Lawful Governance and Implementing the President's "Department of Government Efficiency" Deregulatory Initiative); 14218 (Ending Taxpayer Subsidization of Open Borders); guidance resulting from the White House Task Force established by 14202 (Eradicating Anti-Christian Bias) and the Senior Advisor to the White House Faith Office assigned by 14205 (Establishment of the White House Faith Office); 14182 (Enforcing the Hyde Amendment); 14173 (Ending Illegal Discrimination and Restoring Merit-Based Opportunity); 14168 (Defending Women From Gender Ideology Extremism and Restoring Biological Truth to the Federal Government); 14151 (Ending Radical and Wasteful Government DEI Programs and Preferencing); and 14148 (Initial Rescissions of HarmfulExecutive Orders and Actions)

In addition:

1. Awards made under this NOFO will not be used to conduct activities that subsidize or facilitate racial preferences or other forms of illegal discrimination, including activities where race or intentional proxies for race will be used as a selection criterion for employment or program participation; or conduct activities that rely on or otherwise use a definition of sex as other than binary in humans 14332 (Improving Oversight of Federal Grantmaking).
2. Awards made under this NOFO will not be used to fund, promote, encourage, subsidize or facilitate the use of illicit drugs.
3. Awards made under this NOFO will not be used to fund any project, service provider, or organization that operates drug injection sites or "safe consumption sites," knowingly distributes drug paraphernalia on or off of property under their control, permits the use or distribution of illicit drugs on property under their control, or conducts any of these activities under the pretext of "harm reduction." 14321 (Ending Crime and Disorder on America's Streets).
4. All agreements or contracts made with subrecipients under this NOFO must contain the identical terms and conditions as those in the grant agreement issued by HUD.

Any additional or conflicting terms and conditions must be approved by HUD.

I certify that if my project is awarded funding in the FY26 CoC NOFO Competition, I will comply with all of the above per the CoC Application requirements:

Signature of applicant: _____

1D-1: Project Review and Ranking Process Your CoC Used in its Local Competition.

1. Scoring tool used in NY-523 local competition to score new and renewal application and for all project application types.

(d) Blank New Project SSO Application Tool

CoC NY-523: Saratoga – North Country Continuum of Care

SSO RFP 2026

(Expansion and/or Bonus Projects)

Including HMIS, CE, DV CE and SSO Projects

Please note: Being selected through the Rank & Review process does not guarantee funding; rather it allows the project to apply to HUD for CoC funding, which is a competitive process.

Total Points Available: 250

1. Applicant/Agency Name:

2. Agency Point of Contact:

3. Proposed Project Name:

4. Physical Agency Address:

5. Address of proposed project (if applicable):

6. Which of the below eligible project types are you applying for?

   □ Coordinated Entry

   □ Coordinated Entry- DV

   □ HMIS

   □ Support Services Only Standalone

   □ Support Services Only Street Outreach

SARATOGA-NORTH COUNTRY
Continuum of Care

CoC NY-523: Saratoga – North Country Continuum of Care

SSO RFP 2026
(Expansion and/or Bonus Projects)

Including HMIS, CE, DV CE and SSO Projects

7. What type of project are you applying for?

   □ New project

   □ Expansion of an existing project

   □ Reallocation- to meet HUD priorities **35 pts**

8. **Timeliness of Project Start**

   Will the project be able to begin within 12 months? □ Yes □ No

***if you select no- your project will not be able to move forward in the application process**

9. Proposed project start date (month/year):

10. **Continuum of Care Participation** **Max 15 pts**
    Is the applicant a current member in good standing of the Continuum of Care (CoC)?

    □ Yes – 15 points □ No – 0 points

11. **Encouraging New Agencies to Apply** **Max 25 pts**
    Is the agency applying a current CoC-funded grantee?

    □ Yes- 0 points □ No - 25 points

CoC NY-523: Saratoga – North Country Continuum of Care

SSO RFP 2026

(Expansion and/or Bonus Projects)

Including HMIS, CE, DV CE and SSO Projects

11a. If yes, Does your agency have any unresolved monitoring or audit findings from HUD or the CoC?

□ Yes – 0 points □ No – 5 points

If yes, please explain:

CoC NY-523: Saratoga – North Country Continuum of Care

SSO RFP 2026

(Expansion and/or Bonus Projects)

Including HMIS, CE, DV CE and SSO Projects

12. **Project Description -** **Max 28 pts**

12a. For SSO Standalone Projects please explain the following:

- 7 pts -Why this support service project is necessary to assist people in exiting homelessness, addressing barriers to stable housing and increasing self-sufficiency
- 7 pts- How annual assessments will be conducted to assess the service needs of program participants
- 7 pts- The strategy your project will use to provide services to eligible program participants including those with histories of unsheltered homelessness and those who do not traditionally engage in support services
- 7 pts- How the project will be supplemented with resources from other public or private sources, that may include mainstream health, social, and employment programs such as Medicaid, Medicare, SSI and SNAP.

CoC NY-523: Saratoga – North Country Continuum of Care

SSO RFP 2026

(Expansion and/or Bonus Projects)

Including HMIS, CE, DV CE and SSO Projects

12b. For SSO Street Outreach Projects please explain the following:

- 7 pts- How the project will be supplemented with resources from other public or private sources, that may include mainstream health, social, and employment programs such as Medicaid, Medicare, SSI and SNAP.

- 7 pts- The strategy your project will use to provide services to eligible program participants including those with histories of unsheltered homelessness and those who do not traditionally engage in support services
- 7 pts- Demonstrate that the applicant has a history of partnering with first responders and law enforcement to engage people living in places not meant for human habitation to access emergency shelter, treatment programs, reunification with family, transitional housing or independent living.
- 7 pts -Why this support service project is necessary to assist people in exiting homelessness and increasing self-sufficiency

CoC NY-523: Saratoga – North Country Continuum of Care

SSO RFP 2026

(Expansion and/or Bonus Projects)

Including HMIS, CE, DV CE and SSO Projects

12c. For SSO-CE, SSO- DV CE please explain the need for this project within your continuum of care. Please also describe your strategy for advertising Coordinated Entry that is specifically designed to reach households experiencing homelessness with the highest needs. Please also describe how you will implement a standardized assessment process and how participants will be directed towards the most appropriate housing and services to meet their needs. (0-28 points)

12d. For HMIS please explain the need for this project within your CoC and how the HMIS funds will be used in a way that furthers the CoC's HMIS implementation and ability to use HMIS as a proactive case management tool to promote treatment and recovery. (0-28 points)

13. **Staffing** **Max 20 pts**

Do you have the proper staffing to administer this program if funded?

□ Yes- 20 pts □ No- 0 pts



CoC NY-523: Saratoga – North Country Continuum of Care

SSO RFP 2026

(Expansion and/or Bonus Projects)

Including HMIS, CE, DV CE and SSO Projects

14. **Barriers to Participation (0-25 pts)** **Max 25 pts**

***This question will be weighted for those applying for an HMIS project**

Identify barriers to participation within your agency/projects and how you ensure that your services are accessible to any eligible participants including those with disabilities within your CoC geographic area .

- 12.5 pts if the applicant describes tangible actions taken at the agency and/or project level to eliminate identified barriers;
- 12.5 pts for describing how the agency advertises their services to community members and/or partners with other agencies to ensure that all eligible people in need of services are aware of supports that may exist for them.



CoC NY-523: Saratoga – North Country Continuum of Care

SSO RFP 2026

(Expansion and/or Bonus Projects)

Including HMIS, CE, DV CE and SSO Projects

SSO Standalone/ SSO Street Outreach Only Please Complete This Section

15. **Will your project participate in HMIS** **Max 30 pts**

□ Yes- 30 pts □ No- 0 pts

16. **Will your project participate with Coordinated Entry** **Max 30 pts**

□ Yes- 30 pts □ No- 0 pts

17. Please list partnerships that you will develop within the community to allow participants to access substance use treatment or detox facilities. **Max 14 pts**

18. Please list partnerships that you will develop within the community to allow participants access to mental health treatment? **Max 14 pts**

19. Please describe your plan on how you will train staff on trauma informed services.

Max 14 pts

Coordinated Entry Projects Only Please Complete This Section

15. **Percentage of Dedicated ARD** **Max 25 pts**

What % of ARD funds are already dedicated to CE? **(CARES will answer this question on your behalf)** _____ **Points**_____

0%-2%=25 pts. 2.1%-3%=20 pts. 3.1%-4%=10 pts. 4.1%-5%= 5 pts >5%=0 pts.

16. **Required Data Elements** **Max 20 pts**

Does your currently funded CE project ensure the following required data elements are entered into HMIS or a comparable database: **(if not currently funded skip to Q16a.)**

□ CE Assessment Element

□ CE Event Element

□ Current Living Situation Element

□ All Yes 20 pts □ Any No's-0 pts

16a. If your agency is not a currently funded CE project, please explain the staffing plan and training your agency will provide to accomplish the above required data elements are entered into HMIS or a comparable database? **(up to 20 pts)**

17. Please list the following entities for your project's CE system:

Policy Oversight Entity: _____

Management Entity: _____

Evaluation Entity: _____

18. Compliance **Max 15 pts**

In 3-5 sentences please describe how your program will work with each to ensure program compliance. **(0-15 pts)**

19. Data Privacy **Max 12 pts**

Does your CE System have a data privacy policy? **□ Yes-12 pts □ No-0 pts**

20. Standardized Assessment **Max 15 pts**

Will your project implement a standardized assessment process? **□ Yes-15 pts □ No-0 pts**

21. Meeting Participants Needs **Max 15 pts**

How will your project ensure that program participants are directed towards the most appropriate housing and services to meet their needs?

□ Yes-15 pts □ No-0 pts

CoC NY-523: Saratoga – North Country Continuum of Care

SSO RFP 2026

(Expansion and/or Bonus Projects)

Including HMIS, CE, DV CE and SSO Projects

HMIS Projects Only Please Complete This Section

15. Percentage of Dedicated ARD **Max 25 pts**

What % of ARD funds are already dedicated to HMIS? **(CARES will answer this question on your behalf)** _____ **Points**_____

0%-2%=25 pts. 2.1%-3%=20 pts. 3.1%-4%=10 pts. 4.1%-5%= 5 pts >5%=0 pts.

16. HMIS Participation **Max 15 pts**

Do 100% of required projects participate in your HMIS project?

□ Yes=15 pts □ No=0 pts

16a. If your HMIS does not currently have 100% required participation what is your plan for engagement? Please explain. **(0-15 pts.)**

CoC NY-523: Saratoga – North Country Continuum of Care

SSO RFP 2026

(Expansion and/or Bonus Projects)

Including HMIS, CE, DV CE and SSO Projects

17. **Timeliness of Reporting** **Max 20 pts**

Has your HMIS project submitted all required reports on time within the last fiscal year? (ex: LSA, SPM and HMIS Grantee APR)

□ Yes-20 pts □ No-0 pts

18. **Data Privacy** **Max 12 pts**

Does your HMIS System have a data privacy policy? □ Yes-12 pts □ No-0 pts

19. Required Data Elements **Max 15 pts**

Does your HMIS collect all Universal Data Elements as set forth in the HMIS Data Standards?

□ Yes-15 pts □ No-0 pts

20.Client Records

Does your HMIS have the ability to run un-duplicated client records? **Max 15 pts**

□ Yes-15 pts □ No-0 pts

☐ I understand that if my project scores highly enough to advance in this year's application, that **does NOT mean that my project is guaranteed funding.** Projects that advance in the local process will compete for bonus funds from HUD in the national CoC competition and awards will be shared in early 2026.

I certify that our project will not engage in racial discrimination. This is consistent with the requirements of 2 CFR 200.300(a)

I certify that our project will not operate a drug injection site of "safe consumption site" in violation of 21 U.S.C 856(a)(1), knowingly permit the use or distribution of illicit drugs on property under our control in violation of 21 U.S.C. 856(a)(2), or knowingly distribute drug paraphernalia in violation of 21 U.S.C. 863. This is consistent with the requirements of 2 CFR 200.300(a).

CoC NY-523: Saratoga – North Country Continuum of Care

SSO RFP 2026

(Expansion and/or Bonus Projects)

Including HMIS, CE, DV CE and SSO Projects

Please provide a 12-month budget proposal (required for review)

ACTIVITY	CoC FUNDS REQUESTED	NOTES
A. Support Services	$	
1. Salaries	$	
2. Benefits	$	
3. Other	$	
B. HMIS	$	
C. VAWA (New eligible activity)	$	
D. Rural Costs (New eligible activity)	$	
E. Admin	$	
F. Total Project Costs	$	
MATCH	**AMOUNT**	**SOURCE**
G. 25% Match Requirement	$	

FY26 Continuum of Care Competition – Rank & Review Certification
Project Threshold Review

Instructions:

All agencies applying for CoC funding must review and sign off on the below Project Eligibility Threshold, Project Quality Threshold (broken down by New Projects and Renewal Projects), and Post Award Requirements as part of the Rank & Review process. Please return to CARES with your Rank & Review applications.

Project Eligibility Threshold (pg. 59-61 of the FY26 CoC NOFO)

Applicants who fail to meet the following threshold eligibility requirements are ineligible to apply for funds during this competition. Please review the following requirements and sign off at the end of the document to verify your project eligibility.

(1) Project applicants and potential subrecipients must meet the eligibility requirements of the CoC Program as described in the Act and the Rule and provide evidence of eligibility required in the application (e.g., nonprofit documentation).

(2) Project applicants and subrecipients must demonstrate the financial and management capacity and experience to carry out the project as detailed in the project application and the capacity to administer federal funds. Demonstrating capacity may include a description of the applicant and subrecipient experience with similar projects and with successful administration of SHP, S+C, or CoC Program funds or other federal, state, local, or private resources.

(3) Project applicants must submit the required certifications specified in this NOFO.

(4) The population to be served must meet program eligibility requirements as described in the Act, the Rule, and section III.G.10 of this NOFO.

(5) Project applicants, except Collaborative Applicants that only receive awards for CoC Planning costs and, if applicable, UFA Costs, must agree to participate in a local HMIS system. However, in accordance with Section 407 of the Act, any victim service provider that is a recipient or subrecipient must not disclose, for purposes of HMIS, any personally identifying information about any client. Victim service providers must use a comparable database that meets the needs of the local HMIS.

(6) Project applicants must certify affirmatively to the following:

- The project applicant will not engage in racial preferences or other forms of illegal discrimination.
- The project applicant will not operate drug injection sites or "safe consumption sites," knowingly distribute drug paraphernalia on or off of property under their control, permit the use or distribution of illicit drugs on property under their control, or conduct any of these activities under the pretext of "harm reduction."

I Certify that my project is eligible to apply for HUD CoC funding under the above criteria:

Signature of applicant: _____

NEW Projects: Project Quality Threshold (pg. 62 of the NOFO):

Please note: New Project Applications need to meet additional criteria to be eligible. Please see pg. 59-62 of the FY26 CoC NOFO.

HUD will review all new project applications to determine if they meet the following project quality threshold requirements HUD will not award funds to a new project unless the project was created through reallocation, or the CoC has demonstrated to HUD's satisfaction that projects are evaluated and ranked based on the degree to which they improve the CoC's system performance.

(1) HUD will consider any project requesting renewal funding, including renewing YHDP and renewing Special NOFO projects, as having met project quality threshold requirements through its previously approved grant application unless HUD receives information to the contrary or if the renewal project has compliance issues which results in the project not operating in accordance with the Rule.

(2) HUD will consider YHDP Replacement project applications including applications for new YHDP projects created through YHDP reallocation as having met project quality threshold requirements if the project application activities and costs are eligible under this NOFO. If a YHDP Replacement (including YHDP Reallocation) project application is not for activities and costs that are eligible under this NOFO, HUD will not reject the project under this project quality threshold, but HUD will require the project applicant to correct or revise information submitted after the final CoC Program award announcement but before executing the grant agreement.

(3) HUD will review the UFA Costs submitted by the UFA designated Collaborative Applicant to ensure appropriate match and eligibility of costs requested.

(4) HUD will assess all new project applications for the following minimum project eligibility, capacity, timeliness, and performance standards.

> **(a)** project applicants must have satisfactory capacity, drawdowns, and performance for existing grant(s) funded under the CoC Program, as evidenced by timely reimbursement of subrecipients, regular drawdowns, and timely resolution of any monitoring findings; however, this does not apply to project applicants who have never received a CoC Program funded project;
> **(b)** for expansion project applications, project applicants must describe the part of the project that is being expanded and demonstrate the project is not replacing other funding sources; and
> **(c)** project applicants must demonstrate their ability to meet all timeliness standards per 24 CFR 578.85. HUD reserves the right to deny a funding request for a new project, if the request is made by an existing recipient that HUD finds to have significant issues related to capacity, performance, unresolved audit, or monitoring findings related to one or more existing grants; or does not routinely draw down funds from eLOCCS at least once per quarter. HUD also reserves the right to withdraw funds if no APR is submitted on the prior grant.

(5) HUD reserves the right to verify past performance and evaluate the eligibility of a project application submitted during the CoC Program Competition for the following reasons:

> **(a)** evidence that the project has previously or currently conducts activities that subsidize or facilitate racial preferences or other forms of illegal discrimination or conduct activities that rely on or otherwise use a definition of sex other than as binary in humans.
> **(b)** evidence that the project operates drug injection sites or "safe consumption sites," knowingly distributes drug paraphernalia on or off of property under their control, permits the use or

distribution of illicit drugs on property under their control, or conducts any of these activities under the pretext of "harm reduction."

(6) Additionally, for HUD to consider new projects as meeting project quality threshold, each new project must meet the following criteria as applicable. If awarded, a recipient must meet all the criteria listed in the criteria column for its component.

I Certify that my NEW project will pass quality threshold review to apply for HUD CoC funding under the above criteria:

Signature of applicant: _____

FY26 Continuum of Care Competition – Rank & Review Certification
Project Threshold Review

RENEWAL Projects: Project Renewal Threshold (pg. 61-62):

CoCs must consider the need to continue funding for projects expiring in CY 2026 (January 1, 2026 to December 31, 2026) when applying for FY 2026 CoC and YHDP funding. Renewal projects must meet the minimum project eligibility, capacity, timeliness, and performance standards identified in this NOFO or they will be rejected from consideration for funding:

(1) When considering renewal projects for award; HUD will review information in eLOCCS, APRs, and information provided from the local HUD CPD field office; including monitoring reports and audit reports as applicable, and performance standards on prior grants, and will assess projects using the following criteria on a pass/fail basis:

(a) whether the project applicant's performance met the plans and goals established in the initial application, or grant as amended;
(b) whether the project applicant demonstrated all timeliness standards for grants being renewed have been met, including those standards for the expenditure of grant funds;
(c) the project applicant's performance in assisting program participants to achieve and maintain self-sufficiency and independent living and records of success, except dedicated HMIS projects are not required to meet this standard; and
(d) evidence of unwillingness of project applicants to accept technical assistance, a history of inadequate financial accounting practices, indications of project mismanagement, a drastic reduction in the population served, program changes have been made without prior HUD approval, or the loss of project site control.

(2) HUD reserves the right to reduce or reject a project application submitted during the CoC Program Competition for the following reasons:

(a) outstanding obligation to HUD that is in arrears or for which a payment schedule has not been agreed upon;
(b) audit finding(s) for which a response is overdue or unsatisfactory;
(c) history of inadequate financial management accounting practices;
(d) evidence of untimely expenditures on prior award;
(e) history of other major capacity issues that have significantly affected the operation of the project and its performance;
(f) history of not reimbursing subrecipients for eligible costs in a timely manner, or at least quarterly; and
(g) history of serving ineligible program participants, expending funds on ineligible costs, or failing to expend funds within statutorily established timeframes.
(h) evidence that the project has previously or currently conducts activities that subsidize or facilitate racial preferences or other forms of illegal discrimination or conduct activities that rely on or otherwise use a definition of sex other than as binary in humans.
(i) evidence that the project operates drug injection sites or "safe consumption sites," knowingly distributes drug paraphernalia on or off of property under their control, permits the use or distribution of illicit drugs on property under their control, or conducts any of these activities under the pretext of "harm reduction."

I Certify that my RENEWAL project will pass quality threshold review to apply for HUD CoC funding under the above criteria:

Signature of applicant: _____

Post Award Requirements and Administration (pg. 107-109)

If your project is chosen for funding in this round of the competition you must agree to follow the applicable provisions in the Administrative, National & Departmental Policy Requirements and Terms for HUD Financial Assistance-2025. You must comply with these applicable provisions:

1. The Fair Housing Act (42 USC 3601-3619) and Civil Rights laws which encompass the Fair Housing Act and related authorities (24 CFR 5.105(a))
2. Affirmatively Furthering Fair Housing (AFFH) requirements, (42 USC § 3608(e)(5)) and implementing regulations at 24 CFR 5.150 et seq.as amended by 90 FR 11020.
3. Economic Opportunities for Low-and Very Low-income Persons (12 USC 1701u) requirements, including those listed at 24 CFR part 75
4. Compliance with Immigration Requirements (8 U.S.C. 1601-1646; Executive Order 14218)
5. Accessible Technology requirements, (29 USC § 794d, 29 USC 794, 42 USC 12131-12165) and implementing regulations at 36 CFR part 1194 (Section 508 regulations),24 CFR§ 8.6 (Section 504 effective communication regulations), 28 CFR part 35, subpart H (DOJ Web Access Rule), and 28 CFR part 35, subpart E (DOJ's Title II communications regulations)
6. Ensuring, when possible, small businesses, minority businesses, women's business enterprises, veteran-owned businesses, and labor surplus area firms receive consideration consistent with 2 CFR 200.321
7. Equal Participation of Faith-based Organizations in HUD Programs and Activities consistent with 42 U.S.C. 2000bb et seq.; 42 U.S.C. 2000d et seq.; 24 CFR 5.109; and Executive Orders 14202, *Eradicating Anti-Christian Bias* and EO 14205, *Establishment of the White House Faith Office*.
8. Uniform Relocation Assistance and Real Property Acquisition Policies Act (42 USC § 4601 et seq.) (URA) requirements, 49 CFR part 24, and applicable program regulations
9. Participation in HUD-Sponsored Program Evaluation (12 USC 1701z-1; 12 USC 1702z-2; 24 CFR part 60; and FR-6278-N-01)
10. OMB Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 CFR part 200)
11. Drug-Free Workplace requirements (2 CFR part 2429)
12.HUD requirements related to safeguarding resident/client files (e.g., 2 CFR 200.303(e))
13. The Federal Funding Accountability and Transparency Act of 2006 (2 CFR part 170) (FFATA), as amended
15. Accessibility for Persons with Disabilities requirements (29 USC § 794) and implementing regulations at 24 CFR parts 8 and 100; 28 CFR part 35
16. Applicable Violence Against Women Act requirements in the Housing Chapter of VAWA (34 USC § 12491-12496) 24 CFR part 5, subpart L, and program-specific regulations.
17. Conducting Business in Accordance with Ethical Standards/Code of Conduct, including 2 CFR 200.317, 2 CFR 200.318(c) and other applicable conflicts of interest requirements
18. Build America, Buy America (BABA) Act procurement purchase requirements
20. Environmental requirements that apply in accordance with 24 CFR part 50 or part 58
22. Unless prohibited by law and to the extent permitted under the Freedom of Information Act (FOIA), your application and post-award content may be released to the public in response to FOIA requests, except to the extent that certain information may be withheld under a FOIA exemption (5 USC § 552(b); 24 CFR 15.107(b)). HUD may also share your information within HUD or with other Federal agencies if HUD determines that sharing is relevant to the respective program's objectives.
23. Waste, Fraud, Abuse, and Whistleblower Protections. 41 USC § 4712, which includes informing your employees in writing of their rights and remedies, in the predominant native language of the workforce.

Under 41 U.S.C. § 4712, employees of a contractor, subcontractor, grantee, subgrantee, and personal services contractor may not be discharged, demoted, or otherwise discriminated against as a reprisal for disclosing information that the employee reasonably believes is evidence of gross mismanagement of a Federal contract or grant, a gross waste of Federal funds, an abuse of authority relating to a Federal contract or grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a Federal contract (including the competition for or negotiation of a contract) or grant. (See Federal Contractor or Grantee Protections | Office of Inspector General, Department of Housing and Urban Development (hudoig.gov))

24. Implementing Presidential Executive Actions affecting federal financial assistance programs, as advised by the Department, unless otherwise restricted by law: Executive Order (EO) 14219 (Ensuring Lawful Governance and Implementing the President's "Department of Government Efficiency" Deregulatory Initiative); 14218 (Ending Taxpayer Subsidization of Open Borders); guidance resulting from the White House Task Force established by 14202 (Eradicating Anti-Christian Bias) and the Senior Advisor to the White House Faith Office assigned by 14205 (Establishment of the White House Faith Office); 14182 (Enforcing the Hyde Amendment); 14173 (Ending Illegal Discrimination and Restoring Merit-Based Opportunity); 14168 (Defending Women From Gender Ideology Extremism and Restoring Biological Truth to the Federal Government); 14151 (Ending Radical and Wasteful Government DEI Programs and Preferencing); and 14148 (Initial Rescissions of HarmfulExecutive Orders and Actions)

In addition:

1. Awards made under this NOFO will not be used to conduct activities that subsidize or facilitate racial preferences or other forms of illegal discrimination, including activities where race or intentional proxies for race will be used as a selection criterion for employment or program participation; or conduct activities that rely on or otherwise use a definition of sex as other than binary in humans 14332 (Improving Oversight of Federal Grantmaking).
2. Awards made under this NOFO will not be used to fund, promote, encourage, subsidize or facilitate the use of illicit drugs.
3. Awards made under this NOFO will not be used to fund any project, service provider, or organization that operates drug injection sites or "safe consumption sites," knowingly distributes drug paraphernalia on or off of property under their control, permits the use or distribution of illicit drugs on property under their control, or conducts any of these activities under the pretext of "harm reduction." 14321 (Ending Crime and Disorder on America's Streets).
4. All agreements or contracts made with subrecipients under this NOFO must contain the identical terms and conditions as those in the grant agreement issued by HUD.

Any additional or conflicting terms and conditions must be approved by HUD.

I certify that if my project is awarded funding in the FY26 CoC NOFO Competition, I will comply with all of the above per the CoC Application requirements:

Signature of applicant: _____

1D-1: Project Review and Ranking Process Your CoC Used in its Local Competition.

1. Scoring tool used in NY-523 local competition to score new and renewal application and for all project application types.

(e) Blank New Project PH Application Tool

SARATOGA-NORTH COUNTRY
Continuum of Care

CoC NY-523: Saratoga – North Country Continuum of Care

New Project RFP 2026
(Expansion, Reallocation and/or Bonus Projects)

Permanent Housing Projects

Please note: Being selected through the Rank & Review process does not guarantee funding; rather it allows the project to apply to HUD for CoC funding, which is a nationally competitive process.

Total Points Available: 250

1. Applicant/Agency Name:_____
2. Agency Point of Contact (Name & Email):_____
3. Proposed Project Name: _____
   Note: Please make this unique (i.e. not – Bonus 2025, or PSH 2025).
4. Total Number of units and beds being applied for (if applicable):_____
5. Total Number of households/clients project proposes to serve:_____
6. **Which of the below eligible project types are you applying for?**

   Permanent Supportive Housing (must be designed to serve individuals 55+ or those with physical or developmental disabilities)

   Rapid Rehousing Rapid Rehousing for Families - 10 points

7. **What type of project are you applying for:**

   ☐New Project

   Expansion Project

   Reallocated Project- to meet HUD Priorities -25 points

CoC NY-523: Saratoga – North Country Continuum of Care

New Project RFP 2026
(Expansion, Reallocation and/or Bonus Projects)

Permanent Housing Projects

Please list the project you will be expanding:_____

For Expansion projects please describe the part of the project that is being expanded and demonstrate the project is not replacing other funding sources.

8. Timeliness of Project Start

Will the project be able to begin within 12 months? □ Yes □ No

***if you select no- your project will not be able to move forward in the application process**

9. Continuum of Care Participation **Max 10 pts**

Is the applicant a current member in good standing of the Continuum of Care (CoC)?

□ Yes – 10 points □ No – 0 points

10. Encouraging New Agencies to Apply **Max 15 pts**

Is the agency applying a current CoC funded grantee?

□ Yes – 0 points □ No – 15 points

CoC NY-523: Saratoga – North Country Continuum of Care

New Project RFP 2026
(Expansion, Reallocation and/or Bonus Projects)

Permanent Housing Projects

10a. If yes, are there any unresolved monitoring or audit findings from HUD or the CoC?

□ Yes – 0 points □ No – 5 points

11. Spent Funding **Max 4 pts**

***This question is weighted for those newly applying for CoC funding**

For Agencies Currently CoC-Funded: Within the past 2 contract years, what percentage of funds have you returned to HUD for all CoC-funded projects. Please attach your last 2-years of eLOCCS closeouts.

% of funds returned: _____

0% = 4 pts; 1-10% = 3 pts; >10% = 0pts

12. Coordinated Entry **Max 10 pts**

Responses will be verified with the CE lead

***If you are applying as a newly funded CoC project please skip to 12d**

12a. Has your agency attended at least 75% of the bi-weekly CE meetings in the last year?

□ Yes – 3 pts □ No - 0 pts

12b. Does your project comply with HUD requirements and ONLY admit clients who have been referred through the Coordinated Entry (CE) process?

□ Yes – 4 pts □ No - 0 pts

12c. Have all agency staff participating in the Coordinated Enty process received the required CE and HMIS/CE in HMIS training? (for victim service providers, do you have staff trained to participate in the comparable Coordinated Entry system and HMIS-comparable database?)

□ Yes – 3 pts □ No - 0 pts

SARATOGA-NORTH COUNTRY
Continuum of Care

CoC NY-523: Saratoga – North Country Continuum of Care

New Project RFP 2026
(Expansion, Reallocation and/or Bonus Projects)

Permanent Housing Projects

***If you are currently funded CoC project do not answer 12d**

12d. If you do not currently operate a CoC-funded project, how will you implement CE Participation for this project? For more information on the Coordinated Entry requirements please refer to the CoC's Written Standards and CE Written Process. (**0- 10 pts if agency details how CE implementation will be accomplished**).
Note: Participation in CE is required for CoC Funded Projects.

13. HMIS Participation **Max 10 pts**

Does your agency currently report in the CoC's HMIS system or use a comparable data base?

□ Yes – 10 pts □ No- 0 pts

currently funded CoC project do not answer 13a

13a. If not, how will you implement HMIS or a comparable database for this project? For more information on the HMIS requirements please refer to the CoC's Written Standards. (**0- 10 pts if agency details how HMIS or a comparable database implementation will be accomplished**).

***Note: Participation in HMIS or a comparable database is required for all CoC-funded projects**

SARATOGA-NORTH COUNTRY
Continuum of Care

CoC NY-523: Saratoga – North Country Continuum of Care

New Project RFP 2026
(Expansion, Reallocation and/or Bonus Projects)

Permanent Housing Projects

14. Project Description **Max 15 pts**

Please provide a brief project description that addresses the scope of your project. Please include:

- The target population that will be served (i.e. those 55 years of age and older; and/or those with physical or developmental disabilities)
- How the project will provide and/or partner with other organizations to provide support services necessary to assist program participants to obtain and maintain housing in a manner that fits their needs (i.e. transportation, safety planning, enhanced case management)
- How participation in these support services will be required.
- Please demonstrate how this project will be supplemented with other resources from public or private sources that may include mainstream health, social and employment programs such as Medicare, Medicaid, SSI and SNAP.

If you are applying to expand a current PH (PSH or RRH) project please describe how expanding support services to participants will include where appropriate on-site support services. For DV projects please include how you will implement victim centered practices and a plan to include survivors with lived expertise.

SARATOGA-NORTH COUNTRY
Continuum of Care

CoC NY-523: Saratoga – North Country Continuum of Care

New Project RFP 2026
(Expansion, Reallocation and/or Bonus Projects)

Permanent Housing Projects

15. Agency Experience **Max 10 pts**

Please provide a detailed description of the agency's experience in administering projects dedicated to serving your target population).

- **2points** for detailing past experience serving your target population or a DV population and how you have successfully helped participants exit homelessness within 24-months;
- **2points** for explaining how your program has (or will) partnered with other organizations to provide eligible support services that are necessary to obtain and maintain stable housing;
- **2points** for detailing other funding sources the agency uses/has used in the past to serve HUD-Defined Homeless populations.
- **2 points** for detailing how your agency plans to have at least 50% of participants exit with employment based income
- **2points** for describing how your agency has placed emphasis on program participants strengths, e.g., strengths based coaching, questionnaire and assessment tools including strengths based measures, service plans includes assessments of program participants strengths and works towards self-sufficiency.

SARATOGA-NORTH COUNTRY
Continuum of Care

CoC NY-523: Saratoga – North Country Continuum of Care

New Project RFP 2026
(Expansion, Reallocation and/or Bonus Projects)

Permanent Housing Projects

Ensuring Self Sufficiency to Move on from Subsidized Programing

16. Service Participation **Max 10 pts**

Please demonstrate how your project will require program participants to take part in support services. **REQUIRED: Please attach your supportive service agreement (contract, occupancy agreement, lease or equivalent).**

17. Customized Support Services **Max 15 pts**

Please demonstrate how your proposed project will provide intensive customized support services for each participant (e.g. case management, employment training, substance abuse treatment, etc.)

CoC NY-523: Saratoga – North Country Continuum of Care

New Project RFP 2026
(Expansion, Reallocation and/or Bonus Projects)

Permanent Housing Projects

18. Meeting the Needs of Those on the CE Waitlist **Max 16 pts**

The CoC consistently has people with serious mental illness and substance use diagnoses on the Coordinated Entry waitlist for longer periods of time, on average, than other population types. Please check the support services that your program will provide in an effort to increase self-sufficiency and help clients move on to an unsubsidized permanent housing destination. **You will receive 2 pts for each box checked.**

☐ Supporting and accompanying clients to apartment searches to attain housing;
☐ Providing dedicated case management that is offered minimally 2x per week;
☐ Providing a connection to peer support services;
☐ Providing a connection to professional/clinical support services (i.e. 24/7 detox facility, SUD, Mental Health);
☐ Connections to workforce development and employment-based programs
☐ An MOU with a Workforce Development/Employment based agency to assist clients with job readiness and job placement - **Please Attach**
☐ Partner with Childcare providers to assist participants in gaining employment
☐ An MOU with Childcare providers -**Please Attach**

***Please note that in order to receive points for the MOU's you will need to attach them to your application.**

19. Increasing Site-Based Services **Max 15 pts**

Will your project provide on-site substance abuse or mental health services to program participants? Please describe.

20. Increasing Earned Income **Max 15 pts**

How will your project support clients in gaining and maintaining earned income?

21. Supporting Self-Sufficiency **Max 15 pts**

One of the goals of CoC-funded programs is to provide services that result in self-sufficiency and independence of participants to the extent possible. Please provide examples of how your projects will assist participants in moving towards self-sufficiency (i.e. housing stability, attaining or sustaining mainstream benefits, connecting participants to health, behavioral health or substance use disorder treatment, gaining and maintaining employment etc.)



CoC NY-523: Saratoga – North Country Continuum of Care
New Project RFP 2026
(Expansion, Reallocation and/or Bonus Projects)
Permanent Housing Projects

22. Self-Sufficiency Outcomes **Max 15 pts**

Please describe how this project will ensure that program participants exit to permanent housing without a subsidy (for RRH projects - within 24-months) and at least 50% of participants exit with employment income.

23. Housing & Healthcare Leveraging MOUs **Max 30 pts**

The CoC will receive up to 4 points on the overall application for successfully demonstrating that agencies are leveraging Healthcare and Housing partnerships to bolster CoC programs. Does your agency have relationships/partnerships with any of the below? Check all that apply.

☐Public/Private Healthcare Organizations

☐PHAs, local housing organizations, or other non-CoC/ESG funding streams

23a. If you checked Public/Private Healthcare Organizations, does your agency have a written commitment from a health care organization with the value of the commitment and the date(s) the healthcare resources will be provided that meets the following criteria:

- In the case of a substance abuse partnership- the substance abuse treatment program will provide **access to all participants who qualify for those services**

- In the case of behavioral health, the assistance being provided is equivalent to **25% of the funding being requested by the project.**

□ Yes- 15 points □ No – 0 points

***Please include this documentation and the completed healthcare leveraging form with your application to receive full points.**

23b. If you checked PHAs, local housing organizations, or other non-CoC/ESG funding streams, will your project utilize housing subsidies or subsidized housing units not funded through the CoC or ESG.

- For PSH the leveraged resources provide at least 25% of the **units** included in the project
- For RRH the leveraged resources provide at least 25% of the program **participants** included in the project

***Please provide this documentation and completed housing leverage form with your application to receive full points.**

□ Yes- 15 points □ No – 0 points

SARATOGA-NORTH COUNTRY
Continuum of Care

CoC NY-523: Saratoga – North Country Continuum of Care

New Project RFP 2026
(Expansion, Reallocation and/or Bonus Projects)

Permanent Housing Projects

24. Minimizing Trauma **Max 10 pts**

Please describe how your program works to minimize the impact of trauma associated with homelessness

- 5 Pts for describing your trauma informed approach
- 5 Pts for describing how your project ensures community safety

☐ I understand that if my project scores highly enough to advance in this year's application, that **does NOT mean that my project is guaranteed funding.** Projects that advance in the local process will compete for bonus funds from HUD in the national CoC competition and awards will be shared in December 2026.

I certify that our project will not engage in racial discrimination. This is consistent with the requirements of 2 CFR 200.300(a)

I certify that our project will not operate a drug injection site of "safe consumption site" in violation of 21 U.S.C 856(a)(1), knowingly permit the use or distribution of illicit drugs on property under our control in violation of 21 U.S.C. 856(a)(2), or knowingly distribute drug paraphernalia in violation of 21 U.S.C. 863. This is consistent with the requirements of 2 CFR 200.300(a).

SARATOGA-NORTH COUNTRY
Continuum of Care

CoC NY-523: Saratoga – North Country Continuum of Care

New Project RFP 2026
(Expansion, Reallocation and/or Bonus Projects)

Permanent Housing Projects

Please provide a 12-month Budget Proposal (required for review):

ACTIVITY	CoC FUNDS REQUESTED	NOTES
A. Leasing	$	
B. Rental Assistance	$	
C. Support Services	$	
1. Salaries	$	
2. Benefits	$	
3. Other	$	
D. VAWA (New eligible activity)	$	
E. Rural Costs (New eligible activity)	$	
F. Operating	$	
G. Admin (up to 10%)	$	
H. Total Project Costs	$	
MATCH	**AMOUNT**	**SOURCE**
I. 25% Match Requirement	$	

Checklist for New CoC Project Applicants that are Leveraging Healthcare Resources

You have indicated that your proposed project will be able to **leverage healthcare resources**.

*You must submit to CARES (1) the below checklist and (2) a contract, letter of commitment, or other formal commitment that includes the following:

 The name of the project

Project name:

Amount of assistance to be provided by the healthcare organization:

☐ The value (dollar amount) of the healthcare commitment being provided (must be at least 25% of the total funding requested)

OR

If the partner agency is a substance abuse and/or recovery program, a guarantee that the services will be provided to all eligible participants of this project. Evaluation cost $200 per person

Calculation showing the amount of healthcare resources committed in the written commitment, and that this is at least 25% of funding requested through the proposed New CoC Project Application, OR confirm the substance abuse and/or recovery program will offer services to all eligible participants.

The date** that the services and/or committed funding will be available is on the written commitment (must run concurrently with the new project)
***Must be dated between May 1,2026 and September 30, 2027.*
Date of healthcare commitment:

Affirmation that project eligibility for program participants in the new project will be based on CoC Program fair housing requirements and will not be restricted by the health care service provider.

Checklist for New CoC Project Applicants that are Leveraging Housing Resources

You have indicated that your proposed project will be able to **leverage housing resources**.

***You must submit to CARES (1) the below checklist and (2) a contract, letter of commitment, or other formal written documents from the funding body that includes:**

Project name should be listed in the commitment documentation

Project name:

Source of commitment should be listed in the commitment documentation (i.e. private organizations, state or local government, PHAs, faith-based organizations, federal programs other than CoC or ESG programs)

Source of Commitment:

Amount of funding committed per the following:

PSH: the number of housing units for a PSH project that are not funded through the CoC or ESG Programs. The number of units must be at least 25% of the total units to be provided by the proposed new CoC project application for full points.

OR

RRH or TH-RRH: The number of program participants to be provided RRH or TH-RRH that is not funded through the CoC or ESG Programs. The number of program participants to be served must be at least 25% of the total participants to be served in the proposed CoC new project application for full points.

Calculation showing the amount of non-CoC/ESG resources committed in the written commitment, and that this is at least 25% of units (for PSH) or participants (for RRH) to be served through the proposed New CoC Project Application:

The date** that the funding (outside of CoC or ESG programs) will be available is on the written commitment (should run concurrently with the new project).
***Must dated between May 1, 2026 and September 30, 2027.*

Dates of housing commitment:

FY26 Continuum of Care Competition – Rank & Review Certification
Project Threshold Review

Instructions:

All agencies applying for CoC funding must review and sign off on the below Project Eligibility Threshold, Project Quality Threshold (broken down by New Projects and Renewal Projects), and Post Award Requirements as part of the Rank & Review process. Please return to CARES with your Rank & Review applications.

Project Eligibility Threshold (pg. 59-61 of the FY26 CoC NOFO)

Applicants who fail to meet the following threshold eligibility requirements are ineligible to apply for funds during this competition. Please review the following requirements and sign off at the end of the document to verify your project eligibility.

(1) Project applicants and potential subrecipients must meet the eligibility requirements of the CoC Program as described in the Act and the Rule and provide evidence of eligibility required in the application (e.g., nonprofit documentation).

(2) Project applicants and subrecipients must demonstrate the financial and management capacity and experience to carry out the project as detailed in the project application and the capacity to administer federal funds. Demonstrating capacity may include a description of the applicant and subrecipient experience with similar projects and with successful administration of SHP, S+C, or CoC Program funds or other federal, state, local, or private resources.

(3) Project applicants must submit the required certifications specified in this NOFO.

(4) The population to be served must meet program eligibility requirements as described in the Act, the Rule, and section III.G.10 of this NOFO.

(5) Project applicants, except Collaborative Applicants that only receive awards for CoC Planning costs and, if applicable, UFA Costs, must agree to participate in a local HMIS system. However, in accordance with Section 407 of the Act, any victim service provider that is a recipient or subrecipient must not disclose, for purposes of HMIS, any personally identifying information about any client. Victim service providers must use a comparable database that meets the needs of the local HMIS.

(6) Project applicants must certify affirmatively to the following:

- The project applicant will not engage in racial preferences or other forms of illegal discrimination.
- The project applicant will not operate drug injection sites or "safe consumption sites," knowingly distribute drug paraphernalia on or off of property under their control, permit the use or distribution of illicit drugs on property under their control, or conduct any of these activities under the pretext of "harm reduction."

I Certify that my project is eligible to apply for HUD CoC funding under the above criteria:

Signature of applicant: _____

NEW Projects: Project Quality Threshold (pg. 62 of the NOFO):

Please note: New Project Applications need to meet additional criteria to be eligible. Please see pg. 59-62 of the FY26 CoC NOFO.

HUD will review all new project applications to determine if they meet the following project quality threshold requirements HUD will not award funds to a new project unless the project was created through reallocation, or the CoC has demonstrated to HUD's satisfaction that projects are evaluated and ranked based on the degree to which they improve the CoC's system performance.

(1) HUD will consider any project requesting renewal funding, including renewing YHDP and renewing Special NOFO projects, as having met project quality threshold requirements through its previously approved grant application unless HUD receives information to the contrary or if the renewal project has compliance issues which results in the project not operating in accordance with the Rule.

(2) HUD will consider YHDP Replacement project applications including applications for new YHDP projects created through YHDP reallocation as having met project quality threshold requirements if the project application activities and costs are eligible under this NOFO. If a YHDP Replacement (including YHDP Reallocation) project application is not for activities and costs that are eligible under this NOFO, HUD will not reject the project under this project quality threshold, but HUD will require the project applicant to correct or revise information submitted after the final CoC Program award announcement but before executing the grant agreement.

(3) HUD will review the UFA Costs submitted by the UFA designated Collaborative Applicant to ensure appropriate match and eligibility of costs requested.

(4) HUD will assess all new project applications for the following minimum project eligibility, capacity, timeliness, and performance standards.

> **(a)** project applicants must have satisfactory capacity, drawdowns, and performance for existing grant(s) funded under the CoC Program, as evidenced by timely reimbursement of subrecipients, regular drawdowns, and timely resolution of any monitoring findings; however, this does not apply to project applicants who have never received a CoC Program funded project;
> **(b)** for expansion project applications, project applicants must describe the part of the project that is being expanded and demonstrate the project is not replacing other funding sources; and
> **(c)** project applicants must demonstrate their ability to meet all timeliness standards per 24 CFR 578.85. HUD reserves the right to deny a funding request for a new project, if the request is made by an existing recipient that HUD finds to have significant issues related to capacity, performance, unresolved audit, or monitoring findings related to one or more existing grants; or does not routinely draw down funds from eLOCCS at least once per quarter. HUD also reserves the right to withdraw funds if no APR is submitted on the prior grant.

(5) HUD reserves the right to verify past performance and evaluate the eligibility of a project application submitted during the CoC Program Competition for the following reasons:

> **(a)** evidence that the project has previously or currently conducts activities that subsidize or facilitate racial preferences or other forms of illegal discrimination or conduct activities that rely on or otherwise use a definition of sex other than as binary in humans.
> **(b)** evidence that the project operates drug injection sites or "safe consumption sites," knowingly distributes drug paraphernalia on or off of property under their control, permits the use or

distribution of illicit drugs on property under their control, or conducts any of these activities under the pretext of "harm reduction."

(6) Additionally, for HUD to consider new projects as meeting project quality threshold, each new project must meet the following criteria as applicable. If awarded, a recipient must meet all the criteria listed in the criteria column for its component.

I Certify that my NEW project will pass quality threshold review to apply for HUD CoC funding under the above criteria:

Signature of applicant: _____

FY26 Continuum of Care Competition – Rank & Review Certification
Project Threshold Review

RENEWAL Projects: Project Renewal Threshold (pg. 61-62):

CoCs must consider the need to continue funding for projects expiring in CY 2026 (January 1, 2026 to December 31, 2026) when applying for FY 2026 CoC and YHDP funding. Renewal projects must meet the minimum project eligibility, capacity, timeliness, and performance standards identified in this NOFO or they will be rejected from consideration for funding:

(1) When considering renewal projects for award; HUD will review information in eLOCCS, APRs, and information provided from the local HUD CPD field office; including monitoring reports and audit reports as applicable, and performance standards on prior grants, and will assess projects using the following criteria on a pass/fail basis:

(a) whether the project applicant's performance met the plans and goals established in the initial application, or grant as amended;
(b) whether the project applicant demonstrated all timeliness standards for grants being renewed have been met, including those standards for the expenditure of grant funds;
(c) the project applicant's performance in assisting program participants to achieve and maintain self-sufficiency and independent living and records of success, except dedicated HMIS projects are not required to meet this standard; and
(d) evidence of unwillingness of project applicants to accept technical assistance, a history of inadequate financial accounting practices, indications of project mismanagement, a drastic reduction in the population served, program changes have been made without prior HUD approval, or the loss of project site control.

(2) HUD reserves the right to reduce or reject a project application submitted during the CoC Program Competition for the following reasons:

(a) outstanding obligation to HUD that is in arrears or for which a payment schedule has not been agreed upon;
(b) audit finding(s) for which a response is overdue or unsatisfactory;
(c) history of inadequate financial management accounting practices;
(d) evidence of untimely expenditures on prior award;
(e) history of other major capacity issues that have significantly affected the operation of the project and its performance;
(f) history of not reimbursing subrecipients for eligible costs in a timely manner, or at least quarterly; and
(g) history of serving ineligible program participants, expending funds on ineligible costs, or failing to expend funds within statutorily established timeframes.
(h) evidence that the project has previously or currently conducts activities that subsidize or facilitate racial preferences or other forms of illegal discrimination or conduct activities that rely on or otherwise use a definition of sex other than as binary in humans.
(i) evidence that the project operates drug injection sites or "safe consumption sites," knowingly distributes drug paraphernalia on or off of property under their control, permits the use or distribution of illicit drugs on property under their control, or conducts any of these activities under the pretext of "harm reduction."

I Certify that my RENEWAL project will pass quality threshold review to apply for HUD CoC funding under the above criteria:

Signature of applicant: _____

Post Award Requirements and Administration (pg. 107-109)

If your project is chosen for funding in this round of the competition you must agree to follow the applicable provisions in the Administrative, National & Departmental Policy Requirements and Terms for HUD Financial Assistance-2025. You must comply with these applicable provisions:

1. The Fair Housing Act (42 USC 3601-3619) and Civil Rights laws which encompass the Fair Housing Act and related authorities (24 CFR 5.105(a))
2. Affirmatively Furthering Fair Housing (AFFH) requirements, (42 USC § 3608(e)(5)) and implementing regulations at 24 CFR 5.150 et seq.as amended by 90 FR 11020.
3. Economic Opportunities for Low-and Very Low-income Persons (12 USC 1701u) requirements, including those listed at 24 CFR part 75
4. Compliance with Immigration Requirements (8 U.S.C. 1601-1646; Executive Order 14218)
5. Accessible Technology requirements, (29 USC § 794d, 29 USC 794, 42 USC 12131-12165) and implementing regulations at 36 CFR part 1194 (Section 508 regulations),24 CFR§ 8.6 (Section 504 effective communication regulations), 28 CFR part 35, subpart H (DOJ Web Access Rule), and 28 CFR part 35, subpart E (DOJ's Title II communications regulations)
6. Ensuring, when possible, small businesses, minority businesses, women's business enterprises, veteran-owned businesses, and labor surplus area firms receive consideration consistent with 2 CFR 200.321
7. Equal Participation of Faith-based Organizations in HUD Programs and Activities consistent with 42 U.S.C. 2000bb et seq.; 42 U.S.C. 2000d et seq.; 24 CFR 5.109; and Executive Orders 14202, *Eradicating Anti-Christian Bias* and EO 14205, *Establishment of the White House Faith Office*.
8. Uniform Relocation Assistance and Real Property Acquisition Policies Act (42 USC § 4601 et seq.) (URA) requirements, 49 CFR part 24, and applicable program regulations
9. Participation in HUD-Sponsored Program Evaluation (12 USC 1701z-1; 12 USC 1702z-2; 24 CFR part 60; and FR-6278-N-01)
10. OMB Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 CFR part 200)
11. Drug-Free Workplace requirements (2 CFR part 2429)
12.HUD requirements related to safeguarding resident/client files (e.g., 2 CFR 200.303(e))
13. The Federal Funding Accountability and Transparency Act of 2006 (2 CFR part 170) (FFATA), as amended
15. Accessibility for Persons with Disabilities requirements (29 USC § 794) and implementing regulations at 24 CFR parts 8 and 100; 28 CFR part 35
16. Applicable Violence Against Women Act requirements in the Housing Chapter of VAWA (34 USC § 12491-12496) 24 CFR part 5, subpart L, and program-specific regulations.
17. Conducting Business in Accordance with Ethical Standards/Code of Conduct, including 2 CFR 200.317, 2 CFR 200.318(c) and other applicable conflicts of interest requirements
18. Build America, Buy America (BABA) Act procurement purchase requirements
20. Environmental requirements that apply in accordance with 24 CFR part 50 or part 58
22. Unless prohibited by law and to the extent permitted under the Freedom of Information Act (FOIA), your application and post-award content may be released to the public in response to FOIA requests, except to the extent that certain information may be withheld under a FOIA exemption (5 USC § 552(b); 24 CFR 15.107(b)). HUD may also share your information within HUD or with other Federal agencies if HUD determines that sharing is relevant to the respective program's objectives.
23. Waste, Fraud, Abuse, and Whistleblower Protections. 41 USC § 4712, which includes informing your employees in writing of their rights and remedies, in the predominant native language of the workforce.

Under 41 U.S.C. § 4712, employees of a contractor, subcontractor, grantee, subgrantee, and personal services contractor may not be discharged, demoted, or otherwise discriminated against as a reprisal for disclosing information that the employee reasonably believes is evidence of gross mismanagement of a Federal contract or grant, a gross waste of Federal funds, an abuse of authority relating to a Federal contract or grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a Federal contract (including the competition for or negotiation of a contract) or grant. (See Federal Contractor or Grantee Protections | Office of Inspector General, Department of Housing and Urban Development (hudoig.gov))

24. Implementing Presidential Executive Actions affecting federal financial assistance programs, as advised by the Department, unless otherwise restricted by law: Executive Order (EO) 14219 (Ensuring Lawful Governance and Implementing the President's "Department of Government Efficiency" Deregulatory Initiative); 14218 (Ending Taxpayer Subsidization of Open Borders); guidance resulting from the White House Task Force established by 14202 (Eradicating Anti-Christian Bias) and the Senior Advisor to the White House Faith Office assigned by 14205 (Establishment of the White House Faith Office); 14182 (Enforcing the Hyde Amendment); 14173 (Ending Illegal Discrimination and Restoring Merit-Based Opportunity); 14168 (Defending Women From Gender Ideology Extremism and Restoring Biological Truth to the Federal Government); 14151 (Ending Radical and Wasteful Government DEI Programs and Preferencing); and 14148 (Initial Rescissions of HarmfulExecutive Orders and Actions)

In addition:

1. Awards made under this NOFO will not be used to conduct activities that subsidize or facilitate racial preferences or other forms of illegal discrimination, including activities where race or intentional proxies for race will be used as a selection criterion for employment or program participation; or conduct activities that rely on or otherwise use a definition of sex as other than binary in humans 14332 (Improving Oversight of Federal Grantmaking).
2. Awards made under this NOFO will not be used to fund, promote, encourage, subsidize or facilitate the use of illicit drugs.
3. Awards made under this NOFO will not be used to fund any project, service provider, or organization that operates drug injection sites or "safe consumption sites," knowingly distributes drug paraphernalia on or off of property under their control, permits the use or distribution of illicit drugs on property under their control, or conducts any of these activities under the pretext of "harm reduction." 14321 (Ending Crime and Disorder on America's Streets).
4. All agreements or contracts made with subrecipients under this NOFO must contain the identical terms and conditions as those in the grant agreement issued by HUD.

Any additional or conflicting terms and conditions must be approved by HUD.

I certify that if my project is awarded funding in the FY26 CoC NOFO Competition, I will comply with all of the above per the CoC Application requirements:

Signature of applicant: _____

1D-1: Project Review and Ranking Process Your CoC Used in its Local Competition.

1. Scoring tool used in NY-523 local competition to score new and renewal application and for all project application types.

(f) Objective Criteria & System Performance Criteria Chart

- This document showcases the maximum points on:
  - **Objective criteria** for the project applications
  - **System performance** criteria for the project applications
  - Returns to homelessness performance, employment income performance, and supportive services participation requirements

NY-523

Total maximum points available for each project application type		
Application Type	**Total maximum points available**	
Renewal Part 1 & 2	250	
1. Objective criteria (OC)	**Questions addressing OC**	**Total OC pts/%**
Renewal Part 1 & 2	Part 1, Q1, Q2, Q3, Q4, Q5, Q6, Q7, Q8, Q9	250/125= 50%
2. System Performance (SP)	**Questions Addressing System Performance**	**Total SP pts/%**
Renewal Part 1 & 2	Part 1: Q10, Q11, Q12, Q13, Q14, Q15, Q16, Q17, Q18	250/80= 32%
3. Improving System Performance	**Questions Addressing Improving Systems Performance**	**Total pts**
Renewal Part 2	Q21, Q24, Q25, Q26	250/25
4. Returns to Homelessness (SP)	**Questions Addressing Returns to Homelessness System Performance**	**Total pts**
Renewal Part 1 & 2	Part 1: Q13, Q14, Q18 Part 2: Q21	250/25
5. Employment Income Performance	**Questions Addressing Employment Income Performance**	**Total pts**
Renewal Part 1 & 2	Part 1: Q6, Q15, Q16, Q17	250/46
6. Support Service Participation Requirements	**Questions Addressing Support Service Participation Requirements**	**Total pts**
Renewal Part 1 & 2	Part 1: Q5	250/10
7. Specific Data Submitted by Victim Service Providers from Comparable Databases		**Total DV Data pts**
Renewal Part 1 & 2	Part 1: Q10, Q11, Q12, Q13, Q14, Q15, Q16, Q17, Q18	250/80

NY-523

Total maximum points available for each project application type		
Application Type	**Total maximum points available**	
New PH	250	
1. Objective Criteria (OC)	**Questions Addressing Objective Criteria**	**Total OC pts**
New PH	Q6, Q7, Q8, Q9, Q10, Q11, Q12, Q13, Q19, Q23	250/129
2. System Performance (SP)	**Questions Addressing System Performance**	**Total SP pts**
New PH	Q15, Q22	250/25
3. Improving System Performance	**Questions Addressing Improving Systems Performance**	**Total pts**
New PH	Q17, Q16	250/25
4. Returns to Homeless (SP)	**Questions Addressing Returns to Homelessness System Performance**	**Total pts**
New PH	Q21, Q22	250/30
5. Employment Income Performance	**Questions Addressing Employment Income Performance**	**Total pts**
New PH	Q15, Q17, Q20	250/40
6. Support Service Participation Requirements	**Questions Addressing Support Service Participation Requirements**	**Total pts**
New PH	Q14, Q16	250/25

NY-523

Total maximum points available for each project application type		
Application Type	**Total maximum points available**	
New TH	250	
1. Objective Criteria (OC)	**Questions Addressing Objective Criteria**	**Total OC pts**
New TH	Q6, Q7, Q8, Q9, Q10, Q11, Q12, Q13, Q23	250/114
2. System Performance (SP)	**Questions Addressing System Performance**	**Total SP pts**
New TH	Q15, Q22	250/35
3. Improving System Performance	**Questions Addressing Improving Systems Performance**	**Total OC pts**
New TH	Q16, Q17	250/20
4. Returns to Homeless (SP)	**Questions Addressing Returns to Homelessness System Performance**	**Total pts**
New TH	Q21, Q22	250/20
5. Employment Income Performance	**Questions Addressing Employment Income Performance**	**Total pts**
New TH	Q15, Q17, Q20	250/45
6. Support Service Participation Requirements	**Questions Addressing Support Service Participation Requirements**	**Total pts**
New TH	Q14, Q16, Q17	250/45

NY-523

Total maximum points available for each project application type		
Application Type	**Total maximum points available**	
New SSO	250	
1. Objective Criteria (OC)	**Questions Addressing Objective Criteria**	**Total OC pts**
New SSO	Q7, Q10, Q11, Q13, Q15, Q16, Q19	250/169
2. System Performance (SP)	**Questions Addressing System Performance**	**Total SP pts**
New SSO	Q12, Q14	250/53
3. Improving System Performance	**Questions Addressing Improving Systems Performance**	**Total OC pts**
New SSO	Q12, Q17, Q18	250/56
4. Returns to Homeless (SP)	**Questions Addressing Returns to Homelessness System Performance**	**Total pts**
New SSO	Q12, Q13, Q14, Q17, Q18	250/101
5. Employment Income Performance	**Questions Addressing Employment Income Performance**	**Total pts**
New SSO	**NA**	
6. Support Service Participation Requirements	**Questions Addressing Support Service Participation Requirements**	**Total pts**
New SSO	Q17, Q18	250/28

1D-1: Project Review and Ranking Process Your CoC Used in its Local Competition.

1. Scoring tool used in NY-523 local competition to score new and renewal application and for all project application types.

(g) Ranking and Tiering

- This document showcases the total points available for each project applicant and their score

NY-523 (Saratoga - North Country)- FY26 Ranking & Tiering

Agency	Project Name	Project Type	Project Score	Total Points Available	Project Status	Project Rank	Amount Requested From HUD	Reallocated Funds	Grant Pin	
Warren Washington County Homeless Youth Coalition DBA WAIT House	TLP-RRH Renewal Project	PH-RRH	194.61	250	Accepted/Renewal	1	$79,340.00	$0	NY1457	Tier 1
Warren Washington Association for Mental Health	ASCEND Permanent Supportive Housing	PSH	174.44	250	Accepted/Renewal	2	$365,053.00	$0	NY0716	
Warren Washington County Homeless Youth Coalition DBA WAIT House	TLP Renewal Project	TH	171.78	250	Accepted/Renewal	3	$87,635.00	$0	NY0673	
Domestic Violence and Rape Crisis Services of Saratoga County (dba Wellspring)	NewView Permanent Supportive	PSH	202.25	250	Accepted/Renewal - Reduced	4	$61,149.00	-$61,150	NY0184	
CAPTAIN Community Human Services, Inc.	Coordinated Entry Project for Saratoga North Country CoC	SSO-CE	N/A	N/A	Accepted/Renewal	5	$38,036.00	$0	NY1456	
RISE Housing and Support Services	RISE Permanent Housing	PSH	170.55	250	Accepted/Renewal - Reduced	6	$230,312.00	-$230,312	NY1163	
CARES of NY, Inc.	Warren, Washington, Hamiliton and Saratoga Counties Portion of the Capital Region HMIS	HMIS	N/A	N/A	Accepted/Renewal	7	$38,375.00	$0	NY0189	
Veterans & Community Housing Coalition	SVAP	PH-RRH	153.65	250	Accepted/Renewal - Reduced	8	$181,647.00	-$181,644	NY0188	
Domestic Violence and Rape Crisis Services of Saratoga County (dba Wellspring)	DV Coordinated Entry	SSO-CE	N/A	N/A	Accepted/Renewal	9	$16,906.00	$0	NY1220	
Catholic Charities of Saratoga, Warren and Washington Counties	DVPWW Housing	PH-RRH	135.03	250	Accepted/Renewal	10	$158,684.00	$0	NY1455	
Open Door Mission	The Journey Home	PH-RRH	124.31	250	Accepted/Renewal	11	$176,411.00	$0	NY1516	
Warren Washington County Homeless Youth Coalition DBA WAIT House	WAITHouse Community TLP	SSO	230	250	Accepted/Reallocated	12	$331,727.00	$331,727.00		
RISE Housing and Support Services	Rise Transitional Housing	TH	205.65	250	Accepted/Reallocated	13	$109,672.00	$109,672.00		
RISE Housing and Support Services	Rise Transitional Housing	TH	205.65	250	Accepted/Reallocated	13	$120,640.00	$120,640.00		Tier 2
Mechanicville Area Community Center	DVAP Transitional Housing	TH	194.72	250.00	Accepted/Reallocated	14	$181,033.00	$181,033.00		
Veterans & Community Housing Coalition	SVAP Transitional	TH	191.65	250.00	Accepted/Reallocated	15	$249,987.00	$249,987.00		
Domestic Violence and Rape Crisis Services of Saratoga County (dba Wellspring)	DV Workforce Development	TH	187.98	250.00	Accepted/Reallocated	16	$125,980.00	$125,980.00		
Domestic Violence and Rape Crisis Services of Saratoga County (dba Wellspring)	NewView Transitional 2	TH	186.64	250.00	Accepted/Reallocated	17	$405,661.00	$405,661.00		
Domestic Violence and Rape Crisis Services of Saratoga County (dba Wellspring)	NewView Transitional 1	TH	186.30	250.00	Accepted/Reallocated	18	$61,150.00	$61,150.00		
Adirondack Vets House, Inc	Adirondack Vets House TH 2026	TH	185.97	250.00	Accepted/Reallocated	19	$105,513.00	$105,513.00		
RISE Housing and Support Services	Community Support and Outreach Court Engagement Team	SSO	194	250	Accepted/Bonus	20	$220,000	$0		CoC Bonus
CAPTAIN Community Human Services, Inc.	CAPTAIN CHS CE Expansion	SSO-CE	190.32	250	Accepted/Bonus	21	$60,000	$0		
Open Door Mission	Reach and Restore	SSO	180.32	250	Accepted/Bonus	22	$220,000	$0		
Mechanicville Area Community Center	DVAP TH 2 Bonus	TH	170.66	250	Accepted/DV Bonus	23	$173,433	$0		DV Bonus
Domestic Violence and Rape Crisis Services of Saratoga County (dba Wellspring)	DV Workforce Bonus	TH	146.98	250	Accepted/DV Bonus	24	$173,433	$0		

Not Ranked:

CARES of NY, Inc.	Planning Grant 2026						$ 156,246.00		**Planning**

Annual Renewal Demand	$	3,124,911
Tier 1	$	1,874,947
Tier 2	$	1,249,964
CoC Bonus	$	500,000
DV Bonus	$	346,866
Planning Grant	$	156,246
UFA Grant	$	-

$2,191,363.00

$346,866

Expansion Grants

Applicant Name	Renewal Project Name	Grant Pin	Expansion Project Name
CAPTAIN Community Human Services, Inc.	Coordinated Entry Project for Saratoga North Country CoC 2024	NY1456	CAPTAIN CHS CE Expansion

Consolidations

Applicant Name	Renewal Project 1	Renewal Project 2	Surviving Pin

Projects Being Eliminated/Reduced

Agency	Project Name	Grant Pin Number	Project Type	Amount	Project Elimated/Reduced
Veterans & Community Housing Coalition	Center Street RENEWAL 2024	NY0188	PH-RRH	$ 68,343.00	Eliminated
Adirondack Vets House, Inc	Adirondack Vets House PSH FY2024	NY1218	PSH	$ 105,513.00	Eliminated
Warren Washington County Homeless Youth Coalition DBA WAIT House	WAIT House - Community 2024	NY0715	PSH	$ 331,727.00	Eliminated
Mechanicville Area Community Center	MACSC Rapid Rehousing Program	NY1571	PH-RRH	$ 181,033.00	Eliminated
Domestic Violence and Rape Crisis Services of Saratoga County (dba Wellspring)	DV Bonus project Workforce	NY1570	PH-RRH	$ 125,980.00	Eliminated
Domestic Violence and Rape Crisis Services of Saratoga County (dba Wellspring)	NewView Rapid Rehousing 2 2024-5 use this one	NY1221	PH-RRH	$ 405,661.00	Eliminated
Veterans & Community Housing Coalition	SVAP Renewal 2024	NY1038	PH-RRH	$ 181,644.00	Reduced
RISE Housing and Support Services	RISE Housing First 2024	NY1163	PSH	$ 230,312.00	Reduced
Domestic Violence and Rape Crisis Services of Saratoga County (dba Wellspring)	Newview Permanent Supportive 2024-5	NY0184	PSH	$ 61,150.00	Reduced

1D-1: Project Review and Ranking Process Your CoC Used in its Local Competition.

1. Scoring tool CoC used in the NY-523 local competition to score new and renewal application and for all project application types.

(h) System Performance Data Attachment

- This document is an attachment to the Rank and Review Renewal Application Tool and provides applicants with data to answer the tool's data-based questions.

SNC (NY-523)

2026 Rank & Review Data Attachments

CARES OF NY, INC
ENDING HOMELESSNESS

PURPOSE / AGENDA

- Purpose: support you in filling out Part 1 of the Rank & Review Renewal Tool.
- Agenda:
  1. Review Scoring Methodology.
  2. Review Data Attachments and how to apply the information when filling out the Part 1 tool.
  3. Discuss next steps and deadlines.

CARES OF NY, INC
ENDING HOMELESSNESS

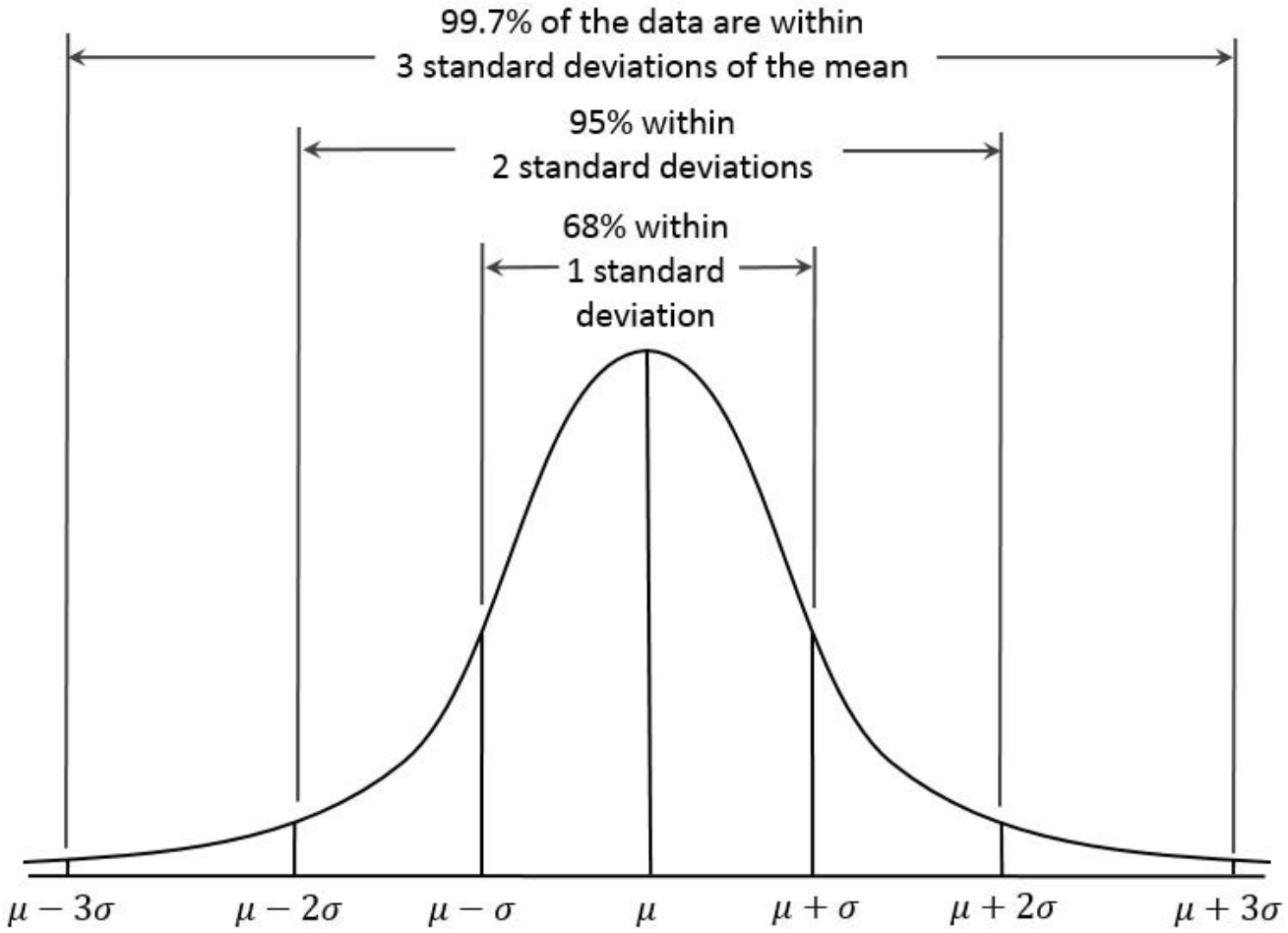
NOTES ON THE 2026 RANK & REVIEW DATA ATTACHMENTS

- All data based on Federal Fiscal Year 2025 APR reports (10/1/2024 – 9/30/2025), which will be provided to applicants in addition to this PowerPoint.
  - This data was submitted to HUD for the annual Longitudinal Systems Analysis and cannot be changed.

CARES OF NY, INC
ENDING HOMELESSNESS

SCORING METHODOLOGY

- Scoring ranges were determined using a basic bell-curve methodology.
- If the project scored below μ-σ it fell into the 1st bucket. If the project scored above the μ-σ and below the μ, it fell in 2nd bucket. If the project scored above the μ and below μ-σ it fell in 3rd bucket. Any projects scoring over μ+σ will fall into the last bucket.

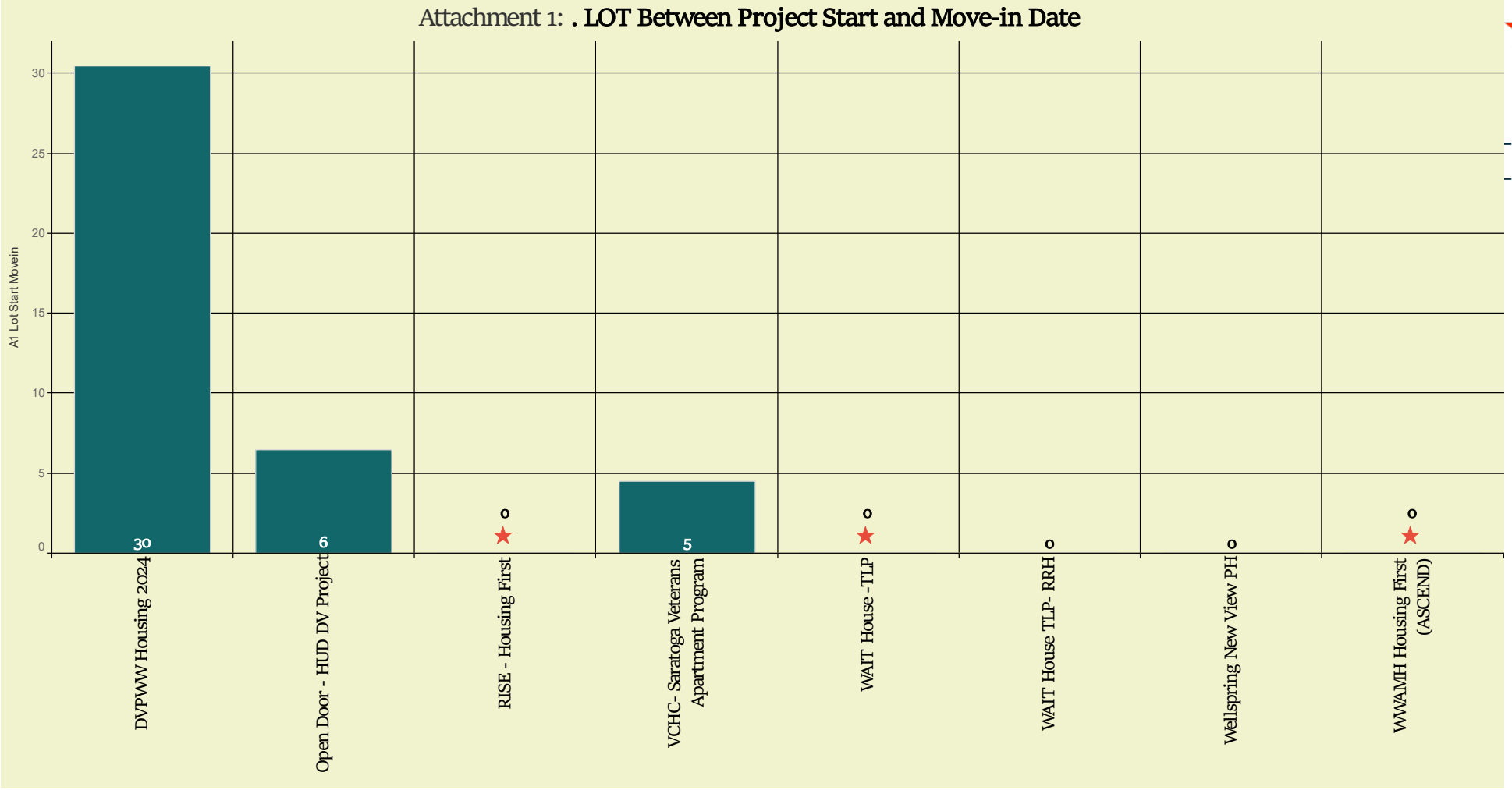


Scoring Rubric

≤ 14 days= 4 pts
> 14 days= 0 pts

Source: FY25 APR Q22C – 'Average length of time to housing.
Notes:

10. LENGTH OF TIME BETWEEN PROJECT START DATE AND RESIDENTIAL MOVE-IN DATE
ATTACHMENT #1



★ *Not Applicable to the question*

- *Any projects with zero move-in*
- *Site-based projects*

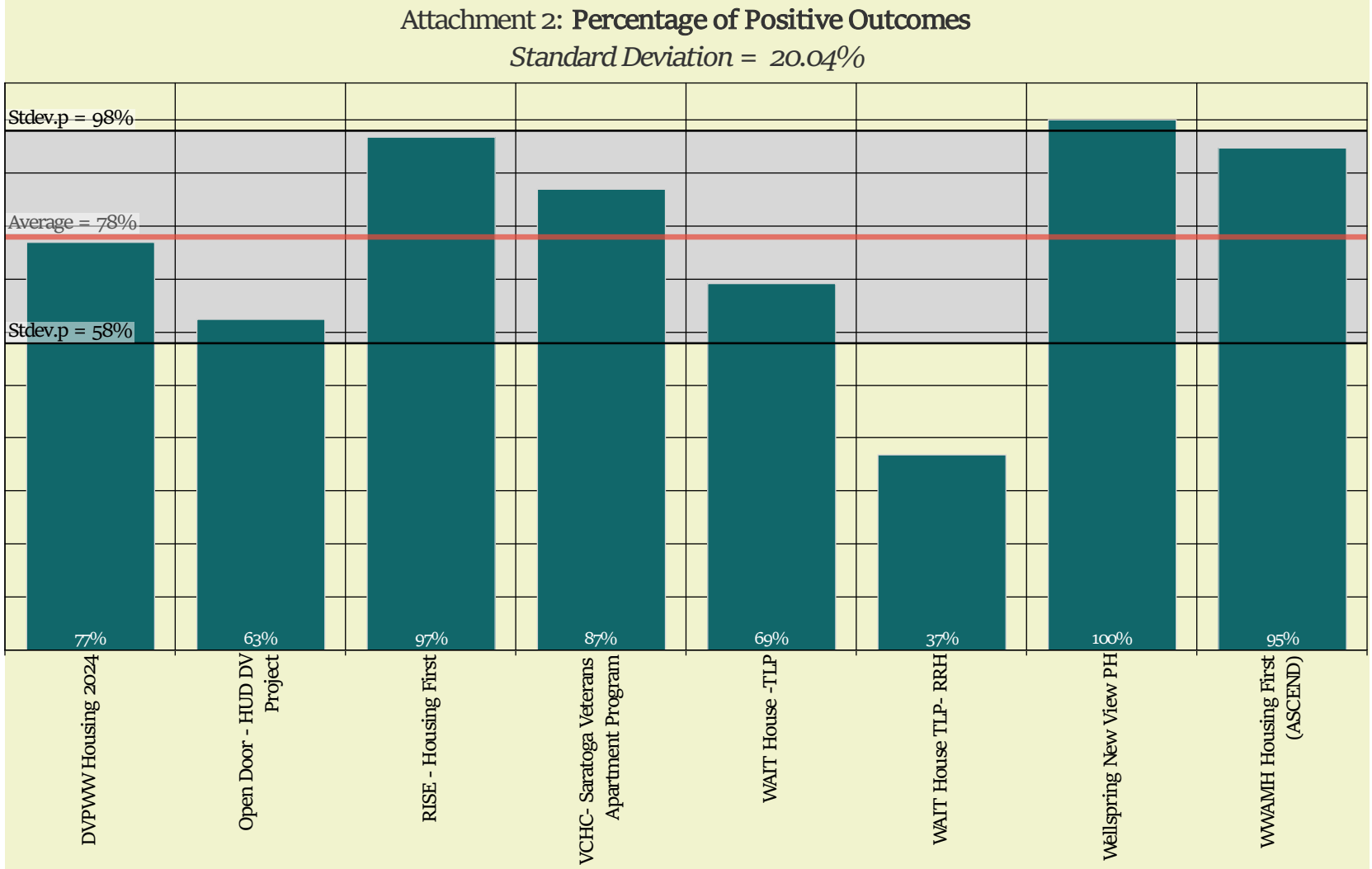
CARES OF NY, INC
ENDING HOMELESSNESS

Scoring Rubric

100%= 15 pts
79%-99% pts = 7.5 pts
58%-78% = 5 pts
Below 57% =0pts

11. POSITIVE OUTCOMES

ATTACHMENT #2



Source: For PSH, RRH, and TH, programs, an outcome is positive if a client is a stayer or exited to a PH destination that does not have a subsidy attached.

FY 25 APR Q23c. Exits to
Staying or living with family, permanent tenure
Staying or living with friends, permanent tenure
Owned by client, no ongoing housing subsidy
Rental by client, no ongoing housing subsidy
+ FY25 APR Q5 Number of Stayers /
FY25 APR Q5 Total Number of Persons Served
- FY 25 APR Q23c. Exits to Deceased, Foster Care, Hospital, and Long-Term care facility.

★ *Not Applicable to the question and Avg/Stdev.p calculation*

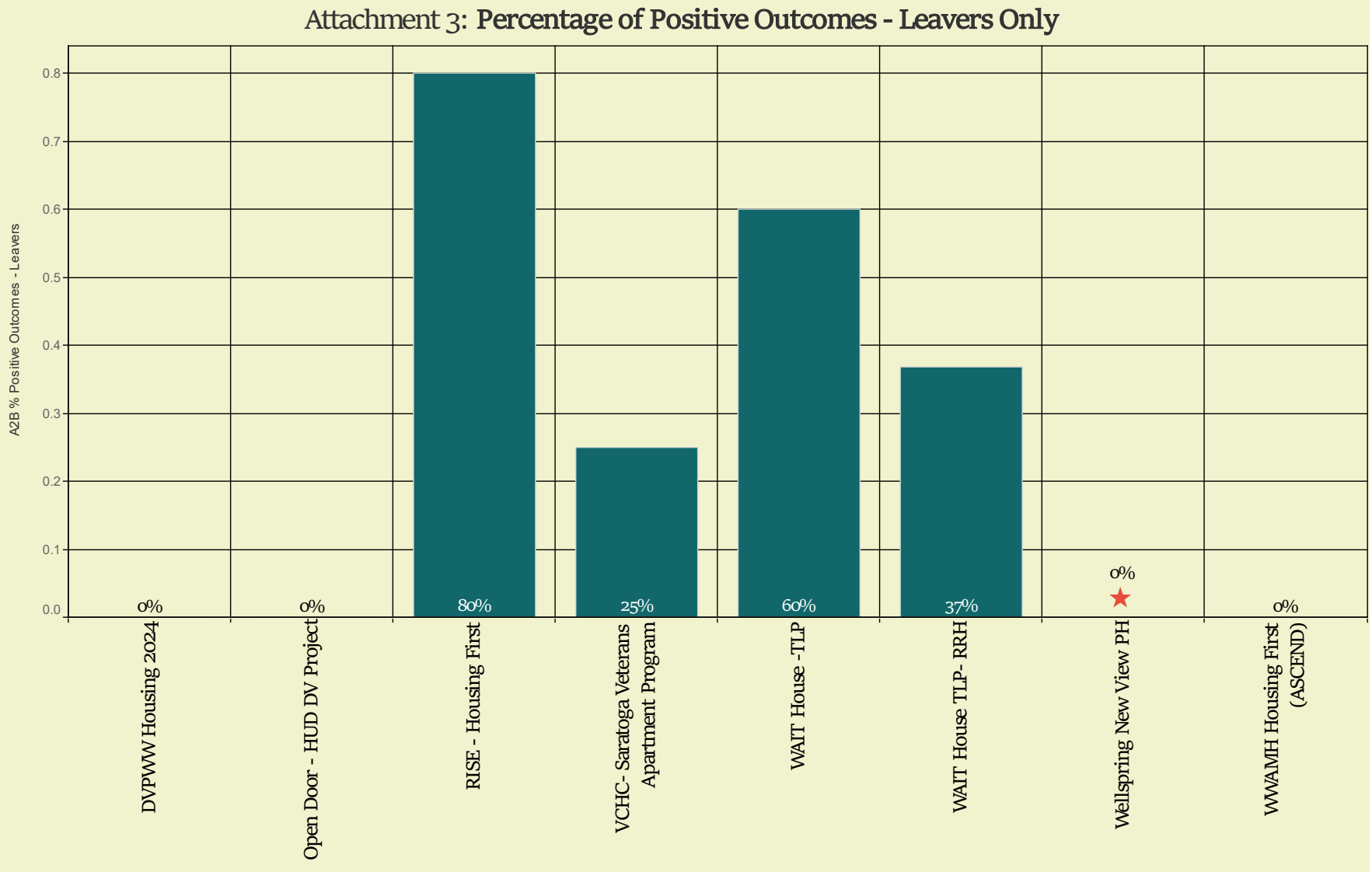
- *Any projects with no leavers and stayers*
- *All Service Only projects*

CARES OF NY, INC
ENDING HOMELESSNESS

Scoring Rubric

≥ 20% = 5 pts, < 20% = 0 pts

12. POSITIVE OUTCOMES - LEAVERS ATTACHMENT #3



Source: 20% of your participants exited to a positive housing destination that does not have a subsidy attached?

FY 25 APR Q23c. Exits to
Staying or living with family, permanent tenure
Staying or living with friends, permanent tenure
Owned by client, no ongoing housing subsidy
Rental by client, no ongoing housing subsidy
/FY25 APR Q5 Number of Leavers
- FY 25 APR Q23c. Exits to Deceased, Foster Care, Hospital, and Long-Term care facility.

★ *Not Applicable to the question.*

- *Any projects with no leavers minus excluded exits reasons*
- *All Service Only projects*

CARES OF NY, INC
ENDING HOMELESSNESS

Scoring Rubric

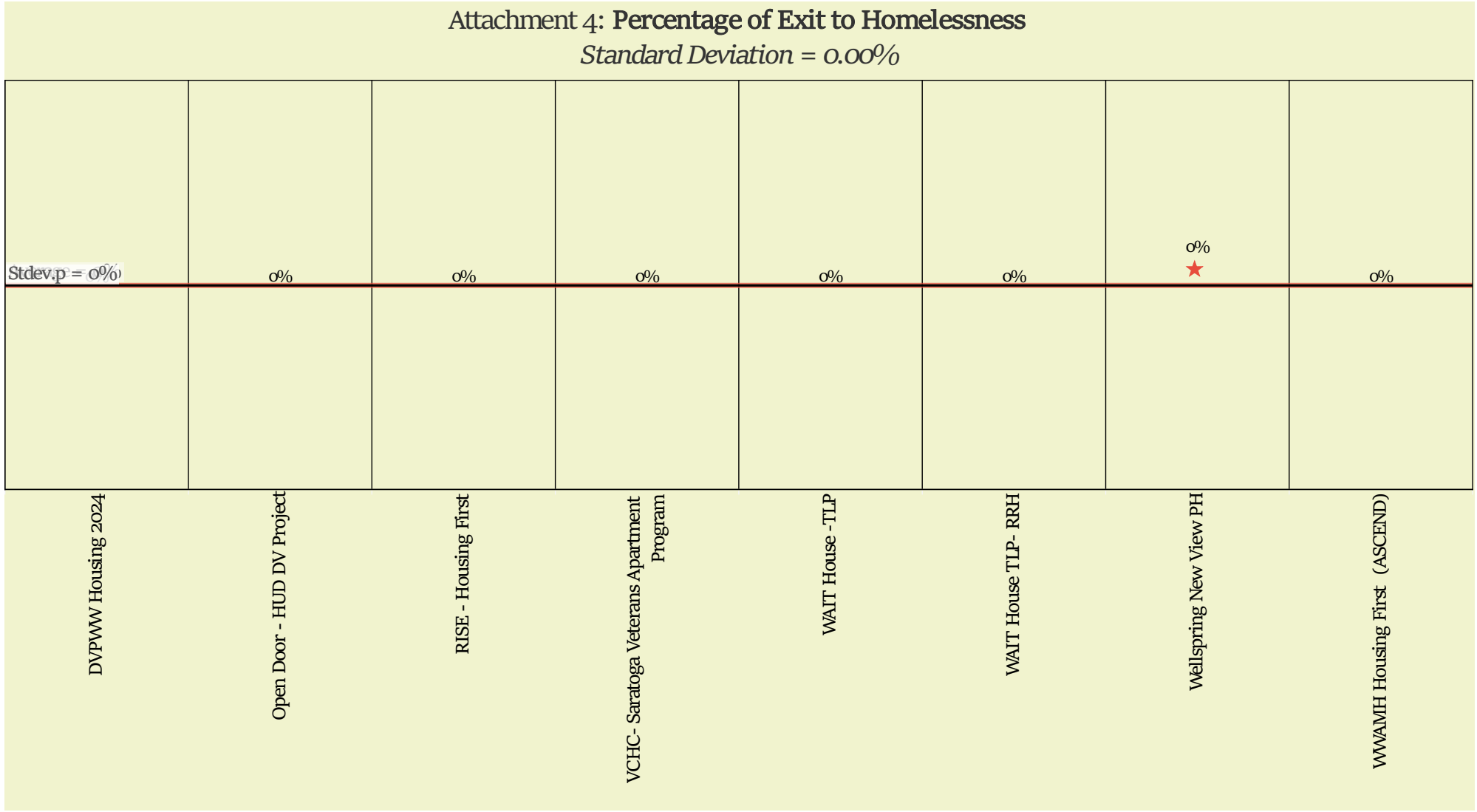
13. EXITS TO HOMELESSNESS ATTACHMENT #4

0%=10pts
>0=0pts

Source: FY25 APR, Q23c Exits to Place not meant for habitation + Exits to Emergency Shelter / APR Q5 Total Leavers

★ *Not Applicable to the question and Avg/Stdev.p calculation*

- *Any projects with no leavers*
- *All Service Only projects*



Scoring Rubric

< 8% = 5 pts

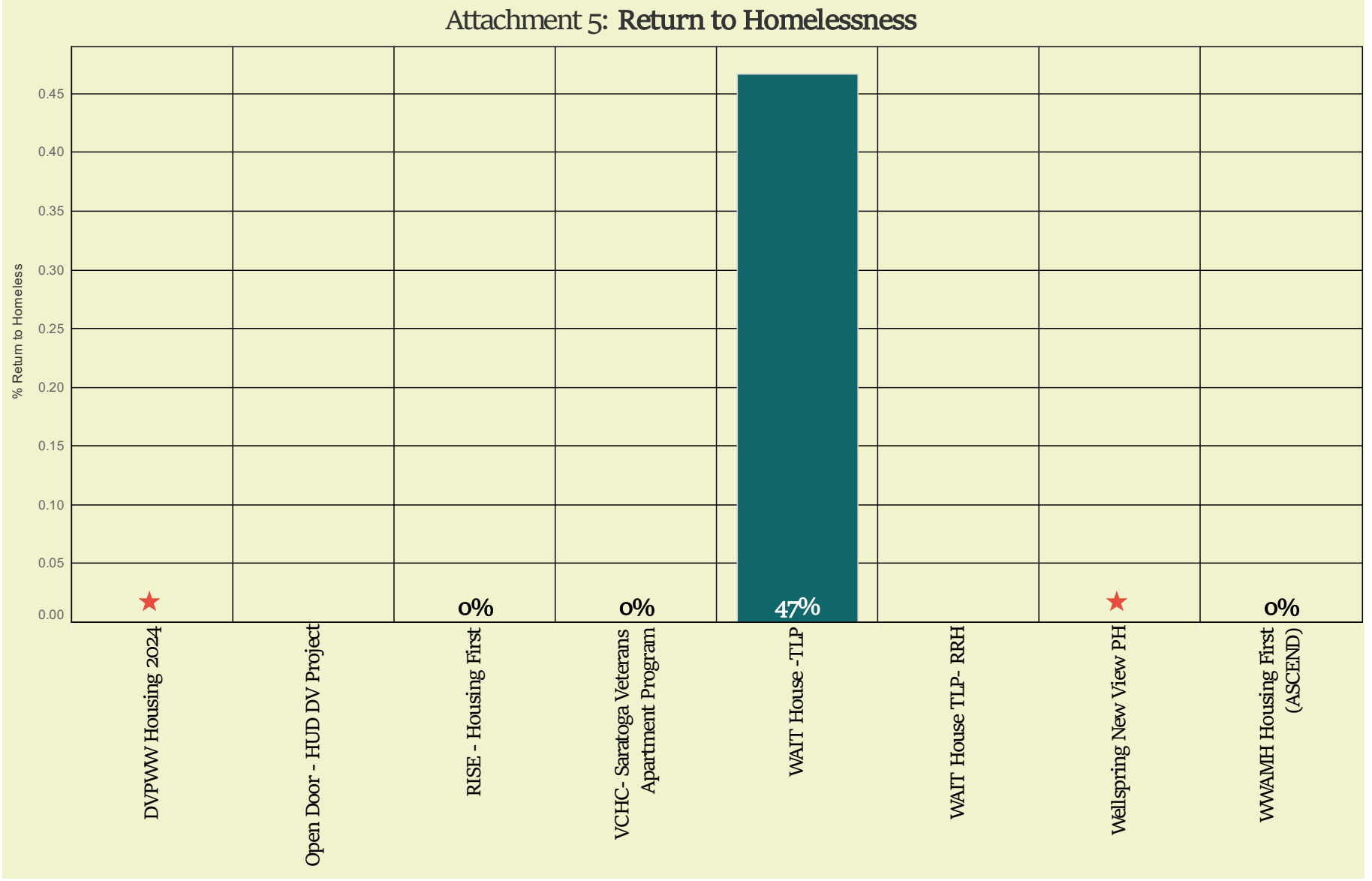
≥ 8% = 0 pts

14. RETURN TO HOMELESSNESS ATTACHMENT #5

Source: FY25 SPM Measure 2b Number of Returns in 2 Years/ Total Number of Persons who exited to Permanent Housing Destination (2 Years Prior). Personal IDs were match to the project with earliest discharge date 2 years prior of SPM Reporting period and had an exit to Permanent Housing Destination.

★ *Not Applicable to the question*

- *No matching records based on personal ID and min discharge date to Permanent destination in FY25 SPM*
- *-VSP*



15A. INCREASES IN CLIENT INCOME AT EXIT-PSH ATTACHMENT #6A

Scoring Rubric

20%= 10 pts
8-19%= 5 pts
0-7%= 0 pts

Source: FFY25 APR 19a2 – Adults with Earned Income - Performance Measure: Percent of persons who accomplished this measure.

★ *Not Applicable to the question and Avg/Stdev.p calculation*

- *No Leavers APR Q5 – Adult Leavers = 0*



15A. INCREASES IN CLIENT INCOME AT EXIT-TH/RRH ATTACHMENT #6A

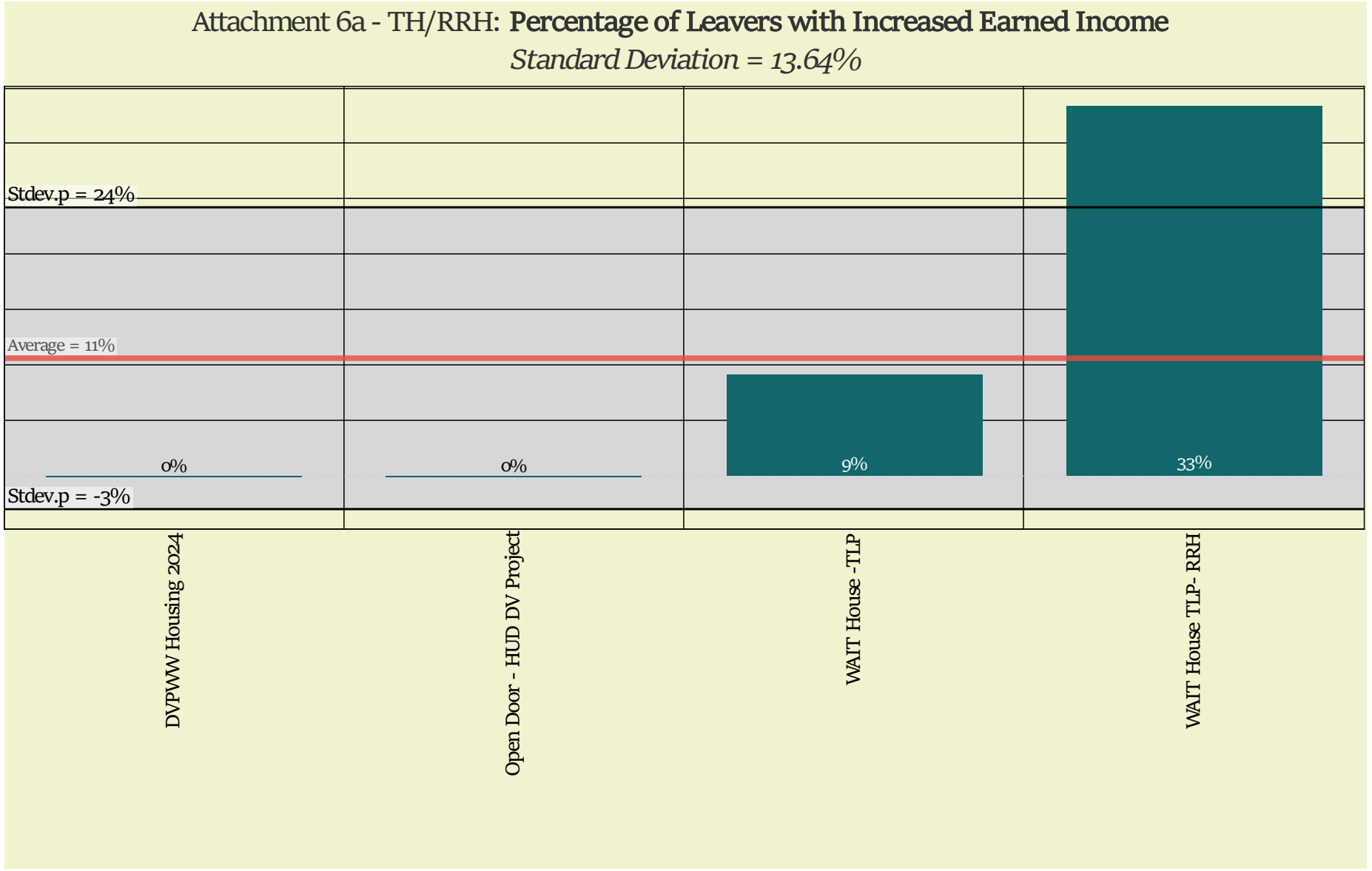
Scoring Rubric

27%= 10 pts
11-26%= 5 pts
0-10%= 0 pts

Source: FFY25 APR 19a2 – Adults with Earned Income - Performance Measure: Percent of persons who accomplished this measure.

★ *Not Applicable to the question and Avg/Stdev.p calculation*

- *No Leavers APR Q5 – Adult Leavers = 0*



15B. INCREASES IN CLIENT INCOME AT ANNUAL ASSESSMENT-PSH ATTACHMENT #6B

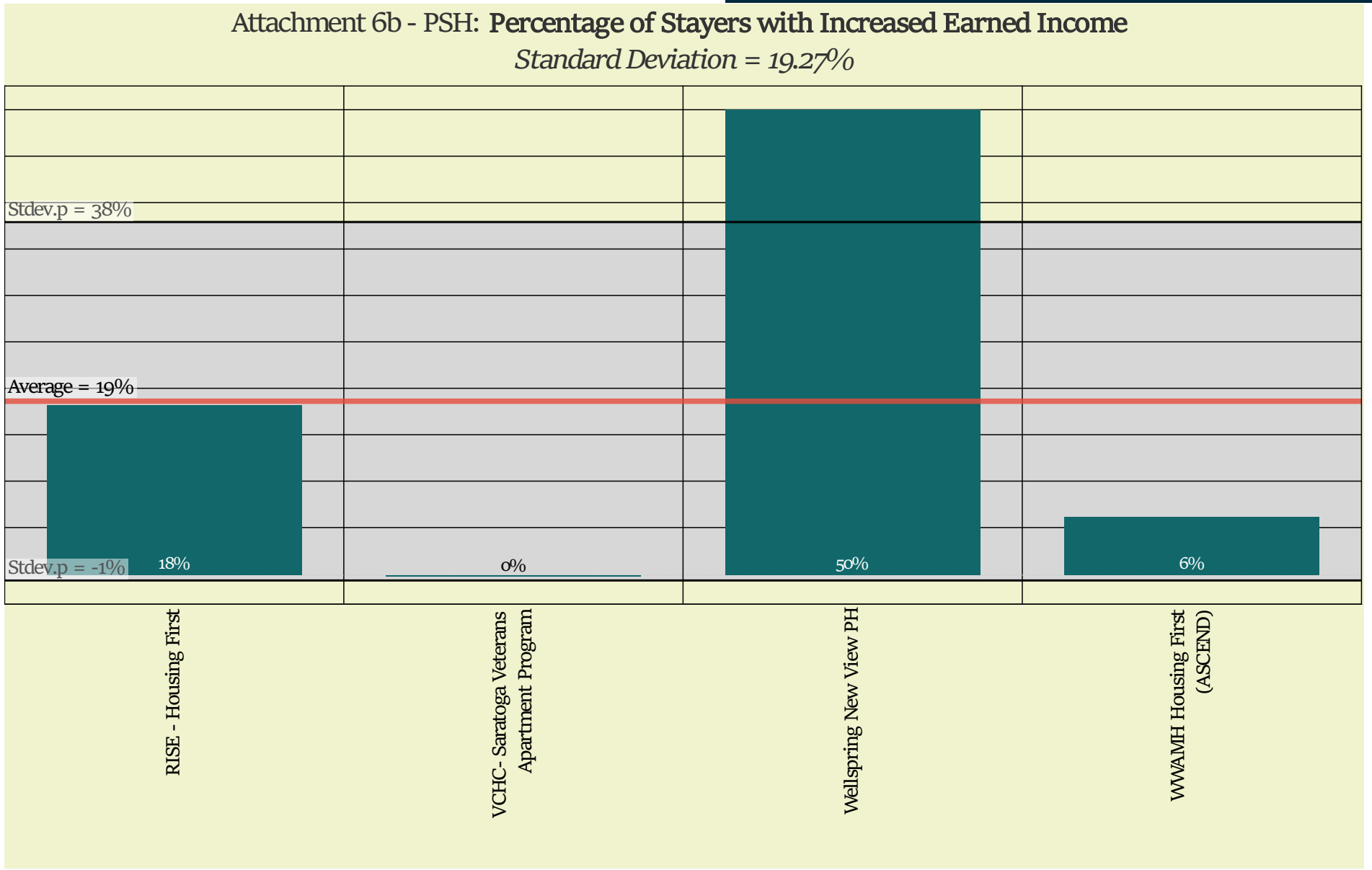
Scoring Rubric

38%= 10 pts
19-37%= 5 pts
0-18%= 0 pts

Source: FFY25 APR 19a1 – Adults with Earned Income - Performance Measure: Percent of persons who accomplished this measure.

★ *Not Applicable to the question and Avg/Stdev.p calculation*

- *FY25 APR Q5 - Number of Heads of Households and Adult Stayers in the project more than 365 days = 0*



Scoring Rubric

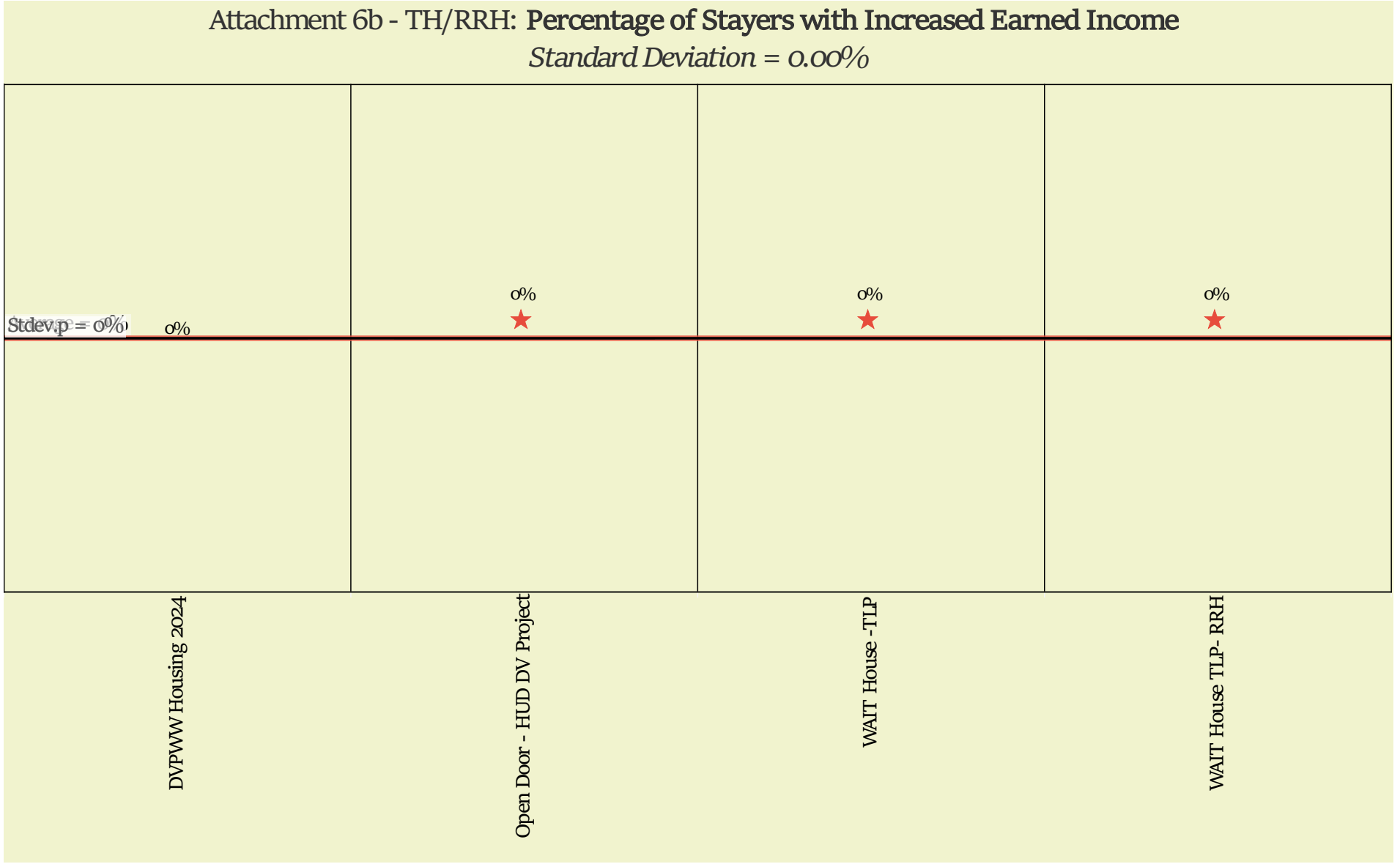
15B. INCREASES IN CLIENT INCOME AT ANNUAL ASSESSMENT-RRH & TH ATTACHMENT #6B

0%=0pts

Source: FFY25 APR 19a1 – Adults with Earned Income -
Performance Measure: Percent of persons who accomplished this measure.

★ *Not Applicable to the question and Avg/Stdev.p calculation*

- *FY25 APR Q5 - Number of Heads of Households and Adult Stayers in the project more than 365 days = 0*



18. TRACKING PARTICIPANT OUTCOMES - % EXIT TO UNKNOWN DESTINATIONS ATTACHMENT #7

Scoring Rubric

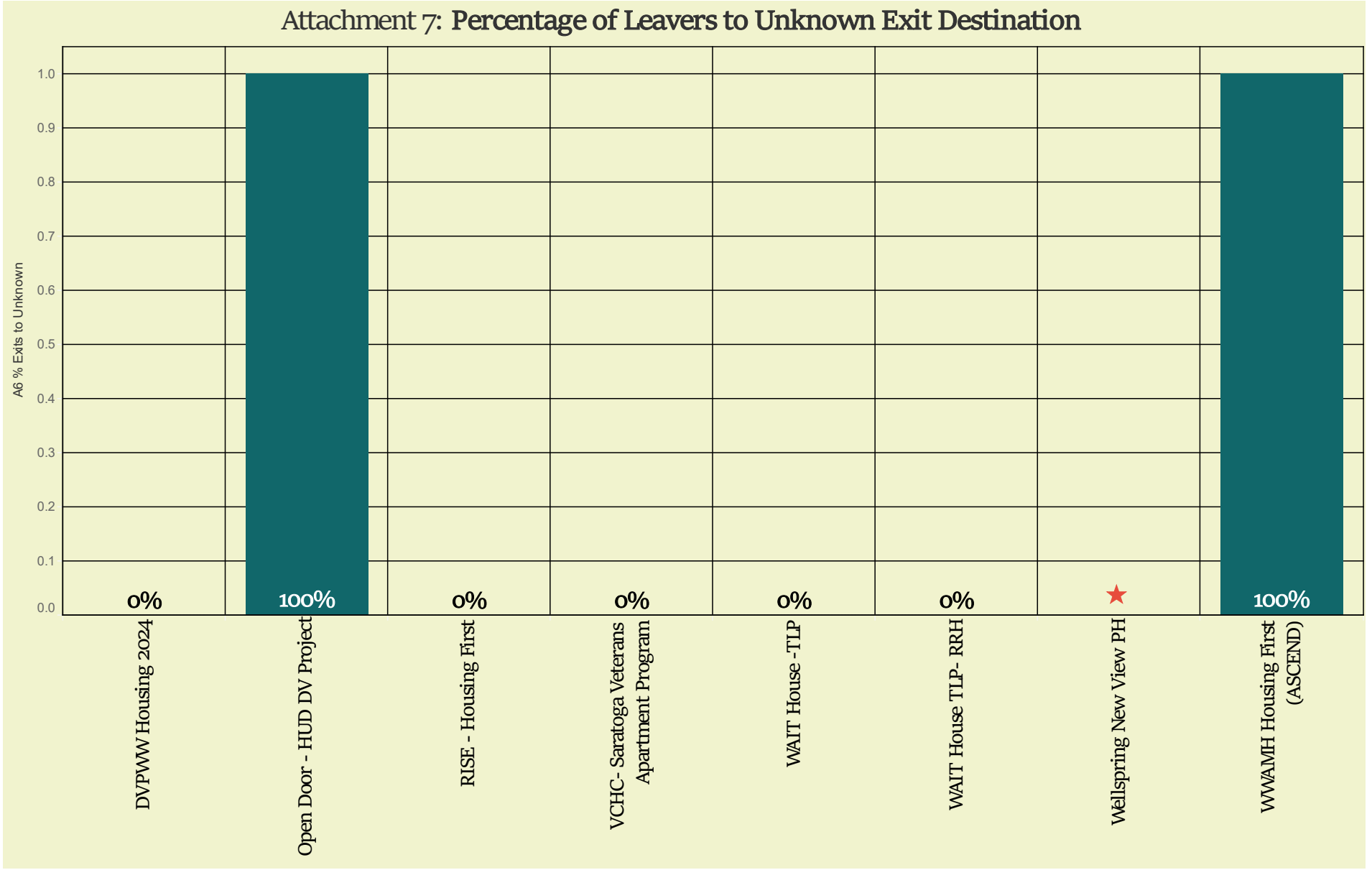
< 10% = 5 pts

≥ 10% = 0 pts

Source: FY25 APR Q23c. OS Total – Exits to Deceased / APR Q5 Total Leavers

★ *Not Applicable to the question*

- *Any projects with no leavers – excl. Leavers (Exits to Deceased, Foster Care, Hospital, and Long-Term care facility)*



APPLICATION INFORMATION

- Errors in data should be reported to sross@caresny.org by end of day Wednesday, **June 24th .** Note that data from APRs cannot be updated, but errors in calculations or in data submitted by those not entering into the HMIS will be assesses and corrected if possible.
- Part 1 and 2 applications are due by **July 2nd**. Late submissions will lose points, per the CoC's Written Process.
- For questions regarding this process, please contact Shashanna Ross at sross@caresny.org

CARES OF NY, INC
ENDING HOMELESSNESS

1D-1: Project Review and Ranking Process Your CoC Used in its Local Competition.

1. Scoring tool used in NY-523 local competition to score new and renewal application and for all project application types.

(i) Compliance Policy

- NY-523 implemented the Policy in July 2026
- The purpose of this policy is to ensure that all recipients of federal funding under the Continuum of Care (CoC) Program comply with the Project Eligibility Threshold Requirements and applicable federal regulations

HUD Continuum of Care (CoC) Federal Compliance Policy

1. Purpose

The purpose of this policy is to ensure that all recipients of federal funding under the Continuum of Care (CoC) Program comply with the Project Eligibility Threshold Requirements outlined in the FY2026 CoC Program Competition (page 85) and applicable federal regulations.

HUD is committed to promoting equal access to CoC programs for individuals and families experiencing homelessness, regardless of race or other protected characteristics. Consistent with federal requirements, the use of federal funds for unlawful discriminatory practices, including but not limited to racial preferences, is prohibited. All CoC-funded projects must comply with applicable nondiscrimination laws, including 2 CFR 200.300(a), and operate in a manner that promotes fair, equitable, and inclusive access to housing and services.

Additionally, CoC-funded projects must comply with all applicable federal laws related to controlled substances. Project applicants and recipients must not:

- Operate drug injection sites or "safe consumption sites" in violation of 21 U.S.C. § 856(a)(1);
- Knowingly permit the use or distribution of illicit drugs on property under their control in violation of 21 U.S.C. § 856(a)(2); or
- Knowingly distribute drug paraphernalia in violation of 21 U.S.C. § 863.

This policy is consistent with Section III.B of the CoC Program Competition and 2 CFR 200.300(a).

Nothing in this policy requires program participants to:

- Demonstrate sobriety as a condition of receiving assistance;
- Participate in substance use treatment as a condition of receiving assistance; or
- Be terminated or exited from assistance for a first-time violation of a drug-related policy or lease requirement.

The CoC, in coordination with the Collaborative Applicant, is responsible for:



- Ensuring compliance with HUD regulations and program requirements;
- Identifying noncompliance and providing technical assistance to resolve deficiencies;
- Promoting access to substance use disorder treatment and recovery supports;
- Supporting consistent and effective program operations;
- Protecting participant rights and HUD investments; and
- Strengthening overall program accountability.

---

2. Regulatory Authority

This policy is established in accordance with the following authorities:

- 2 CFR 200.300(a)
- 21 U.S.C. § 856(a)(1) and § 856(a)(2)
- 21 U.S.C. § 863
- 24 CFR Part 578 (CoC Program Rule)
- HUD CoC Program Competition NOFO Requirements
- HUD Equal Access Rule
- HUD Fair Housing and Civil Rights Requirements

---

3. Scope

This policy applies to all HUD CoC-funded projects within the Continuum of Care, including:

- Permanent Supportive Housing (PSH)
- Rapid Re-Housing (RRH)
- Joint Transitional Housing/Rapid Re-Housing (TH-RRH)
- Supportive Services Only (SSO)
- Coordinated Entry (SSO-CE)
- Youth Homelessness Demonstration Projects (YHDP)

---

4. Monitoring Frequency and Areas of Review

Saratoga-North Country
Continuum of Care
Serving Saratoga, Warren, Washington & Hamilton Counties

The CoC, in collaboration with the Collaborative Applicant, shall conduct monitoring activities at least annually for all CoC-funded projects, or more frequently if concerns arise regarding potential noncompliance.

Monitoring activities include, but are not limited to:

- Review of project policies, procedures, and operations;
- Assessment of compliance with HUD requirements and threshold eligibility criteria.

Areas of review may include:

Program Administration

- Grant agreements
- Threshold eligibility compliance

Program Eligibility

- Eligibility determination processes
- Discharge and exit determinations

Housing Requirements

- Lease agreements
- Occupancy agreements
- Service participation agreements

Support Services

- Individual service plans
- Case management documentation
- Participant service delivery

Policies and Procedures

- Termination policies
- Fair Housing policies
- Equal Access policies

5. Monitoring Process

Step 1: Notification

The CoC will provide written notification prior to conducting a compliance review. The notice may include:

- Deadlines for submission of requested materials;
- A list of required documents; and
- Submission instructions.

Step 2: Document Review

The CoC may request and review:

- Client files;
- Policies and procedures;
- Supporting documentation and records.

Step 3: Compliance Review

The review may include:

- Client file analysis;
- Policy and procedure evaluation;
- Verification of compliance with applicable regulations.

Step 4: Exit Discussion

Preliminary observations and findings will be discussed with agency staff prior to issuing the final report.

Step 5: Compliance Report

A written report will be issued summarizing:

- Areas reviewed;
- Identified deficiencies or concerns;
- Required corrective actions; and

- Recommendations for technical assistance.

---

6. Classification

The following term shall be used consistently:

Deficiency
A condition in which an agency is found to be in violation of HUD-related policies, regulations, or requirements and is not in compliance with current standards.

---

7. Corrective Action Procedures

Programs with identified deficiencies will receive written notification outlining required corrective actions.

- Agencies must submit corrective actions within **14 calendar days**, unless otherwise specified.
- Responses must include:
  - Revised or updated documentation;
  - Updated policies and procedures;
  - A detailed explanation of corrective measures taken;
  - Evidence of staff training, where applicable.

The Collaborative Applicant may provide technical assistance during the corrective action period.

Failure to implement required corrective actions may result in referral to the CoC NOFO Committee for consideration of project reallocation during the next funding cycle.

---

8. Technical Assistance

The Collaborative Applicant will provide technical assistance when compliance concerns are identified.

Technical assistance may include:

- One-on-one consultations;
- Training sessions or workshops;
- Written guidance and clarification;
- Resource materials;
- Policy templates and tools.

---

9. Policy Review

This policy shall be reviewed annually and updated as necessary to reflect changes in HUD regulations, NOFO requirements, or CoC standards.

1D-2c. Local Competition Selection Results–Scores for All Projects.

This attachment details the final project scores for all new and renewal projects considered for NY-523 during its local competition. It contains the following:

1. Ranking and Tiering for NY-523 Projects

NY-523 (Saratoga - North Country)- FY26 Ranking & Tiering

Agency	Project Name	Project Type	Project Score	Total Points Available	Project Status	Project Rank	Amount Requested From HUD	Reallocated Funds	Grant Pin	
Warren Washington County Homeless Youth Coalition DBA WAIT House	TLP-RRH Renewal Project	PH-RRH	194.61	250	Accepted/Renewal	1	$79,340.00	$0	NY1457	Tier 1
Warren Washington Association for Mental Health	ASCEND Permanent Supportive Housing	PSH	174.44	250	Accepted/Renewal	2	$365,053.00	$0	NY0716	
Warren Washington County Homeless Youth Coalition DBA WAIT House	TLP Renewal Project	TH	171.78	250	Accepted/Renewal	3	$87,635.00	$0	NY0673	
Domestic Violence and Rape Crisis Services of Saratoga County (dba Wellspring)	NewView Permanent Supportive	PSH	202.25	250	Accepted/Renewal - Reduced	4	$61,149.00	-$61,150	NY0184	
CAPTAIN Community Human Services, Inc.	Coordinated Entry Project for Saratoga North Country CoC	SSO-CE	N/A	N/A	Accepted/Renewal	5	$38,036.00	$0	NY1456	
RISE Housing and Support Services	RISE Permanent Housing	PSH	170.55	250	Accepted/Renewal - Reduced	6	$230,312.00	-$230,312	NY1163	
CARES of NY, Inc.	Warren, Washington, Hamiliton and Saratoga Counties Portion of the Capital Region HMIS	HMIS	N/A	N/A	Accepted/Renewal	7	$38,375.00	$0	NY0189	
Veterans & Community Housing Coalition	SVAP	PH-RRH	153.65	250	Accepted/Renewal - Reduced	8	$181,647.00	-$181,644	NY0188	
Domestic Violence and Rape Crisis Services of Saratoga County (dba Wellspring)	DV Coordinated Entry	SSO-CE	N/A	N/A	Accepted/Renewal	9	$16,906.00	$0	NY1220	
Catholic Charities of Saratoga, Warren and Washington Counties	DVPWW Housing	PH-RRH	135.03	250	Accepted/Renewal	10	$158,684.00	$0	NY1455	
Open Door Mission	The Journey Home	PH-RRH	124.31	250	Accepted/Renewal	11	$176,411.00	$0	NY1516	
Warren Washington County Homeless Youth Coalition DBA WAIT House	WAITHouse Community TLP	SSO	230	250	Accepted/Reallocated	12	$331,727.00	$331,727.00		
RISE Housing and Support Services	Rise Transitional Housing	TH	205.65	250	Accepted/Reallocated	13	$109,672.00	$109,672.00		
RISE Housing and Support Services	Rise Transitional Housing	TH	205.65	250	Accepted/Reallocated	13	$120,640.00	$120,640.00		Tier 2
Mechanicville Area Community Center	DVAP Transitional Housing	TH	194.72	250.00	Accepted/Reallocated	14	$181,033.00	$181,033.00		
Veterans & Community Housing Coalition	SVAP Transitional	TH	191.65	250.00	Accepted/Reallocated	15	$249,987.00	$249,987.00		
Domestic Violence and Rape Crisis Services of Saratoga County (dba Wellspring)	DV Workforce Development	TH	187.98	250.00	Accepted/Reallocated	16	$125,980.00	$125,980.00		
Domestic Violence and Rape Crisis Services of Saratoga County (dba Wellspring)	NewView Transitional 2	TH	186.64	250.00	Accepted/Reallocated	17	$405,661.00	$405,661.00		
Domestic Violence and Rape Crisis Services of Saratoga County (dba Wellspring)	NewView Transitional 1	TH	186.30	250.00	Accepted/Reallocated	18	$61,150.00	$61,150.00		
Adirondack Vets House, Inc	Adirondack Vets House TH 2026	TH	185.97	250.00	Accepted/Reallocated	19	$105,513.00	$105,513.00		
RISE Housing and Support Services	Community Support and Outreach Court Engagement Team	SSO	194	250	Accepted/Bonus	20	$220,000	$0		CoC Bonus
CAPTAIN Community Human Services, Inc.	CAPTAIN CHS CE Expansion	SSO-CE	190.32	250	Accepted/Bonus	21	$60,000	$0		
Open Door Mission	Reach and Restore	SSO	180.32	250	Accepted/Bonus	22	$220,000	$0		
Mechanicville Area Community Center	DVAP TH 2 Bonus	TH	170.66	250	Accepted/DV Bonus	23	$173,433	$0		DV Bonus
Domestic Violence and Rape Crisis Services of Saratoga County (dba Wellspring)	DV Workforce Bonus	TH	146.98	250	Accepted/DV Bonus	24	$173,433	$0		

Not Ranked:

CARES of NY, Inc.	Planning Grant 2026						$ 156,246.00		**Planning**

Annual Renewal Demand	$	3,124,911
Tier 1	$	1,874,947
Tier 2	$	1,249,964
CoC Bonus	$	500,000
DV Bonus	$	346,866
Planning Grant	$	156,246
UFA Grant	$	-

$2,191,363.00

$346,866

Expansion Grants

Applicant Name	Renewal Project Name	Grant Pin	Expansion Project Name
CAPTAIN Community Human Services, Inc.	Coordinated Entry Project for Saratoga North Country CoC 2024	NY1456	CAPTAIN CHS CE Expansion

Consolidations

Applicant Name	Renewal Project 1	Renewal Project 2	Surviving Pin

Projects Being Eliminated/Reduced

Agency	Project Name	Grant Pin Number	Project Type	Amount	Project Elimated/Reduced
Veterans & Community Housing Coalition	Center Street RENEWAL 2024	NY0188	PH-RRH	$ 68,343.00	Eliminated
Adirondack Vets House, Inc	Adirondack Vets House PSH FY2024	NY1218	PSH	$ 105,513.00	Eliminated
Warren Washington County Homeless Youth Coalition DBA WAIT House	WAIT House - Community 2024	NY0715	PSH	$ 331,727.00	Eliminated
Mechanicville Area Community Center	MACSC Rapid Rehousing Program	NY1571	PH-RRH	$ 181,033.00	Eliminated
Domestic Violence and Rape Crisis Services of Saratoga County (dba Wellspring)	DV Bonus project Workforce	NY1570	PH-RRH	$ 125,980.00	Eliminated
Domestic Violence and Rape Crisis Services of Saratoga County (dba Wellspring)	NewView Rapid Rehousing 2 2024-5 use this one	NY1221	PH-RRH	$ 405,661.00	Eliminated
Veterans & Community Housing Coalition	SVAP Renewal 2024	NY1038	PH-RRH	$ 181,644.00	Reduced
RISE Housing and Support Services	RISE Housing First 2024	NY1163	PSH	$ 230,312.00	Reduced
Domestic Violence and Rape Crisis Services of Saratoga County (dba Wellspring)	Newview Permanent Supportive 2024-5	NY0184	PSH	$ 61,150.00	Reduced

3A-1. Supporting Activities within an Opportunity Zone.

This attachment contains the HUD-2996 Certification Forms for Programs in Opportunity Zones:

1. Open Door Mission
    a. The Journey Home
    b. Reach and Restore

2. Adirondack Vets House
    a. Adirondack Vets House TH 2026

3A-1. Supporting Activities within an Opportunity Zone.

1. Open Door Mission
    a. The Journey Home
    b. Reach and Restore

Certification for Opportunity Zones Preference Points

U.S. Department of Housing and Urban Development

OMB Number. 2501-0044
Expiration Date: 2/28/2027

Public Reporting Burden Statement: This collection of information is estimated to average 0.20 hours per response, including the time for reviewing instructions, searching existing data sources, gathering, and maintaining the data needed, and completing and reviewing the collection of the requested information. Comments regarding the accuracy of this burden estimate and any suggestions for reducing this burden can be sent to: U.S. Department of Housing and Urban Development, Office of the Chief Data Officer, R, 451 7th St SW, Room 8210, Washington, DC 20410-5000. Do not send completed forms to this address. This Agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection displays a valid OMB control number. This Agency is authorized to collect this information under Section 102 of the Department of Housing and Urban Development Reform Act of 1989. The information you provide will enable this Agency to carry out its responsibilities under this Act and ensure greater accountability and integrity in the provision of certain types of assistance administered by this Agency. The information collected via this form is required to obtain the benefit sought in the grant program. Failure to provide any required information may delay the processing of your application and may result in sanctions and penalties including the administrative and civil money penalties specified under 24 CFR §4.38. This information will not be held confidential and may be made available to the public in accordance with the Freedom of Information Act (5 U.S.C. §552). The information collected via this form is not retrieved by a personal identifier, therefore it does not meet the threshold for a Privacy Act Statement.

Type or clearly print the following information.
***Warning:** Anyone who knowingly submits a false claim or makes a false statement is subject to criminal and/or civil penalties, including confinement for up to 5 years, fines, and civil and administrative penalties (18 U.S.C §§ 287, 1001, 1010, 1012, 1014; 31 U.S.C. § 3729, 3802; 24 CFR § 28.10(b)(1)(iii)).*

Applicant Organization: Servants of the Word, dba Open Door Mission

Assistance Listing number and Federal Program name to which the Applicant is applying:
Include the funding opportunity number and name exactly as it appears in the applicable funding opportunity announcement (example: 14.896, Family Self-Sufficiency Program).

CoC Program 14.267 CPD-2600-DC-0025 Continuum of Care Homeless Assistance Competition- The Journey Home

Opportunity Zone Census Tract(s), which the proposed activities/projects will benefit:
Include below the full 11-digit census tract number (example: 06067001101). Designated Opportunity Zone Census Tracts can be found at: https://opportunityzones.hud.gov/resources. Select the "CDFI Fund Opportunity Zones Resources" link and then select the "List of designated Qualified Opportunity Zones."

The Journey Home – 36113070500

The application meets which of the following criteria (please select one):
☑ The proposed activities/projects will occur solely within the Opportunity Zone Census Tract(s) listed above.
☐ The proposed activities/projects will occur within the Opportunity Zone Census Tract(s) listed above and other communities.
☐ The proposed activities/projects will occur outside Opportunity Zone Census Tracts, but substantial and direct benefits will accrue within the Opportunity Zone Census Tracts listed above.

Note: Projects which substantially and directly benefit Opportunity Zone Census Tracts, but which do not consist of activities delivered within Opportunity Zone Census Tracts may be considered for competitive preference. If applicable, the respective Federal Agency will clearly define "substantially and directly" in the relevant funding announcement.

Estimated Funding Allocations
Estimate a percentage of the total dollar amount of federal funding awarded that would result in a direct benefit within the Opportunity Zone Census Tracts listed above:
☑ 76% - 100%
☐ 51% - 75%
☐ 26% - 50%
☐ 11% - 25%
☐ 1%- 10%

Provide a narrative explaining and/or reference the section in the application that explains how the project will support public and private investment in urban and economically distressed areas, specifically qualified Opportunity Zones (300-word limit):
Example: "The Main Street project described in this application will stimulate economic opportunity and mobility, encourage entrepreneurship, expand quality educational opportunities, and promote workforce development for those families residing within the XYZ Opportunity Zone."

By partnering with landlords within the Opportunity Zone, the project advances neighborhood revitalization by bringing housing units into productive use and reducing the risk of deterioration and blight associated with vacancy. Program-funded rental assistance leverages and sustains private investment in the community, providing a reliable source of revenue that supports property maintenance, local ownership, and reinvestment. Stable housing outcomes for program participants strengthen the local economy through increased consumer spending at neighborhood businesses, supporting job retention, sales tax generation, and broader economic activity. In addition, housing stability serves as a critical foundation for employment, education, and self-sufficiency, promoting economic mobility for households and fostering long-term community stability. Collectively, these investments contribute to the overall vitality, resilience, and economic growth of the Opportunity Zone and the surrounding community.

Check the following boxes that accurately reflect the nature or purpose of the proposed project:

- ☐ Access to Capital
- ☐ Asset Building
- ☐ Business Assistance
- ☐ Community Capacity Building
- ☐ Economic Development
- ☐ Education
- ☐ Healthy Food Access
- ☐ Health
- ☐ Housing
- ☐ Human Services and Family Support
- ☐ Community Infrastructure
- ☐ Public Safety
- ☐ Workforce Development
- ☐ Low Income Housing Tax Credit (LIHTC) or other rent restricted housing
- ☐ Market rate housing
- ☐ Industrial development
- ☐ Commercial or retail development
- ☐ Other business development
- ☐ "Above ground" infrastructure — streets, sidewalks, lighting
- ☐ "Below ground" infrastructure — water, sewer, gas, electric
- ☐ Schools or other educational facilities
- ☐ Hospitals or other health care facilities

Certification of Authorized Representative for the Applicant:
**Under penalty of perjury, I certify on behalf of the Applicant that
(1) all information provided on this form is true, complete, and accurate, and
(2) the Applicant will notify the Agency immediately upon learning of any change in the information provided on this form, and
(3) I am authorized to speak for the Applicant regarding all information provided on this form.

Prefix: First Name: Reuben Middle Initial: Last Name: Todd Suffix:

Title: Executive Director Organization: Open Door Mission

Signature: [signature] Date: 9.29.26

Certification for Opportunity Zones Preference Points

U.S. Department of Housing and Urban Development

OMB Number. 2501-0044
Expiration Date: 2/28/2027

Public Reporting Burden Statement: This collection of information is estimated to average 0.20 hours per response, including the time for reviewing instructions, searching existing data sources, gathering, and maintaining the data needed, and completing and reviewing the collection of the requested information. Comments regarding the accuracy of this burden estimate and any suggestions for reducing this burden can be sent to: U.S. Department of Housing and Urban Development, Office of the Chief Data Officer, R, 451 7th St SW, Room 8210, Washington, DC 20410-5000. Do not send completed forms to this address. This Agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection displays a valid OMB control number. This Agency is authorized to collect this information under Section 102 of the Department of Housing and Urban Development Reform Act of 1989. The information you provide will enable this Agency to carry out its responsibilities under this Act and ensure greater accountability and integrity in the provision of certain types of assistance administered by this Agency. The information collected via this form is required to obtain the benefit sought in the grant program. Failure to provide any required information may delay the processing of your application and may result in sanctions and penalties including the administrative and civil money penalties specified under 24 CFR §4.38. This information will not be held confidential and may be made available to the public in accordance with the Freedom of Information Act (5 U.S.C. §552). The information collected via this form is not retrieved by a personal identifier, therefore it does not meet the threshold for a Privacy Act Statement.

Type or clearly print the following information.
***Warning:** Anyone who knowingly submits a false claim or makes a false statement is subject to criminal and/or civil penalties, including confinement for up to 5 years, fines, and civil and administrative penalties (18 U.S.C §§ 287, 1001, 1010, 1012, 1014; 31 U.S.C. § 3729, 3802; 24 CFR § 28.10(b)(1)(iii)).*

Applicant Organization: Servants of the Word, dba Open Door Mission

Assistance Listing number and Federal Program name to which the Applicant is applying:
Include the funding opportunity number and name exactly as it appears in the applicable funding opportunity announcement (example: 14.896, Family Self-Sufficiency Program).

Reach and Restore

Opportunity Zone Census Tract(s), which the proposed activities/projects will benefit:
Include below the full 11-digit census tract number (example: 06067001101). Designated Opportunity Zone Census Tracts can be found at: https://opportunityzones.hud.gov/resources. Select the "CDFI Fund Opportunity Zones Resources" link and then select the "List of designated Qualified Opportunity Zones."

Reach and Restore – 36113070500

The application meets which of the following criteria (please select one):
☑ The proposed activities/projects will occur solely within the Opportunity Zone Census Tract(s) listed above.
☐ The proposed activities/projects will occur within the Opportunity Zone Census Tract(s) listed above and other communities.
☐ The proposed activities/projects will occur outside Opportunity Zone Census Tracts, but substantial and direct benefits will accrue within the Opportunity Zone Census Tracts listed above.

Note: Projects which substantially and directly benefit Opportunity Zone Census Tracts, but which do not consist of activities delivered within Opportunity Zone Census Tracts may be considered for competitive preference. If applicable, the respective Federal Agency will clearly define "substantially and directly" in the relevant funding announcement.

Estimated Funding Allocations
Estimate a percentage of the total dollar amount of federal funding awarded that would result in a direct benefit within the Opportunity Zone Census Tracts listed above:
☑ 76% - 100%
☐ 51% - 75%
☐ 26% - 50%
☐ 11% - 25%
☐ 1%- 10%

Provide a narrative explaining and/or reference the section in the application that explains how the project will support public and private investment in urban and economically distressed areas, specifically qualified Opportunity Zones (300-word limit):
Example: "The Main Street project described in this application will stimulate economic opportunity and mobility, encourage entrepreneurship, expand quality educational opportunities, and promote workforce development for those families residing within the XYZ Opportunity Zone."

Reach and Restore will support public and private investment in economically distressed areas through community outreach and direct support for people facing homelessness and other barriers to stability. Open Door Mission will use project funding alongside private donations and community partnerships to connect individuals with essential services, housing resources, and ongoing support. The project will reach eligible residents throughout its service area, including people living in designated Opportunity Zones. By meeting people where they are, identifying urgent needs, and helping them access available services, Reach and Restore will strengthen the human support needed for neighborhood investment to benefit residents facing the greatest challenges. Open Door Mission will document project activities and track the public and private resources used to support this work.

Check the following boxes that accurately reflect the nature or purpose of the proposed project:

- ☐ Access to Capital
- ☐ Asset Building
- ☐ Business Assistance
- ☐ Community Capacity Building
- ☐ Economic Development
- ☐ Education
- ☐ Healthy Food Access
- ☐ Health
- ☐ Housing
- ☐ Human Services and Family Support
- ☐ Community Infrastructure
- ☐ Public Safety
- ☐ Workforce Development
- ☐ Low Income Housing Tax Credit (LIHTC) or other rent restricted housing
- ☐ Market rate housing
- ☐ Industrial development
- ☐ Commercial or retail development
- ☐ Other business development
- ☐ "Above ground" infrastructure — streets, sidewalks, lighting
- ☐ "Below ground" infrastructure — water, sewer, gas, electric
- ☐ Schools or other educational facilities
- ☐ Hospitals or other health care facilities

Certification of Authorized Representative for the Applicant:
**Under penalty of perjury, I certify on behalf of the Applicant that
(1) all information provided on this form is true, complete, and accurate, and
(2) the Applicant will notify the Agency immediately upon learning of any change in the information provided on this form, and
(3) I am authorized to speak for the Applicant regarding all information provided on this form.

Prefix: First Name: Reuben Middle Initial: Last Name: Todd Suffix:

Title: Executive Director Organization: Open Door Mission

Signature:  Date: 9.29.26

3A-1. Supporting Activities within an Opportunity Zone.

2. Adirondack Vets House
    a. Adirondack Vets House TH 2026

Docusign Envelope ID: 2190E9AA-22C4-8FCA-83D9-A8953C6D86CC

Certification for Opportunity Zones Preference Points

U.S. Department of Housing and Urban Development

OMB Number. 2501-0044
Expiration Date: 2/28/2027

Public Reporting Burden Statement: This collection of information is estimated to average 0.20 hours per response, including the time for reviewing instructions, searching existing data sources, gathering, and maintaining the data needed, and completing and reviewing the collection of the requested information. Comments regarding the accuracy of this burden estimate and any suggestions for reducing this burden can be sent to: U.S. Department of Housing and Urban Development, Office of the Chief Data Officer, R, 451 7th St SW, Room 8210, Washington, DC 20410-5000. Do not send completed forms to this address. This Agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection displays a valid OMB control number. This Agency is authorized to collect this information under Section 102 of the Department of Housing and Urban Development Reform Act of 1989. The information you provide will enable this Agency to carry out its responsibilities under this Act and ensure greater accountability and integrity in the provision of certain types of assistance administered by this Agency. The information collected via this form is required to obtain the benefit sought in the grant program. Failure to provide any required information may delay the processing of your application and may result in sanctions and penalties including the administrative and civil money penalties specified under 24 CFR §4.38. This information will not be held confidential and may be made available to the public in accordance with the Freedom of Information Act (5 U.S.C. §552). The information collected via this form is not retrieved by a personal identifier, therefore it does not meet the threshold for a Privacy Act Statement.

Type or clearly print the following information.
***Warning:** Anyone who knowingly submits a false claim or makes a false statement is subject to criminal and/or civil penalties, including confinement for up to 5 years, fines, and civil and administrative penalties (18 U.S.C §§ 287, 1001, 1010, 1012, 1014; 31 U.S.C. § 3729, 3802; 24 CFR § 28.10(b)(1)(iii)).*

Applicant Organization:Adirondack Vets House , Inc.

Assistance Listing number and Federal Program name to which the Applicant is applying:
Include the funding opportunity number and name exactly as it appears in the applicable funding opportunity announcement (example: 14.896, Family Self-Sufficiency Program).

14.267 CPD-2600-DC-0025 Adirondack Vets House TH 2026

Opportunity Zone Census Tract(s), which the proposed activities/projects will benefit:
Include below the full 11-digit census tract number (example: 06067001101). Designated Opportunity Zone Census Tracts can be found at: https://opportunityzones.hud.gov/resources. Select the "CDFI Fund Opportunity Zones Resources" link and then select the "List of designated Qualified Opportunity Zones."

Adirondack Vets House TH 3026- 36113070200

The application meets which of the following criteria (please select one):
☒ The proposed activities/projects will occur solely within the Opportunity Zone Census Tract(s) listed above.
☐ The proposed activities/projects will occur within the Opportunity Zone Census Tract(s) listed above and other communities.
☐ The proposed activities/projects will occur outside Opportunity Zone Census Tracts, but substantial and direct benefits will accrue within the Opportunity Zone Census Tracts listed above.

Note: Projects which substantially and directly benefit Opportunity Zone Census Tracts, but which do not consist of activities delivered within Opportunity Zone Census Tracts may be considered for competitive preference. If applicable, the respective Federal Agency will clearly define "substantially and directly" in the relevant funding announcement.

Estimated Funding Allocations
Estimate a percentage of the total dollar amount of federal funding awarded that would result in a direct benefit within the Opportunity Zone Census Tracts listed above:
☐ 76% - 100%
☒ 51% - 75%
☐ 26% - 50%
☐ 11% - 25%
☐ 1%- 10%

Docusign Envelope ID: 2190E9AA-22C4-8FCA-83D9-A8953C6D86CC

Provide a narrative explaining and/or reference the section in the application that explains how the project will support public and private investment in urban and economically distressed areas, specifically qualified Opportunity Zones (300-word limit):
Example: "The Main Street project described in this application will stimulate economic opportunity and mobility, encourage entrepreneurship, expand quality educational opportunities, and promote workforce development for those families residing within the XYZ Opportunity Zone."

By partnering with landlords within the Opportunity Zone, the project advances neighborhood revitalization by bringing housing units into productive use and reducing the risk of deterioration and blight associated with vacancy. Program-funded rental assistance leverages and sustains private investment in the community, providing a reliable source of revenue that supports property maintenance, local ownership, and reinvestment. Stable housing outcomes for program participants strengthen the commi

---

Check the following boxes that accurately reflect the nature or purpose of the proposed project:

- ☐ Access to Capital
- ☐ Asset Building
- ☐ Business Assistance
- ☐ Community Capacity Building
- ☐ Economic Development
- ☐ Education
- ☒ Healthy Food Access
- ☐ Health
- ☒ Housing
- ☒ Human Services and Family Support
- ☒ Community Infrastructure
- ☒ Public Safety
- ☐ Workforce Development
- ☐ Low Income Housing Tax Credit (LIHTC) or other rent restricted housing
- ☒ Market rate housing
- ☐ Industrial development
- ☐ Commercial or retail development
- ☐ Other business development
- ☐ "Above ground" infrastructure — streets, sidewalks, lighting
- ☐ "Below ground" infrastructure — water, sewer, gas, electric
- ☐ Schools or other educational facilities
- ☐ Hospitals or other health care facilities

Certification of Authorized Representative for the Applicant:
**Under penalty of perjury, I certify on behalf of the Applicant that
(1) all information provided on this form is true, complete, and accurate, and
(2) the Applicant will notify the Agency immediately upon learning of any change in the information provided on this form, and
(3) I am authorized to speak for the Applicant regarding all information provided on this form.

Prefix: Mr First Name: Jeffrey Middle Initial: W Last Name: Varmette Suffix:

Title: Executive Director Organization: Adirondack Vets House, Inc.

Signature: Jeff Varmette Date: 08/24/2026