

Before Starting the Project Application

To ensure that the Project Application is completed accurately, ALL project applicants should review the following information BEFORE beginning the application.

Things to Remember:

- Only Collaborative Applicants may apply for CoC Planning funds using this application, and only one CoC Planning application may be submitted during the FY 2019 CoC Program grant competition.
- Additional training resources can be found on the HUD Exchange at <https://www.hudexchange.info/e-snaps/guides/coc-program-competition-resources/>
- Program policy questions and problems related to completing the application in e-snaps may be directed to HUD via the HUD Exchange Ask A Question.
- Project applicants are required to have a Data Universal Numbering System (DUNS) number and an active registration in the Central Contractor Registration (CCR)/System for Award management (SAM) in order to apply for funding under the Continuum of Care (CoC) Program Competition. For more information see the FY 2019 CoC Program Competition NOFA.
- To ensure that applications are considered for funding, applicants should read all sections of the FY 2019 CoC Program Competition NOFA.
- Detailed instructions can be found on the left menu within e-snaps and on the HUD Exchange. They contain comprehensive instructions and should be used in tandem with the navigational guides, which are also found on the HUD Exchange.
- Before completing the project application, all project applicants must complete or update (as applicable) the Project Applicant Profile in e-snaps, particularly the Authorized Representative and Alternate Representative forms as HUD uses this information to contact you if additional information is required (e.g., allowable technical deficiency).
- HUD reserves the right to reduce or reject any new or renewal project that fails to adhere to 24 CFR Part 578 and application requirements set forth in the FY 2019 CoC Program NOFA.

1A. SF-424 Application Type

1. Type of Submission:

2. Type of Application: CoC Planning Project Application

If Revision, select appropriate letter(s):

If "Other", specify:

3. Date Received: 08/23/2019

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

6. Date Received by State:

7. State Application Identifier:

1B. SF-424 Legal Applicant

8. Applicant

a. Legal Name: RUPCO

b. Employer/Taxpayer Identification Number (EIN/TIN): 22-2368174

c. Organizational DUNS:	122749815	PLUS 4	0000
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d. Address

Street 1: 289 Fair Street

Street 2:

City: Kingston

County:

State: New York

Country: United States

Zip / Postal Code: 12401

e. Organizational Unit (optional)

Department Name:

Division Name:

f. Name and contact information of person to be contacted on matters involving this application

Prefix: Mrs.

First Name: Kathy

Middle Name:

Last Name: Germain

Suffix:

Title: Vice President of Housing Services

Organizational Affiliation: RUPCO

Telephone Number: (845) 331-9860

Extension: 235

Fax Number: (845) 331-9864
Email: kgermain@rupco.org

1C. SF-424 Application Details

9. Type of Applicant: M. Nonprofit with 501C3 IRS Status

10. Name of Federal Agency: Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Title: CoC Program

CFDA Number: 14.267

12. Funding Opportunity Number: FR-6300-N-25

Title: Continuum of Care Homeless Assistance Competition

13. Competition Identification Number:

Title:

1D. SF-424 Congressional District(s)

14. Area(s) affected by the project (state(s) only): New York
(for multiple selections hold CTRL+Key)

15. Descriptive Title of Applicant's Project: Kingston/Ulster CoC Planning 2019

16. Congressional District(s):

a. Applicant: NY-019
b. Project: NY-019
(for multiple selections hold CTRL+Key)

17. Proposed Project

a. Start Date: 04/01/2020
b. End Date: 03/31/2021

18. Estimated Funding (\$)

a. Federal:
b. Applicant:
c. State:
d. Local:
e. Other:
f. Program Income:
g. Total:

1E. SF-424 Compliance

19. Is the Application Subject to Review By State Executive Order 12372 Process? b. Program is subject to E.O. 12372 but has not been selected by the State for review.

If "YES", enter the date this application was made available to the State for review:

20. Is the Applicant delinquent on any Federal debt? No

If "YES," provide an explanation:

1F. SF-424 Declaration

By signing and submitting this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete, and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

I AGREE:

21. Authorized Representative

Prefix: Mr.

First Name: Kevin

Middle Name:

Last Name: O'Connor

Suffix:

Title: Chief Executive Officer

Telephone Number: (845) 331-2140
(Format: 123-456-7890)

Fax Number: (845) 331-6217
(Format: 123-456-7890)

Email: koconnor@rupco.org

Signature of Authorized Representative: Considered signed upon submission in e-snaps.

Date Signed: 08/23/2019

1G. HUD 2880

Applicant/Recipient Disclosure/Update Report - form HUD-2880
U.S. Department of Housing and Urban Development
OMB Approval No. 2506-0214 (exp.02/28/2022)

Applicant/Recipient Information

1. Applicant/Recipient Name, Address, and Phone

Agency Legal Name: RUPCO
Prefix: Mr.
First Name: Kevin
Middle Name:
Last Name: O'Connor
Suffix:
Title: Chief Executive Officer
Organizational Affiliation: RUPCO
Telephone Number: (845) 331-2140
Extension: 281
Email: koconnor@rupco.org
City: Kingston
County:
State: New York
Country: United States
Zip/Postal Code: 12401

2. Employer ID Number (EIN): 22-2368174
3. HUD Program: Continuum of Care Program
4. Amount of HUD Assistance Requested/Received: \$36,049

(Requested amounts will be automatically entered within applications)

5. State the name and location (street address, city and state) of the project or activity: Kingston/Ulster CoC Planning 2019 289 Fair Street Kingston New York

Refer to project name, addresses and CoC Project Identifying Number (PIN) entered into the attached project application.

Part I Threshold Determinations

1. Are you applying for assistance for a specific project or activity? Yes
(For further information, see 24 CFR Sec. 4.3).

2. Have you received or do you expect to receive assistance within the jurisdiction of the Department (HUD), involving the project or activity in this application, in excess of \$200,000 during this fiscal year (Oct. 1 - Sep. 30)? For further information, see 24 CFR Sec. 4.9. No

Certification

Warning: If you knowingly make a false statement on this form, you may be subject to civil or criminal penalties under Section 1001 of Title 18 of the United States Code. In addition, any person who knowingly and materially violates any required disclosures of information, including intentional nondisclosure, is subject to civil money penalty not to exceed \$10,000 for each violation.

I certify that the information provided on this form and in any accompanying documentation is true and accurate. I acknowledge that making, presenting, submitting, or causing to be submitted a false, fictitious, or fraudulent statement, representation, or certification may result in criminal, civil, and/or administrative sanctions, including fines, penalties, and imprisonment.

I AGREE:

Name / Title of Authorized Official: Kevin O'Connor, Chief Executive Officer

Signature of Authorized Official: Considered signed upon submission in e-snaps.

Date Signed: 08/23/2019

1H. HUD 50070

HUD 50070 Certification for a Drug Free Workplace

Applicant Name: RUPCO

Program/Activity Receiving Federal Grant Funding: CoC Program

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:	
a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.	e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
b. Establishing an on-going drug-free awareness program to inform employees --- (1) The dangers of drug abuse in the workplace (2) The Applicant's policy of maintaining a drug-free workplace; (3) Any available drug counseling, rehabilitation, and employee assistance programs; and (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.	f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted --- (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;	g. Making a good faith effort to continue to maintain a drugfree workplace through implementation of paragraphs a. thru f.
d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will --- (1) Abide by the terms of the statement; and (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;	

Sites for Work Performance.

The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Workplaces, including addresses, entered in the attached project application.
 Refer to addresses entered into the attached project application.

I certify that the information provided on this form and in any accompanying documentation is true and accurate. I

X

acknowledge that making, presenting, submitting, or causing to be submitted a false, fictitious, or fraudulent statement, representation, or certification may result in criminal, civil, and/or administrative sanctions, including fines, penalties, and imprisonment.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Authorized Representative

Prefix: Mr.

First Name: Kevin

Middle Name

Last Name: O'Connor

Suffix:

Title: Chief Executive Officer

Telephone Number: (845) 331-2140
(Format: 123-456-7890)

Fax Number: (845) 331-6217
(Format: 123-456-7890)

Email: koconnor@rupco.org

Signature of Authorized Representative: Considered signed upon submission in e-snaps.

Date Signed: 08/23/2019

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file

the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate:

X

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Applicant's Organization: RUPCO

Name / Title of Authorized Official: Kevin O'Connor, Chief Executive Officer

Signature of Authorized Official: Considered signed upon submission in e-snaps.

Date Signed: 08/23/2019

1J. SF-LLL

DISCLOSURE OF LOBBYING ACTIVITIES
Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352.
Approved by OMB0348-0046

HUD requires a new SF-LLL submitted with each annual CoC competition and completing this screen fulfills this requirement.

Answer "Yes" if your organization is engaged in lobbying associated with the CoC Program and answer the questions as they appear next on this screen. The requirement related to lobbying as explained in the SF-LLL instructions states: "The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action."

Answer "No" if your organization is NOT engaged in lobbying.

Does the recipient or subrecipient of this CoC grant participate in federal lobbying activities (lobbying a federal administration or congress) in connection with the CoC Program? No

Legal Name: RUPCO
Street 1: 289 Fair Street
Street 2:
City: Kingston
County: Ulster
State: New York
Country: United States
Zip / Postal Code: 12401

11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I certify that this information is true and complete.

X

Authorized Representative

Prefix: Mr.

First Name: Kevin

Middle Name:

Last Name: O'Connor

Suffix:

Title: Chief Executive Officer

Telephone Number: (845) 331-2140
(Format: 123-456-7890)

Fax Number: (845) 331-6217
(Format: 123-456-7890)

Email: koconnor@rupco.org

Signature of Authorized Official: Considered signed upon submission in e-snaps.

Date Signed: 08/23/2019

2A. Project Detail

1a. CoC Number and Name: NY-608 - Kingston/Ulster County CoC
1b. Collaborative Applicant Name: RUPCO

2. Project Name: Kingston/Ulster CoC Planning 2019

3. Component Type: CoC Planning Project Application

2B. Project Description

1. Provide a description that addresses the entire scope of the proposed project and how the Collaborative Applicant will use grant funds to comply with the provisions of 24 CFR 578.7.

RUPCO the collaborative applicant for the Kingston/Ulster CoC will utilize planning funds for staffing to coordinate the homeless housing service system in Ulster County, which includes two consolidated plan jurisdictions, the City of Kingston and Ulster County. Staff coordinate CoC board, membership and committee meetings, facilitates the annual planning process leading to the submission of the annual application to HUD. Staff monitor CoC and ESG funded programs for performance, provides technical assistance as needed to project applicants and engages a broad array of organizations working to prevent homelessness. As the Collaborative Applicant (CA), RUPCO staff ensure that the CoC is in compliance with the HEARTH Act and the provisions of 24CFR 578.7. The CA is responsible to work with the CoC board and committee chairs to design and implement the development of the annual application to HUD in a collaborative manner. The CA will ensure that records are kept with evidence that the board meets the requirements of 578.7(b), has an approved governance charter that is reviewed and updated annually, develops written standards including the process to select the board and that the CoC has a designated HMIS provider. The CA engages a consultant to assist in the review of governance documents to ensure HEARTH compliance and facilitates the ranking and tiering of renewal and new projects. This has become a best practice to have a third party that is neutral in the decision making process.

2. Describe the estimated schedule for the proposed activities, the management plan, and the method for assuring effective and timely completion of all work.

The CA will follow a timeline that involves year round activities and engagement with members to complete necessary tasks associated with the application submission. The CA is responsible to convene and facilitate the CoC board and committees to provide ongoing support for them as needed. The CA coordinates the PIT count, is responsible to submit all PIT, HIC and System Performance data in a timely fashion in HDX. The CA works with CARES, our HMIS provider to ensure data quality standards are met for all participating agencies on a quarterly basis. Monitoring of CoC and ESG applicants will be completed annually outside of the NOFA period. The management plan will include regular oversight of documented proposed activities by the Board. The CA will provide monthly memos to the board outlining CoC related activities to support the annual application submission. In order to ensure accountability, the Collaborative Applicant's Scope of Work is reviewed annually by the board. This reporting will ensure effective and timely completion of all work.

3. How will the requested funds improve or maintain the CoC's ability to evaluate the outcome of CoC and ESG projects?

Project funding will allow staff to further implement and facilitate the monitoring and evaluation process. The project evaluation will involve a review of one or more of these components: Annual Performance Report (APR) Reviews, HMIS Data Memos, On-Site Technical Assistance Reviews, and program impact on Systems Performance. Project evaluation will review three performance measures on each project APR to ensure data accuracy and quality. In terms of HMIS Data Memos, Planning staff and the HMIS Lead collaboratively review HMIS data quality reports and provide joint technical assistance. Staff create Data Memos summarizing any issues related to Data Quality and Systems Performance, including data completeness, length of stay, successful destinations, housing stability, and client income development. Staff provide technical assistance to projects utilizing the Data Memos working with our HMIS lead staff to ensure corrective action as necessary. Planning staff conduct administrative monitoring of important project areas such as HMIS compliance, equal access to housing regardless of gender identity, and financial management. A summary of the results and findings are submitted to the board for review and correction action plans are developed for agencies with findings. While the CoC jurisdiction does not receive an annual ESG allocation, there is a competitive application through the State, Solutions to End Homelessness Program (STEHP). Agencies receiving those funds will be included in CoC monitoring to ensure performance. Staff will work with the board to ensure effective and efficient evaluation of program outcomes, shifting the focus of outcomes from project specific to system-wide. In order to ensure systems level change

4. How will the planning activities continue beyond the expiration of HUD financial assistance?

Ulster County provides limited funding to carry out basic application functions including the point in time, HIC, and administrative support for the board and committees. Significant staffing is required to meet all HEARTH regulations and submit the annual application. The County dollars are a match for the planning funds. If funds were not available from HUD, the county funding would only support limited activities and volunteers without the experience and understanding of HEARTH requirements would be assigned to support the application submission.

3A. Governance and Operations

1. How often does the CoC conduct meetings of the full CoC membership? Semi-Annually

2. Does the CoC include membership of a homeless or formerly homeless person? Yes

2a. For members who are homeless or formerly homeless, what role do they play in the CoC membership? (Select all that apply)

Participates in CoC meetings:	<input checked="" type="checkbox"/>
Votes, including electing Coc Board:	<input checked="" type="checkbox"/>
Sits on CoC Board:	<input checked="" type="checkbox"/>
None:	<input type="checkbox"/>

3. Does the CoC's governance charter incorporate written policies and procedures for each of the following

a. Written agendas of CoC meetings? Yes

b. Coordinated Entry? (Also known as centralized or coordinated assessment) Yes

c. Process for monitoring outcomes of ESG recipients? Yes

d. CoC policies and procedures? Yes

e. Written process for board selection? Yes

f. Code of Conduct for board members that includes a recusal process? Yes

g. Written standards for administering assistance? Yes

4. Were there any written complaints received by the CoC in relation to project review, project selection, or other items related to 24 CFR 578.7 or 578.9 within the past 12 months? No

3B. Committees

Provide information for up to five of the most active CoC-wide planning committees, subcommittees and/or workgroups, to address homeless needs in the CoC's geographic area that recommend and set policy priorities for the CoC, including a brief description of the role and the frequency of the meetings. Only include committees, subcommittees and/or workgroups, that are directly involved in CoC-wide planning and not the regular delivery of services.

Name of Group	Role of the Group (max 750 characters)	Meeting Frequency	Name of Individuals and/or Organizations Represented
Ranking/Evaluation	This committee reviews monitoring from CoC funded programs and is responsible to rank new and renewal projects by making recommendation to the board	Semi-Annually	RUPCO, Ulster County Planning, City of Kingston, Legal Services, UC Mental Health, DSS, Soldier On
Systems Committee	engage representatives from systems of care to understand how each system works with homeless populations, identify barriers to services avoiding duplication.	Quarterly	M. Harrington, Health Alliance, UC Mental Health, UC Sheriff, Family of Woodstock, Reentry
Coordinated Entry	The CE committee is responsible to ensure the system meets HUD's core requirements, update policy and procedures and evaluates the performance of CE.	Quarterly	RUPCO, People USA, Family of Woodstock, Family Institute of Health, SPOA, Soldier On, RSS, Gateway
Audit and Monitoring	The Executive Committee will ensure timely execution of contracts and drawdowns of HUD funds	Semi-Annually	Family of Woodstock, People USA, Legal Services, Ulster Savings
Strategic Planning	Engage Community Input to develop local priorities to reduce homelessness, monitor progress of plan	Semi-Annually	RUPCO, Kingston PHA, UC Jail, Family of Woodstock, DSS, Ulster County Planning, City of Kingston, Youthbuild, UC Workforce Development, Catholic Charities

4A. Sources of Match

The following list summarizes the funds that will be used as Match for the project. To add a Matching source to the list, select the  icon. To view or update a Matching source already listed, select the  icon.

Summary for Match

Total Value of Cash Commitments:	\$9,200
Total Value of In-Kind Commitments:	\$0
Total Value of All Commitments:	\$9,200

1. Does this project generate program income as described in 24 CFR 578.97 that will be used as Match for this grant? No

Match	Type	Source	Contributor	Date of Commitment	Value of Commitments
Yes	Cash	Government	Ulster County	04/09/2019	\$9,200

Sources of Match Details

- 1. Will this commitment be used towards Match?** Yes
- 2. Type of commitment:** Cash
- 3. Type of source:** Government
- 4. Name the source of the commitment:** Ulster County
(Be as specific as possible and include the office or grant program as applicable)
- 5. Date of Written Commitment:** 04/09/2019
- 6. Value of Written Commitment:** \$9,200

4B. Funding Request

1. Will it be feasible for the project to be under grant agreement by September 30, 2021? Yes

2. Does this project propose to allocate funds according to an indirect cost rate? No

3. Select a grant term: 1 Year

A description must be entered for Quantity. Any costs without a Quantity description will be removed from the budget.

Eligible Costs:	Quantity AND Description (max 400 characters)	Annual Assistance Requested (Applicant)
1. Coordination Activities	Admin for CoC board and Committees, coordination of all committee and board meetings, conducting outreach for new members, updates to governance and written standards 175 hours	\$11,475
2. Project Evaluation	Monitoring CoC and ESG projects report to board. 75 hours	\$5,775
3. Project Monitoring Activities		
4. Participation in the Consolidated Plan		
5. CoC Application Activities	Facilitating year round CoC planning activities, and NOFA review and submission of application Consultant and staff 200 hours	\$18,799
6. Determining Geographical Area to Be Served by the CoC		
7. Developing a CoC System		
8. HUD Compliance Activities		
Total Costs Requested		\$36,049
Cash Match		\$9,200
In-Kind Match		\$0
Total Match		\$9,200
Total Budget		\$45,249

Click the 'Save' button to automatically calculate the Total Assistance

5A. Attachment(s)

Document Type	Required?	Document Description	Date Attached
1. Other Attachment(s)	No		
2. Other Attachment(s)	No		

Attachment Details

Document Description:

Attachment Details

Document Description:

5B. Certification

A. For all projects:

Fair Housing and Equal Opportunity

It will comply with Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000(d)) and regulations pursuant thereto (Title 24 CFR part I), which state that no person in the United States shall, on the ground of race, color or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the applicant receives Federal financial assistance, and will immediately take any measures necessary to effectuate this agreement. With reference to the real property and structure(s) thereon which are provided or improved with the aid of Federal financial assistance extended to the applicant, this assurance shall obligate the applicant, or in the case of any transfer, transferee, for the period during which the real property and structure(s) are used for a purpose for which the Federal financial assistance is extended or for another purpose involving the provision of similar services or benefits.

It will comply with the Fair Housing Act (42 U.S.C. 3601-19), as amended, and with implementing regulations at 24 CFR part 100, which prohibit discrimination in housing on the basis of race, color, religion, sex, disability, familial status or national origin.

It will comply with Executive Order 11063 on Equal Opportunity in Housing and with implementing regulations at 24 CFR Part 107 which prohibit discrimination because of race, color, creed, sex or national origin in housing and related facilities provided with Federal financial assistance.

It will comply with Executive Order 11246 and all regulations pursuant thereto (41 CFR Chapter 60-1), which state that no person shall be discriminated against on the basis of race, color, religion, sex or national origin in all phases of employment during the performance of Federal contracts and shall take affirmative action to ensure equal employment opportunity. The applicant will incorporate, or cause to be incorporated, into any contract for construction work as defined in Section 130.5 of HUD regulations the equal opportunity clause required by Section 130.15(b) of the HUD regulations.

It will comply with Section 3 of the Housing and Urban Development Act of 1968, as amended (12 U.S.C. 1701(u)), and regulations pursuant thereto (24 CFR Part 135), which require that to the greatest extent feasible opportunities for training and employment be given to lower-income residents of the project and contracts for work in connection with the project be awarded in substantial part to persons residing in the area of the project.

It will comply with Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794), as amended, and with implementing regulations at 24 CFR Part 8, which prohibit discrimination based on disability in Federally-assisted and conducted programs and activities.

It will comply with the Age Discrimination Act of 1975 (42 U.S.C. 6101-07), as amended, and implementing regulations at 24 CFR Part 146, which prohibit discrimination because of age in projects and activities receiving Federal financial assistance.

It will comply with Executive Orders 11625, 12432, and 12138, which state that program participants shall take affirmative action to encourage participation by businesses owned and operated by members of minority groups and women.

If persons of any particular race, color, religion, sex, age, national origin, familial status, or

disability who may qualify for assistance are unlikely to be reached, it will establish additional procedures to ensure that interested persons can obtain information concerning the assistance.

It will comply with the reasonable modification and accommodation requirements and, as appropriate, the accessibility requirements of the Fair Housing Act and section 504 of the Rehabilitation Act of 1973, as amended.

1-Year Operation Rule.

For applicants receiving assistance for CoC planning: the project will be operated for the purpose specified in the application for any year for which such assistance is provided.

D. Explanation.

Where the applicant is unable to certify to any of the statements in this certification, such applicant shall attach an explanation behind this page.

Name of Authorized Certifying Official: Kevin O'Connor

Date: 08/23/2019

Title: Chief Executive Officer

Applicant Organization: RUPCO

PHA Number (For PHA Applicants Only):

I certify that I have been duly authorized by the applicant to submit this Applicant Certification and to ensure compliance. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties . (U.S. Code, Title 218, Section 1001).

X

6A. Submission Summary

Page	Last Updated
1A. SF-424 Application Type	No Input Required
1B. SF-424 Legal Applicant	No Input Required
1C. SF-424 Application Details	No Input Required
1D. SF-424 Congressional District(s)	07/30/2019
1E. SF-424 Compliance	07/30/2019
1F. SF-424 Declaration	07/30/2019
1G. HUD 2880	07/30/2019
1H. HUD 50070	07/30/2019
1I. Cert. Lobbying	07/30/2019
1J. SF-LLL	07/30/2019
2A. Project Detail	07/30/2019

2B. Description	08/19/2019
3A. Governance and Operations	07/30/2019
3B. Committees	08/23/2019
4A. Match	07/31/2019
4B. Funding Request	08/21/2019
5A. Attachment(s)	No Input Required
5B. Certification	07/31/2019